

TEXAS TECH UNIVERSITY SYSTEM
LUBBOCK, TEXAS

MINUTES OF THE BOARD OF REGENTS
OF
TEXAS TECH UNIVERSITY

SEPTEMBER 1, 1999 THROUGH AUGUST 31, 2000

VOLUME V

TEXAS TECH UNIVERSITY SYSTEM

**MINUTES OF THE BOARD OF REGENTS
OF
TEXAS TECH UNIVERSITY**

AUGUST 10-11, 2000

TEXAS TECH UNIVERSITY SYSTEM
Lubbock, Texas

Minutes

Board of Regents
August 10-11, 2000

Thursday, August 10, 2000.—The members of the Board of Regents of the Texas Tech University System convened at 2:00 p.m. on Thursday, August 10, 2000, in the Board Room, Second Floor, Room 201, Administration Building, Akron and Broadway Avenues, Lubbock, Texas, with the following in attendance:

ATTENDANCE.—

Regents present were James E. Sowell, Chairman; J. Robert Brown, Vice Chairman; Carin Barth; E. R. "Dick" Brooks; John W. Jones; Dr. Nancy E. Jones; Brian C. Newby; and Mr. J. Michael Weiss. Regent Alan B. White was unable to attend the session on Thursday, but was present on Friday.

Also participating at the opening of the meeting were John T. Montford, Chancellor; James L. Crowson, Deputy Chancellor for Administration; Mike Moses, Deputy Chancellor for Operations; David Schmidly, President, Texas Tech University; David R. Smith, President, Texas Tech University Health Sciences Center; Pat Campbell, Vice Chancellor and General Counsel; Jim Brunjes, Chief Financial Officer; Michael Phillips, Chief Information Officer; and Lucy Lanotte, Executive Secretary to the Board of Regents.

- I. Chairman Sowell announced a quorum present and called the meeting to order.
- II. INTRODUCTIONS AND RECOGNITIONS.—Chairman Sowell called on Chancellor Montford who recognized Dr. David Schmidly, the new president of Texas Tech University; Mrs. Kerry Billingsley, director of the Office of Quality Service and recently elected president of the Texas Tech Staff Senate; Ms. Marsha Sharp, head coach of the Lady Raiders basketball team; Chief Jay Parchman and six new cadet officers: Mr. Alan Crawford, Mr. Mike Edwards, Mr. David Parker, Mr. John Poirier, Mr. Jim Snow and Mr. Matthew Wainscott; Barry Goldwater Scholarship recipients Ms. Lesley Crowley, Mr. Harshal Kirane and Ms. Jamie Rose; Ms. Lesley Gilbreath, the new Masked Rider; Ms. Alyssa Young, newly-elected president of the Texas Tech University Health Sciences Center Student Senate; Dr. Alexia Green, new dean of the School of Nursing; Ms. Sherry Sancibrian, director of the Department of the Communication Disorders program at TTUHSC and recently appointed to the State Board of Examiners for Speech-Language Pathology and Audiology; and Ms. Linda Crick

Campbell, new associate vice chancellor for development at the Health Sciences Center. Chancellor Montford called on Dr. Schmidly who recognized Dr. Bess Haley, dean of the College of Human Sciences, recipient of the Distinguished Service Award from the American Association of Family and Consumer Sciences; Dr. Otto M. Nelson, associate dean of the College of Arts and Sciences and who is retiring after 45 years of service to Texas Tech; and introduced the following members of his transitional leadership team: Dr. John Burns, provost; Mr. Gene West, vice president for operations; Dr. Michael Heintze, vice president for enrollment management; Mr. Mike Wilson, interim vice president for fiscal affairs; Dr. Gary Wiggins, interim vice president for technology and information systems; Dr. Bob Sweazy, interim vice president for research graduate studies and technology transfer; Dr. Michael Shonrock, interim vice president for student affairs; and Dr. Gene Binder, executive assistant to the president/chief of staff.

- III. RECESS TO EXECUTIVE SESSION.—At 2:15 p.m., at the direction of Chairman Sowell, the Board recessed and convened in Executive Session as authorized by Chapter 551 of the *Texas Government Code*.
- IV. RECONVENING OF THE BOARD TO OPEN SESSION.—At approximately 4:30 p.m., the Board reconvened in open session in the Board Room, Second Floor, Room 201, Administration Building, Akron and Broadway Avenues, Lubbock, Texas, for the purpose of recessing into Committee Meetings.
- V. RECESS TO STANDING COMMITTEES.—At approximately 4:31 p.m., at the direction of Chairman Sowell, the Board recessed into meetings of its standing committees, which were conducted sequentially in open session. The Academic, Clinical and Student Affairs Committee met from approximately 4:31 p.m. until approximately 5:40 p.m. in the Board Room, Second Floor, Room 201, Administration Building, Akron and Broadway Avenues, Lubbock, Texas.

At approximately 8:30 a.m. on Friday, August 11, the Board reconvened in open session in the Board Room, Second Floor, Room 201, Administration Building, Akron and Broadway Avenues, Lubbock, Texas, and continued the meetings of its standing committees, which were conducted sequentially in open session as follows: the Finance and Administration Committee met from approximately 8:30 a.m. until approximately 8:36 a.m. in the Board Room, Second Floor, Room 201, Administration Building, Akron and Broadway Avenues, Lubbock, Texas; and the Facilities Committee met from approximately 8:37 a.m. until approximately 9:37 a.m. in the Board Room, Second Floor, Room 201, Administration Building, Akron and Broadway Avenues, Lubbock, Texas.

VI. RECONVENING OF THE BOARD TO OPEN SESSION.—At approximately 9:38 a.m. on Friday, August 11, the Board reconvened in open session in the Board Room, Second Floor, Room 201, Administration Building, Akron and Broadway Avenues, Lubbock, Texas, to consider items as a Board and in the Committee of the Whole.

VI.A. APPROVAL OF MINUTES.—Regent N. Jones moved that the minutes of the Board meetings held on May 11-12, 2000 and June 23, 2000 be approved. Regent J. Jones seconded the motion, and the motion passed unanimously.

VI.B. COMMITTEE OF THE WHOLE

Chairman Sowell announced that for the purpose of facilitating action on the items to be considered, Vice Chairman Brown would preside over the Committee of the Whole.

1. Regent Brown called on Deputy Chancellor Crowson who presented the item regarding approval of the Consent Agenda and acknowledgment of review of the Information Agenda. Regent Brown moved that the Consent Agenda be approved. The motion was seconded and passed unanimously. The following are the Minute Orders approved by this motion:

a. TTU: Approve leaves of absence.—The Board approved the following leaves of absence that had been approved administratively by the Offices of the President and the Chancellor.

Leave without pay for Liliana Beltran, assistant professor, College of Architecture, for the period September 1, 2000 through May 31, 2001. The purpose of this leave is to complete the professional practice component for the National Council of Architectural Registration Board licensure. This leave will be taken in Berkeley, California.

Leave without pay for Dara Szyliowicz, assistant professor, College of Business Administration, for the period September 1, 2000 through May 31, 2001. The purpose of this leave is to work as a visiting assistant professor at New York University ("NYU") to gain contacts at NYU through their faculty, students and local business leaders. Texas Tech University will benefit from the contacts because it will enhance Tech's ability to attract outstanding young faculty and potential graduate students from the ranks of NYU graduates, as well as potential

guest speakers and benefactors from business leaders located in New York City. This leave will be taken in New York, New York.

Leave without pay for Clarke Cochran, professor, College of Arts and Sciences, for the period January 15, 2001 through May 31, 2001. The purpose of this leave is to accept the William H. Shannon Chair position in Catholic Studies at Nazareth College for one semester. This will bring recognition to Dr. Cochran, the Department of Political Science, and Texas Tech University. This leave will be taken in Rochester, New York.

- b. TTU: Approve conferral of degrees for the August 12, 2000 commencement.—The Board approved the conferral of degrees for the August 12, 2000 commencement upon all candidates who completed requirements for degrees since the last official commencement and who were certified by the appropriate faculties, deans, and registrar of Texas Tech University as having met all requirements of the degree as indicated by the official printed commencement program of August 12, 2000, and as recommended by the Office of the President and the Office of the Chancellor.
- c. TTU: Approve employee appointments to non-elective positions. – The Board approved the following employee appointments to non-elective positions with the state or federal government that had been approved administratively by the Offices of the President and the Chancellor. The Board also found with respect to each appointee that (i) their service will be of benefit to the State of Texas and Texas Tech University; (ii) their service will not conflict with their respective positions of employment at Texas Tech University; and, except as otherwise noted, (iii) each appointee is to serve without compensation but may be reimbursed for necessary travel and per diem expenses incurred in the performance of the duties as a member of the body to which the employee is appointed.

David J. Schmidly, appointed to the Governor's Task Force on Conservation by the Honorable George W. Bush for a term to expire at the pleasure of the governor;

Robert M. Sweazy, appointed to the Texas Board of Professional Engineers by the Honorable George W. Bush for a term to expire in September, 2005;

Dick L. Auld, appointed to the State Seed and Plant Board by the Honorable George W. Bush for a term to expire on October 6, 2001;

Natalie Steadman, appointed as chair of the Texas Advisory Board of Athletic Trainers by the Honorable George W. Bush for a term to expire on January 31, 2003; and

Ronald J. Kendall, appointed to serve on the Environmental Protection Agency's Scientific Advisory Panel for a term to expire on December 31, 2002; and re-appointed as chair of the Scientific Advisory Panel for a term to expire on December 31, 2000. He will receive a nominal fee and reimbursement for travel while serving on the panel.

- d. TTUHSC: Approve employee appointments to non-elective positions.—The Board approved the following employee appointments to non-elective positions in the state or federal government that had been approved administratively by the Offices of the President and the Chancellor. The Board also found with respect to each appointee that (i) their service will be of benefit to the State of Texas and Texas Tech University Health Sciences Center; (ii) their service will not conflict with their respective positions of employment at Texas Tech University Health Sciences Center; and (iii) each appointee is to serve without compensation but may be reimbursed for necessary travel and per diem expenses incurred in the performance of the duties as a member of the body to which the employee is appointed.

David R. Smith, M.D., appointed to the Correctional Managed Health Care Advisory Committee for an unlimited term;

Elmo M. Cavin, appointed to the Correctional Managed Health Care Advisory Committee for an unlimited term;

Rodolfo Arredondo, Ed.D., appointed to the Board of the Texas Department of Mental Health and Mental Retardation by the Honorable George W. Bush for a term to expire January 31, 2001; and

Cheryl Sancibrian, appointed to the State Board of Examiners - Speech-Language Pathology and Audiology by the Honorable George W. Bush for a term to expire August, 2005.

- e. TTUHSC: Approve emeritus appointments.—The Board approved granting the title of professor emeritus to the following individuals, which request had been approved administratively by the Office of the President and the Office of the Chancellor.

E. Jay Wheeler, M.D., professor and associate academic dean, Department of Health Services Research and Management, School of Medicine;

Helen Cox, R.N., C., Ed.D., F.A.A.N, professor and executive associate dean, School of Nursing; and

Susan Pollock, Ph.D., R.N., F.A.A.N., professor and associate dean for research, School of Nursing.

- f. TTUHSC: Approve faculty development leave.—The Board approved a leave of absence for Dr. Pat Yoder-Wise, professor, School of Nursing, who will be advancing national research regarding avenues of assuring the public of the competence of registered nurses, which request had been approved administratively by the Offices of the President and the Chancellor. This leave will be taken from September 1, 2000 to January 10, 2001 within the continental United States.

- g. TTU: Approve naming the College of Human Sciences Computer Studio.—The item was withdrawn.

- h. TTU: Approve naming the Department of Mass Communications Room 101.—The Board approved the request to name the Department of Mass Communication Room 101 the "Billy I. Ross Lecture Hall," which request had been approved administratively by the Office of the President and the Office of the Chancellor.

- i. TTU: Approve delegation of signature authority.—The Board approved delegation of signature authority, which request had been approved administratively by the Office of the President and the Office of the Chancellor.

- (1) To authorize the Chancellor to designate officers and employees of the university to approve all travel of employees of Texas Tech University, except to countries outside the United States other than United States possessions, Canada and Mexico, provided that such travel contributes to the mission of the university and is in

accordance with current travel regulations and who may further delegate their authority, effective September 1, 2000, and to continue until August 31, 2001, or until such time as they are separated from the university or assigned other responsibilities, whichever comes first.

- (2) To authorize the Chancellor to designate officers and employees of the university to approve official travel reimbursement from State appropriations and all other funds for officers and employees of Texas Tech University provided that the purpose of the travel and reimbursement for such are in accordance with state travel regulations, other statutory requirements, or other action promulgated by this board, effective September 1, 2000, and to continue until August 31, 2001, or until such time as they are separated from the university or assigned other responsibilities, whichever comes first.
- (3) To authorize the Chancellor to designate officers and employees of the university to approve and pay all accounts covering expenditures for state-appropriated funds and all other university-controlled funds, effective September 1, 2000, and to continue until August 31, 2001, or until such time as they are separated from the university or assigned other responsibilities, whichever comes first.
- (4) To sign checks drawn on the revolving fund and all other checking accounts of the university in any depository bank, except the University's Cashier's Account in the American State Bank, Lubbock, Texas, effective September 1, 2000 and to continue until August 31, 2001, or until such time as they are separated from the university or assigned other responsibilities, and further provided that any mechanically signed check of \$25,000 or more shall be reviewed and manually signed by one of the employees listed who may sign:

John T. Montford, Chancellor
Jim Brunjes, Chief Financial Officer and Vice President
for Fiscal Affairs
James L. Crowson, Deputy Chancellor for Administration
David J. Schmidly, President

Gloria J. Hale, Assistant Vice President for Human Resources
Charlie L. Stallings, Assistant Vice President for Business Affairs
David M. Brusewitz, Comptroller
Carole Wardroup, Director of Financial Accounting and Reporting
Ted W. Johnston, Director of Grants and Accounting
Deana Miller, Assistant Director of Financial Accounting and Reporting

- (5) To sign and/or countersign cashier's checks drawn on the University's Cashier's Account in the American State Bank, Lubbock, Texas, effective September 1, 2000, and to continue until August 31, 2001 or until such time as they are separated from the university or assigned other responsibilities, whichever comes first and further provided that any mechanically signed check of \$25,000 or more be reviewed and manually signed by one of the employees listed who may sign or countersign:

Employees who may sign or countersign:

John T. Montford, Chancellor
Jim Brunjes, Chief Financial Officer and Vice President for Fiscal Affairs
James L. Crowson, Deputy Chancellor for Administration
David J. Schmidly, President
Gloria J. Hale, Assistant Vice President for Human Resources
Charlie L. Stallings, Assistant Vice President for Business Affairs
David M. Brusewitz, Comptroller
Carole Wardroup, Director of Financial Accounting and Reporting
Ted W. Johnston, Director of Grants and Contracts Accounting
Deana Miller, Assistant Director of Financial Accounting and Reporting

Employees who may countersign only:

James E. Meiers, Director of Student Business Services
Lona Donnelly, Operations Manager of Student Business Services

- (6) To authorize transfer by wire or other means, of funds between Texas Tech University depositories, effective September 1, 2000, and to continue until August 31, 2001, or until such time as they are separated from the university or assigned other responsibilities, whichever comes first.

Employees who may authorize or counter-authorize:

John T. Montford, Chancellor
Jim Brunjes, Chief Financial Officer
David J. Schmidly, President
Charlie L. Stallings, Vice President
for Business Affairs
David M. Brusewitz, Comptroller
Carole Wardroup, Director of Financial
Accounting and Reporting
Eric B. Fisher, Interim Assistant Vice
Chancellor for Investments
Winnie Long, Manager of Cash Management

- (7) To authorize and approve the sale, purchase and transfer of stocks, bonds, and other securities which are owned or controlled by Texas Tech University provided such action is approved by any two of the individuals listed below, effective September 1, 2000, and to continue until August 31, 2001, or until such time as they are separated from the university or assigned other duties or responsibilities:

John T. Montford, Chancellor
Jim Brunjes, Chief Financial Officer
David J. Schmidly, President
Charlie L. Stallings, Vice President for Business Affairs
David M. Brusewitz, Comptroller
Eric B. Fisher, Interim Assistant Vice Chancellor for
Investments

However, for all instruments contributed to the University, one of the two required signatures must be from one of the following:

Ronda J. Johnson, Vice Chancellor for
Institutional Advancement
Greg Teeter, Legal Counsel for Institutional
Advancement

- j. TTUHSC: Approve delegation of signature authority.—The Board approved delegation of signature authority, which request had been approved administratively by the Office of the President and the Office of the Chancellor.

- (1) To sign checks drawn on the revolving fund and all other checking accounts of the Health Sciences Center in any depository bank, except the Health Sciences Center's Cashier's Account in the American State Bank, Lubbock, Texas, effective September 1, 2000, and to continue until August 31, 2001, or until such time as they are separated from Texas Tech or assigned other responsibilities, and further provided that any mechanically signed check of \$25,000 or more shall be reviewed and manually signed by one of the employees listed who may sign:

John T. Montford, Chancellor
Jim Brunjes, Chief Financial Officer
James L. Crowson, Deputy Chancellor for Administration
Mike Moses, Deputy Chancellor for System Operations
David R. Smith, M.D., President
Elmo M. Cavin, Vice President for Fiscal Affairs
Michael Crowder, Associate Vice President for Business Affairs
Douglas Moore, Director of Accounting Services
JoAnna Marshall, Assistant Director of Accounting Services
Susan Snead, Accounting Operations Supervisor
Tami James, Accounting Group Supervisor
Gloria J. Hale, Assistant Vice President for Human Resources, TTU
Charlie L. Stallings, Assistant Vice President for Business Affairs, TTU
David M. Brusewitz, Comptroller, TTU
Carole Wardroup, Director of Financial Accounting and Reporting, TTU
Deana Miller, Assistant Director of Financial Accounting and Reporting, TTU

- (2) To sign checks drawn on the Health Sciences Center's Cashier's Account in the American State Bank, Lubbock, Texas, effective September 1, 2000, and to continue until August 31, 2001, or until such time as they are separated from Texas Tech or assigned other responsibilities, and

further provided that any mechanically signed check of \$25,000 or more shall be reviewed and manually signed by one of the employees listed who may sign or countersign:

John T. Montford, Chancellor
Jim Brunjes, Chief Financial Officer
James L. Crowson, Deputy Chancellor for Administration
Mike Moses, Deputy Chancellor for System Operations
David R. Smith, M.D., President
Elmo M. Cavin, Vice President for Fiscal Affairs
Michael Crowder, Associate Vice President for Business Affairs
Douglas Moore, Director of Accounting Services
JoAnna Marshall, Assistant Director of Accounting Services
Susan Snead, Accounting Operations Supervisor
Tami James, Accounting Group Supervisor

- (3) To authorize transfers, by wire or other means, of funds between Texas Tech University Health Sciences Center depositories, effective September 1, 2000, and to continue until August 31, 2001, or until such time as they are separated from Texas Tech or assigned other responsibilities:

Employees who may authorize or counter-authorize:

John T. Montford, Chancellor
Jim Brunjes, Chief Financial Officer
James L. Crowson, Deputy Chancellor for Administration
Mike Moses, Deputy Chancellor for System Operations
Eric Fisher, Interim Assistant Vice Chancellor for Investments
David R. Smith, M.D., President
Elmo M. Cavin, Vice President for Fiscal Affairs
Michael Crowder, Associate Vice President for Business Affairs
Douglas Moore, Director of Accounting Services
Charlie L. Stallings, Assistant Vice President for Business Affairs, TTU
David M. Brusewitz, Comptroller, TTU
Carole Wardroup, Director of Financial Accounting and Reporting, TTU
Winnie Long, Manager of Cash Management, TTU

- (4) To authorize and approve the sale, purchase and transfer of stocks, bonds, and other securities which are owned or controlled by the Health Sciences Center provided such action is approved by any two of the individuals listed below, effective September 1, 2000, and to continue until August 31, 2001, or until such time as they are separated from Texas Tech or assigned other duties or responsibilities:

John T. Montford, Chancellor
Jim Brunjes, Chief Financial Officer
Eric Fisher, Interim Assistant Vice Chancellor for Investments
David R. Smith, President
Elmo M. Cavin, Vice President for Fiscal Affairs
Michael Crowder, Associate Vice President for Business Affairs
Douglas Moore, Director of Accounting Services
Charlie L. Stallings, Assistant Vice President for Business Affairs, TTU
David M. Brusewitz, Comptroller, TTU

However, for all instruments contributed to the Health Sciences Center one of the two required signatures must be from one of the following:

Ronda J. Johnson, Vice Chancellor for Institutional Advancement
Greg Teeter, Legal Counsel for Institutional Advancement

- k. TTU: Approve budget adjustments for the period April 1, 2000 through June 30, 2000.—The Board approved budget adjustments for the period April 1, 2000 through June 30, 2000, included herewith as Attachment No. 1.
- l. TTU: Ratify budget and salary adjustments for the period April 1, 2000 through June 30, 2000.—The Board ratified budget and salary adjustments for the period April 1, 2000 through June 30, 2000, included herewith as Attachment No. 2.
- m. TTUHSC: Ratify budget and salary adjustments for the period April 1, 2000 through June 30, 2000.—The Board ratified budget and salary adjustments for the period April 1, 2000 through June 30, 2000, included herewith as Attachment No. 3.

- n. TTU: Approve management of an endowment by the Student Managed Investment Fund class.—The Board approved the management and investment of the funds in the United Supermarkets, Inc. Professorship in Marketing Endowment by the students enrolled in the Student Managed Investment Fund ("SMIF") class in the College of Business Administration, which request had been approved administratively by the Office of the President and the Office of the Chancellor.
- o. TTUHSC: Approve contract with CDP Services, Inc.—The Board approved a contract with CDP Services, Inc. to review operations and expansion of the Southwest Cancer Center, Lubbock, Texas. The twelve-month engagement with CDP Services, Inc. will cost \$125,000 plus expenses not to exceed 15% of the project cost or \$18,750.
- p. TTU: Acceptance of major gift-in-kind to College of Engineering. – The Board approved acceptance of a gift-in-kind of Wonderware FactorySuite 2000 software valued at \$102,350 from the Process Networks Plus, Inc. of Austin, Texas, for the benefit of Department of Chemical Engineering.
- q. TTU: Acceptance of major gift-in-kind to the College of Agricultural Sciences and Natural Resources.—The Board approved acceptance of a gift-in-kind of an SKF Lab Spinner valued at \$100,000 from the Institut für Textilmaschinenbau und Textilindustrie of Zurich, Switzerland, for the benefit of the College of Agricultural Sciences and Natural Resources.
- r. TTU and TTUHSC: Approve commissioning of police officer.—The Board approved commissioning Robert L. Portugal as a police officer, effective April 24, 2000.

Mr. Brown then moved that the Board acknowledge its review of the Information Agenda as follows:

Information Agenda

Information is provided as required by *Board of Regents Policy 01.01.10.c.*

- (1) TTU and TTUHSC: Summary of Revenues and Expenditures by Budget Category, FY 2000 per *Board of Regents Policy*

01.01.8.c(3)(f): All actual expenditures will be reviewed by the Finance and Administration Committee annually and provided as information.

- (a) TTU: Report dated May 31, 2000;
- (b) TTUHSC: Report dated May 31, 2000.

- (2) TTU: Budget Adjustments per *Board of Regents Policy 04.04.4.c(3)* for the period April 1, 2000 through June 30, 2000:
For research contracts, grants, and sponsored projects, any budget adjustments exceeding \$100,000 and supplemental awards and renewal proposals greater than \$250,000 will be reported to the board for information.
 - (a) Consolidated Nutrition for USDA-FRA Sustainable Pork; \$201,127; Consolidated Nutrition Mod.2;
 - (b) DARPA-Deep UV Resonant Microcavity Light Emitting Diode Arrays for Excitation Emission Fluorescence; \$105,000; ONR-CFDA 12.300;
 - (c) Development of Solar-Blind UV Imager; \$100,000; JPL/NASA Contract No. 1217535 NASA prime;
 - (d) Experimental Particle Physics Research at Texas Tech University; \$218,280; Dept. of Energy-#DE-FG03-95ER40938 Amend. 09 CFDA#81.049;
 - (e) Federal Pell Grant 2000-2001; \$9,000,000; Pell Grant, US Department of Education PO63P006569
 - (f) Federal Work-Study Bi-Weekly 2000-2001; \$904,201; FWSP Grant, US Department of Education;
 - (g) Federal Work-Study Monthly 2000-2001; \$271,260; A 70/30 split between federal/non-federal will be in effect for this 2000-2001 FWSP year. Subaccount of 1326-46-3174 (FWS Biweekly 00-01);
 - (h) FSEOG-2000 – 2001; \$520,725; FSEOG Grant, US Department of Education P007A004151;
 - (i) Head Start Quality Improvement Center – Other; \$132,160; 1321-44-0501, Code (99) “Head Start Quality Improvement Center – Master”;
 - (j) Investigation of Wind/Rain Induced Stay Vibrations in Cable Stayed Bridges; \$162,828; TxDOT ARA Supplemental 05 Project 0-1400;
 - (k) IPA Agreement-Dan Cooke; \$222,571; NASA Ames Research Center;
 - (l) New Asymmetric Reaction Process Beneficial to the Synthesis of Important Compounds; \$105,968; National Institutes of Health #1 R15 GM60261-01;
 - (m) Upward Bound; \$388,308; US Dept. of Education – PO47A990432-00.
- (3) TTUHSC: School of Medicine Faculty Employment Contracts per *Board of Regents Policy 04.05.4.b*: All faculty employment contracts greater than \$100,000 per annum shall be approved by the president. A list of all faculty employment contracts greater than \$100,000 per annum will be provided to the board as an information item at the next board meeting.
 - (a) Arredondo, Mark A., M.D., Professor/TT; Surgery, Amarillo; 7/1/00-8/31/01; \$180,000;
 - (b) *Alford, Philip P., M.D.; Assistant Professor/TT; Surgery, Lubbock; 5/1/00-8/31/00; \$170,000;
 - (c) *Bolton, Jack, M.D.; Assistant Professor/NTT; Anesthesiology, Lubbock; 3/15/00-8/31/00; \$180,000;
 - (d) *Hansen, Mark Foster, M.D.; Associate Professor/NTT, Regional Chairman; Radiology, El Paso; 4/1/00-8/31/00; \$333,874;
 - (e) Hines, Thomas Randall, M.D.; Instructor/NTT; Family Medicine, Amarillo; 9/1/00-9/31/01; \$125,000;

- (f) *Horn, Kathryn V., M.D.; Associate Professor/NTT; Family Medicine, El Paso; 4/1/00-8/31/00; \$125,000;
- (g) Hromas, Frank, M.D.; Instructor/NTT; Family Medicine, Amarillo; 9/1/00-8/31/01; \$213,333;
- (h) *Packard, Russell, M.D.; Professor/NTT; Neuropsychiatry, Lubbock; 7/1/00-8/31/00; \$178,245.80;
- (i) *Prasad, Saket, M.D.; Assistant Professor/NTT; Internal Medicine, El Paso; 7/1/00-8/31/00; \$135,000;
- (j) *Sutkin, Gary, M.D.; Instructor/NTT; OB/GYN, Lubbock; 7/21/00-8/31/00; \$150,000;
- (k) Trizna, Soltan, M.D., Ph.D.; Assistant Professor/TT; Dermatology, Lubbock; 9/1/00-8/31/01; \$207,610.

* Faculty contracts will be automatically renewed in FY 2001.

- (4) TTU: Faculty Workload Report, Spring 2000, per *Board of Regents Policy 06.06.5*: Provided in compliance with state requirements.
 - TTU: Report dated May 5, 2000 (184-page document available for review upon request)

The motion was seconded and passed unanimously.

- 2. Regent Brown called on Deputy Chancellor Crowson who presented the item regarding the approval of the appointment of members to the Board of Directors of Texas Tech Foundation, Inc. Regent Brown moved that the Board approve the appointments, included herewith as Attachment No. 4. The motion was seconded, and passed unanimously.
- 3. Regent Brown called on Deputy Chancellor Crowson who presented the item regarding the approval of a revised agreement with Texas Tech Foundation, Inc. Regent Brown moved that the Board approve the agreement, included herewith as Attachment No. 5. The motion was seconded, and passed unanimously.
- 4. Regent Brown called on Deputy Chancellor Crowson who presented the item regarding the approval of the Texas Tech University System mission statement. Regent Brown moved that the Board approve the mission statement as set out below:

The mission of the Texas Tech University System is to provide leadership and support for Texas Tech University and the Texas Tech University Health Sciences Center as each strives to attain its individual mission.

The motion was seconded, and passed unanimously.

5. Regent Brown called on Deputy Chancellor Crowson and Regent Nancy Jones who presented the report of the Ad Hoc Board Policy Manual Review Committee. Regent Brown moved that the Board provisionally approve *Board of Regents Rules and Regulations*, Section 06, Student Affairs; Section 19, Athletics; and Section 20, Honorifics and Seals, included herewith as Attachment No. 6. The motion was seconded, and passed unanimously.
6. Regent Brown called on Deputy Chancellor Crowson who presented the item regarding the approval of a revision to *Board of Regents Policy 04.05*, Contracting Policy and Procedures. Regent Brown moved that the Board approve *Board of Regents Policy 04.05*, Contracting Policy and Procedures, included herewith as Attachment No. 7. The motion was seconded, and passed unanimously.
7. Regent Brown presented the report of the Investment Advisory Committee and called on Deputy Chancellor Crowson, with assistance from Mr. Brunjes, to present the item regarding approval of recommendations of the Investment Advisory Committee. Regent Brown moved that the Board approve the recommendations of the Investment Advisory Committee and the Office of the Chancellor to remove INVESCO Capital Management, the current large cap value investment manager, to appoint Pacific Financial Research and Equinox Capital Management, LLC as new large cap value investment managers, and to adopt amendments to *Board of Regents Policy 05.06*, Investment Policy Statement for Endowment and Certain Long-Term Institutional Funds, included herewith as Attachment No. 8. The motion was seconded, and passed unanimously.

Secretary's Note: The following two items were presented for the Board's consideration due to the need for required action by the Board.

Regent Brown called on Deputy Chancellor Crowson who presented items requiring immediate action by the Board regarding:

- (a) acceptance of a major gift-in-kind to the Health Sciences Center in El Paso; and
- (b) authorizing transfer of the management and investment of funds in the John B. Malouf Marketing Alumni Professorship to the Student Managed Investment Fund ("SMIF") class in the College of Business Administration. Mr. Crowson noted that the addition of this endowment of \$453,523 would bring the total monies managed through the SMIF class to approximately \$2.3 million.

Regent Brown moved that the Board (a) accept the gift-in-kind of a 1994 International Moex dedicated mammography van valued at \$72,500 from

the Rio Grande Cancer Foundation to the Texas Tech University Health Sciences Center in El Paso; and (b) authorize transferring management and investment authority of the funds in the John B. Malouf Marketing Alumni Professorship to the Student Managed Investment Fund class in the College of Business Administration. The motion was seconded and passed unanimously.

VI.C. REPORT OF EXECUTIVE SESSION

Vice Chairman Brown moved that the General Counsel be authorized to resolve the litigation identified as cases No. (PE) 96-3316 and No. (SA) 97-1239 within the parameters set forth in Executive Session. Opportunity for discussion by the board followed the presentation. The motion was seconded, and passed unanimously.

Secretary's Note: The Board also approved (1) extending the contract between Texas Tech University and Southwest Coca-Cola Bottling Company, Inc. of Lubbock, Texas, under the terms and conditions discussed in Executive Session (see Item VI.E.3 on page 20 of these minutes); and (2) the extension of the employment contract between the Texas Tech University System and Chancellor Montford, under the terms and conditions discussed in Executive Session (see Secretary's Note after Item VI.G. on page 24 of these minutes).

VI.D. REPORT OF THE ACADEMIC, CLINICAL AND STUDENT AFFAIRS COMMITTEE

Chairman Sowell called on Regent N. Jones to give the report of the Academic, Clinical and Student Affairs Committee.

Regent Jones reported that the Academic, Clinical and Student Affairs Committee met in open session to consider those matters on its agenda and to formulate recommendations to the Board of Regents of Texas Tech University System. Unless otherwise indicated, the actions set forth in the Minute Orders which follow were recommended by the Academic, Clinical and Student Affairs Committee and approved in open session and without objection by the Board of Regents of Texas Tech University System.

1. TTU: Approve appointments with tenure in the College of Agricultural Sciences and Natural Resources and the College of Arts and Sciences. —The Board approved the granting of tenure to Matt Baker, Ph.D., professor and chair for the Department of Agricultural Education and Communications in the College of Agricultural Sciences and Natural Resources; Ronald Chesser, Ph.D., professor in the Department of Biological Sciences in the College of Arts and Sciences; and John Dickson, professor in the

School of Music in the College of Arts and Sciences, concurrently with their appointments.

Secretary's Note: The request for approval of appointment with tenure for Alon Klashny, Ph.D., professor and chair for the Department of Landscape Architecture in the College of Agricultural Sciences and Natural Resources was withdrawn to be re-submitted at a later date.

2. TTU: Approve emeritus appointment.—The Board approved the granting of the title "President Emeritus" to Dr. Donald R. Haragan.
3. TTU: Approve a Master of Science degree in Heritage Management in the Museum and the Graduate School.—The Board approved a new degree program for a Master of Science in Heritage Management in the Museum and the Graduate School, TTU, and authorized the Office of the Chancellor to seek approval for such a program from the Texas Higher Education Coordinating Board.
4. TTU and TTUHSC: Approve a Master of Science degree in Biotechnology in the Graduate School.—The Board approved a new degree program for a Master of Science in Biotechnology to be administered by the Graduate School, TTU, the Graduate School of Biomedical Sciences, TTUHSC, and the Center for Biotechnology and Genomics, a center for research collaboration by TTU and TTUHSC, and authorized the Office of the Chancellor to seek approval for such a program from the Texas Higher Education Coordinating Board.
5. TTUHSC: Approve appointment with tenure in the School of Nursing.—The Board approved the granting of tenure to Alexia Green, Ph.D., R.N., newly selected Dean of the School of Nursing, contemporaneously with her appointment as Professor at Texas Tech University Health Sciences Center.
6. TTUHSC: Report on the health care education and delivery system.—The Board accepted a report by Dr. David Smith regarding the health care education and delivery system, included herewith as Attachment No. 9.
7. TTUHSC: Report on the concept of an academic retirement village.—The Board accepted a report by Dr. David Smith regarding the concept of an academic retirement village, included herewith as Attachment No. 10.

8. TTU and TTUHSC: Scheduled reports on the status of academic units.
 - a. TTU: Report on the College of Engineering.—The Board accepted a report by Dr. William Marcy on the activities and plans for the College of Engineering, included herewith as Attachment No. 11.
 - b. TTUHSC: Report on the School of Medicine. – The Board accepted a report by Dr. Joel Kupersmith on the activities and plans for the School of Medicine, included herewith as Attachment No. 12.

VI.E. REPORT OF THE FINANCE AND ADMINISTRATION COMMITTEE

Chairman Sowell called on Regent White, Chair, to give the report of the Finance and Administration Committee.

Committee Chairman White reported that the Finance and Administration Committee met in open session to consider those matters on its agenda and to formulate recommendations to the Board of Regents of Texas Tech University System. Unless otherwise indicated, the actions set forth in the Minute Orders which follow were recommended by the Finance and Administration Committee and approved in open session and without objection by the Board of Regents of Texas Tech University System.

1. TTU: Approve establishment of tuition and fee reduction program for graduate students.—The Board approved implementation of the Texas Tech University Graduate Student Tuition/Fee Assistance Program to lower institutional tuition and fees for teaching assistants, research assistants, graduate assistants and graduate part-time instructors.

To be eligible for the waiver during the fall or spring semester, a graduate student must be employed in an insurance eligible position at least 50% FTE for four and one-half months or more in a job and job code representing either teaching assistant, graduate part-time instructor, research assistant, or graduate assistant.

In accordance with the purpose of the Texas Tech University Assistance Program, the following fees will be waived or funded for eligible graduate students: Student Services Fee; Information Technology Fee; Library Fee; University Center Fee; Recreation Center Construction Fee; and Course Fees.

In addition to the fee waivers, eligible graduate students will receive assistance either from institutional tuition or other appropriate funding source to fund the cost of their institutional tuition.

2. TTU: Approve contract with Microsoft, Inc.—The Board authorized a contract with Microsoft, Inc. to provide a broad-based university license for the use of a broad range of Microsoft products for students, faculty, and selected staff.

The products include Microsoft Office Suite, a number of products commonly used to develop instructional materials, and operating system upgrades for the various Windows operating systems. The annual license fees are \$41.16 for faculty and staff, and \$16.41 per student, in each case based on full-time equivalents.

The contract amount is expected to be \$488,000 for a 12 month period, renewable annually. It will include Texas Tech University and Texas Tech University System offices.

The annual cost is expected to be approximately \$50,000 over and above the contract amount. This will provide one FTE support specialist and one FTE student assistant.

Not included in any of the above is the cost of media to be distributed to departments, individual faculty and staff, and students. This media will be custom produced and most will be provided through an authorized distributor, such as the High Tech Computer Store. The cost of media is anticipated to be \$4 or \$5 per computer disk.

3. TTU: Approve extension of contract with Southwest Coca-Cola Bottling Company, Inc.—The Board approved extending the contract between Texas Tech University and Southwest Coca-Cola Bottling Company, Inc. of Lubbock, Texas, under the terms and conditions discussed in Executive Session.
4. TTU: Acceptance of major gift-in-kind to the College of Engineering.—The Board approved acceptance of a gift-in-kind of the wellbore of the CLU #22-1 well located in the SE/4 of the NW/4 of Section 11, Block A, Lubbock County, Texas valued at \$50,000 from Texland Petroleum, Inc. of Fort Worth, Texas for the benefit of the College of Engineering.
5. TTU and TTUHSC: Approve waiver of the medical services fee for Texas Tech University System benefits-eligible employees.—The Board approved a waiver of the medical services fee for Texas

Tech University System benefits-eligible employees enrolled as students. This waiver is critical to maintaining the viability of employees being better trained and is in the best interest of Texas Tech University.

For FY 2001, accumulated balances will cover the cost of the implementation of this waiver. For FY 2002 and beyond, a small increase in the medical service fee may be required. The chief financial officer certifies that these waivers will not affect the capacity of the Health Services Center to provide benefits to the students for FY 2001.

VI.F. REPORT OF THE FACILITIES COMMITTEE

Chairman Sowell called on Regent J. Jones, Chair, to give the report of the Facilities Committee.

Committee Chairman Jones reported that the Facilities Committee met in open session to consider those matters on its agenda and to formulate recommendations to the Board of Regents of Texas Tech University System. Unless otherwise indicated, the actions set forth in the Minute Orders which follow were recommended by the Facilities Committee and approved in open session and without objection by the Board of Regents of Texas Tech University System.

1. TTU: Approve planning of a new Animal Science and Food Technology facility.—The Board authorized the Office of the Chancellor (i) to proceed with the planning of a new facility for the Department of Animal Science and Food Technology; (ii) to establish a planning budget of \$160,000 from Higher Education Assistance Funds; and (iii) to select a design professional to prepare programming documents, a schematic design and an estimate of the probable cost for the project.
2. TTU: Approve construction of Phase I of new experimental sciences building.—The Board authorized The Office of the Chancellor with regard to Phase I of a new experimental sciences building (i) to establish a budget of \$40 million; (ii) to appropriate \$30 million from Higher Education Assistance Funds for construction of Phase I of the new experimental sciences building and to appropriate \$10 million from Tuition Revenue Bonds if acquired during the upcoming legislative session or \$10 million in private donations for equipment; (iii) to submit documents to the Texas Higher Education Coordinating Board for approval; and (iv) upon receiving such approval, to prepare construction documents;

(v) to solicit construction bids and (vi) to award a construction contract.

The board acknowledged that it expects to pay expenditures in connection with the construction of Phase I of a new experimental sciences building prior to the issuance of obligations to finance the project and in that respect, the board finds that the reimbursement for the payments of such expenditures will be appropriate and consistent with the lawful objectives of Texas Tech University, and, as such, declares its intention, in accordance with the provisions of *Treasury Regulations*, Section 1.150-2, to reimburse itself for original expenditures, advanced in connection with the design, planning and construction of Phase I of a new experimental sciences building an aggregate maximum principal amount expected to be \$40 million.

Mr. Weiss asked to be recorded as voting "no."

3. TTU: Approve establishing a planning budget for improvements to the College of Business Administration facility.—The Board authorized the Office of the Chancellor (i) to proceed with the planning of a project to improve the College of Business Administration building and/or construct a new facility for the College of Business Administration; (ii) to establish a planning budget of \$200,000 from Higher Education Assistance Funds; and (iii) to select a design professional to prepare programming documents, a schematic design and an estimate of the probable cost of the project.
4. TTU: Approve naming of components of the tennis and women's softball field complex.—The Board approved the request to name components of the new tennis and women's softball field complex (the "complex") as indicated below, in honor and recognition of generous gifts received for the construction of the complex.
 - (1) The tennis component of the complex will be named "The Ethel and Don McLeod Tennis Center."
 - (2) The women's softball field will be named "The Rocky Johnson Field."
 - (3) The connecting plaza of the complex will be named "The Bob and Edith Garst Plaza."
5. TTU: Approve a hotel and conference center.—This item was withdrawn.

6. TTU: Approve a golf course.— This item was withdrawn.
7. TTUHSC: Approve construction to relocate the Department of Ophthalmology.— The Board authorized the Office of the Chancellor (i) to establish a project budget of \$3 million; (ii) to appropriate \$3 million from Higher Education Assistance Funds for the relocation of the Department of Ophthalmology; (iii) to submit documents to the Texas Higher Education Coordinating Board for approval and, upon receiving such approval; (iv) to prepare construction documents, to solicit construction bids or proposals and to award a construction contract.
8. TTU: Report on construction delivery methods.—The Board accepted a report by Mike Ellicott regarding construction delivery methods, included herewith as Attachment No. 13.
9. TTU: Report on soft costs in facility planning and construction.— The Board accepted a report by Mike Ellicott regarding soft costs in facility planning and construction, included herewith as Attachment No. 14.
10. TTU: Approve a planning budget for incremental parking. – The Board authorized the Office of the Chancellor (i) to proceed with the planning of parking garages (a) at 18th Street and Flint Avenue, needed due to the construction of the English/Philosophy and Education complex, and (b) at 15th Street and Akron Avenue, needed due to the University Center Expansion and the reconfiguration of the Administration Building parking lot; (ii) to establish a planning budget of \$120,000 for the parking garage at 18th Street and Flint Avenue; (iii) to establish a planning budget of \$150,000 for a parking garage at 15th Street and Akron Avenue; and (iv) to proceed with the selection of a design professional to develop the program of requirements, schematic design of the garages and estimate the probable cost for the projects.

The source of funds for these planning budgets will be from Traffic and Parking Fund Balances.
11. TTU and TTUHSC: Report on Office of Facilities Planning and Construction projects. – The Board accepted a report by Mike Ellicott regarding Office of Facilities Planning and Construction projects, included herewith as Attachment No. 15.

- VI.G. REPORT ON TTU ENROLLMENT MANAGEMENT. – Chairman Sowell called on Michael Heintze, vice president for enrollment management, who presented the report on enrollment management, attached hereto as Attachment No. 16.

Secretary's Note: Before proceeding to the next item on the agenda, Chairman Sowell announced that Chancellor Montford had accepted extension of his employment contract under the terms and conditions proposed by the board during its Executive Session. Chairman Sowell then moved that the Board of Regents approve extension of the employment contract between the Texas Tech University System and Chancellor Montford, under the terms and conditions discussed in Executive Session. The motion was seconded and passed unanimously.

- VI.H. APPOINTMENT OF HEARING OFFICER WITH REGARD TO A CONTRACT DISPUTE. – Chairman Sowell appointed Kim Turner as the hearing officer to hear the contract dispute appeal of AAR, Inc. The hearing officer will conduct the hearing as expeditiously as possible and will report her written findings and recommendations to the Board of Regents.

- VI.I. SCHEDULE FOR BOARD MEETINGS.—Mr. Crowson presented the proposed schedule for future Board meetings and asked for comments. The established schedule is as follows: November 2-3, 2000, Lubbock; December 14-15, 2000, El Paso; February 8-9, 2001, San Antonio; May 10-11, 2001, Lubbock; August 9-10, 2001, Lubbock; November 1-2, 2001, Lubbock; December 13-14, 2001, Austin; March 7-8, 2002, Amarillo; May 9-10, 2002, Lubbock.

The Board concurred that a Workshop/Retreat should be scheduled in conjunction with its Board meetings on November 2-3, 2000 and November 1-2, 2001.

- VI.J. THE PRESIDENT'S REPORT, TTU.—Chairman Sowell called on President Schmidly who presented the President's Report, included herewith as Attachment No. 17.

- VI.K. THE PRESIDENT'S REPORT, TTUHSC.—Chairman Sowell called on President Smith who presented the President's Report, included herewith as Attachment No. 18.

- VI.L. CHANCELLOR'S REPORT.—Chairman Sowell called on Chancellor Montford who, speaking from the materials attached hereto as Attachment No. 19, presented the Chancellor's Report.

VI.M. ADJOURNMENT.—Chairman Sowell adjourned the meeting at approximately 10:30 a.m.

INDEX OF ATTACHMENTS

Attachment 1	TTU Budget Adjustments, April 1, 2000 to June 30, 2000
Attachment 2	TTU Budget Adjustments, April 1, 2000 to June 30, 2000
Attachment 3	TTUHSC Budget Adjustments, April 1, 2000 to June 30, 2000
Attachment 4	Members of the Board of Directors of the Texas Tech Foundation, Inc.
Attachment 5	Texas Tech Foundation, Inc. Agreement
Attachment 6	<i>Board of Regents Rules and Regulations</i> , Section 06; Section 19; and Section 20
Attachment 7	<i>Board of Regents Rules and Regulations</i> , Section 04.05
Attachment 8	<i>Board of Regents Rules and Regulations</i> , Section 05.06
Attachment 9	Report on the health care education and delivery system
Attachment 10	Report by Dr. Smith on the concept of an academic retirement village
Attachment 11	Report concerning the College of Engineering by Dr. Marcy
Attachment 12	Report concerning the School of Medicine by Dr. Kupersmith
Attachment 13	Report on construction delivery methods
Attachment 14	Report on soft costs in facility planning and construction
Attachment 15	Report on Office of Facility Planning and Construction projects
Attachment 16	Enrollment Management Report
Attachment 17	Report of Dr. Schmidly, President of TTU
Attachment 18	Report of Dr. Smith, President of TTUHSC
Attachment 19	Chancellor's Report

I, James L. Crowson, the duly appointed and qualified Assistant Secretary of the Board of Regents, hereby certify that the above and foregoing is a true and correct copy of the Minutes of the Texas Tech University Board of Regents meeting on August 10-11, 2000.

James L. Crowson
Assistant Secretary

Seal

TEXAS TECH UNIVERSITY – BOARD APPROVAL ITEMS (April 1, 2000 – June 30, 2000)

NO.	ACTIVITY	SOURCE OF FUNDS		EXPENSE	REMARKS
		OTHER	INCOME		
<u>BOARD APPROVAL:</u>					
VO02291	Attorney General Support		\$250,000	\$250,000	Transfer funding from fund 1111 (General Time Deposit Expense) to assist with general operating expenses on this new account.
VO02497	Athletic Administration		1,288,966	1,288,966	Funded from private gifts, grants and contracts. Funds are budgeted for the financial commitments by the search committee for the new football staff and other related transition costs.

TEXAS TECH UNIVERSITY – BOARD RATIFICATION ITEMS (April 1, 2000 – June 30, 2000)

NO.	ACTIVITY	SOURCE OF FUNDS		EXPENSE	REMARKS
		OTHER	INCOME		
BOARD RATIFICATION:					
VO02750	Federal Relations Support		\$100,000	\$100,000	Transfer funding from 0465 (General Clearing Account) to assist with general operating expenses.
SM02429	Federal Relations Support		100,000	100,000	Transfer funding from 0465 (General Clearing Account) to assist with general operating expenses.
SM02442	High Performance Computing Center	\$100,000	100,000	200,000	Transfer funding from the fund balance of 0968 (Info Tech Fee), \$100,000 and from 0471 (Institutional Tuition), \$100,000.
VO03596	General Clearing Account	100,000		100,000	Transfer funding from the fund balance to assist with general operating expenses.
SM02615	Student Recreation Center	125,000		125,000	Transfer funding from the fund balance of 0350 (Student Service Fee) to assist with general operating expenses.
VO05182	Hispanic College Fund Football Game		160,000	160,000	To establish new account with revenue funded from sales and services.
SM02594	University Center Food Services		130,000	130,000	Increase in funding due to anticipated increase in sales and services.
SM02624	Copy Tech	105,000		105,000	Transfer funding from the fund balance to purchase a color copier and fusion punch.

TEXAS TECH UNIVERSITY – BOARD RATIFICATION ITEMS (April 1, 2000 – June 30, 2000)

NO.	ACTIVITY	SOURCE OF FUNDS		EXPENSE	REMARKS
		OTHER	INCOME		
<u>Salary Increases of 10% or more:</u>					
	Per Annum	CURRENT SALARY	NEW SALARY	% INCREASE	
	Gail Bayeta	\$20,364	\$25,944	27%	Salary Exception
	Sharon Bennett	64,668	71,868	11%	Salary Exception/Merit Increase
	Peggy Ruth Duffy	27,187	31,000	14%	Salary Exception/Merit Increase
	Irma Martinez Sizer	25,944	29,328	13%	Salary Exception
	Bradley Walker	50,880	60,880	20%	Salary Exception

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER (April 1, 2000 – June 30, 2000)

NO.	ACTIVITY	SOURCE OF FUNDS		EXPENSE	REMARKS
		OTHER	INCOME		
BOARD RATIFICATION: General Designated Funds HealthNet – Lubbock		\$168,577		\$168,577	To fund critically needed information technology investments.
SALARY INCREASES:		CURRENT SALARY	NEW SALARY	% INCREASE	
FACULTY Eugene Drigalenko – Neuro-psychiatry- Lubbock		\$37,200	\$45,200	21.5%	
					Increase in responsibilities.

TEXAS TECH FOUNDATION, INC.
BOARD OF DIRECTORS

Appointment

(Terms beginning September 1, 2000, and ending August 31, 2003)

Wick Alexander
Ginger Francis
David Hassler
Lance Hughes
John Owens
Richard Ligon
Randall Morris
Betsy Triplett-Hurt
David Webber
Lea Wright

**AGREEMENT BETWEEN
TEXAS TECH UNIVERSITY SYSTEM
AND
TEXAS TECH FOUNDATION, INC.**

This agreement is made on the 11TH day of AUGUST, 2000, by and between Texas Tech University System, consisting of Texas Tech University and Texas Tech University Health Sciences Center, acting by and through its Board of Regents ("the University System"), and Texas Tech Foundation, Inc., a Texas non-profit corporation, acting by and through its Board of Directors ("the Foundation").

WHEREAS, the Foundation was established for charitable, educational, and scientific purposes, so as to further the aims and objectives of the University System; and

WHEREAS, the University System and the Foundation provide services and benefits to each other and work together to promote the purposes, objectives and public service activities of the Foundation and the University System; and

WHEREAS, in furtherance of such purposes and to attain the greatest degree of integrity and efficiency in carrying out the purposes of the Foundation and the requests of the donors thereto, and to set out uniform, joint procedures for the orderly administration of the Foundation and the University System in their joint functions; and

WHEREAS, the University System and the Foundation wish to supersede that certain agreement between the parties dated December 13, 1996, the Foundation and the University System do hereby agree as follows:

**ARTICLE I
FUNCTIONS**

The Foundation will, in accordance with its charter and bylaws, provide assistance to the University System, its faculty and students, in accomplishing its goals and objectives by receiving funds, resources, and assets to be used for the benefit of the University System. The University System will assist the Foundation by providing space, facilities, equipment, and staff to perform certain services for the Foundation.

**ARTICLE II
RESPONSIBILITIES OF THE UNIVERSITY SYSTEM**

A. The Board of Directors of the Foundation and the Board of Regents of the University System must assure that the activities of the Foundation are consistent with the objectives, goals, and priorities of the University System. The Chancellor of the University System, therefore, shall be responsible for assuring that all funds designated and budgeted by the Foundation for the University System are properly received, accounted for, allocated, expended and utilized in accordance with the instructions and wishes of the donors thereof and the policies and directions

of the Foundation, and in compliance with the approved budget, charter, and bylaws of the Foundation, and in furtherance of the goals and objectives of the University System.

B. The Treasurer of the Foundation shall designate a dean, vice chancellor, vice president, or other principal administrator of the University System to serve as a signatory on each account of the Foundation. Under no circumstance shall an account of the Foundation be used to circumvent a policy, procedure, or accountability standard of the University System.

C. At least twice each year, the Chancellor of the University System and the Vice Chancellor for Institutional Advancement will report in writing to the Foundation on all fundraising activities of the University System and the Foundation and will provide a complete written financial report to the Foundation as to the allocation, expenditure, and utilization of funds and resources made available to the University System by and through the Foundation.

D. The Treasurer of the Foundation will provide the officers of the University System designated by the Treasurer as responsible for individual accounts of the Foundation with monthly reports (including monthly ledger sheets) reflecting all fiscal activities in each account. Each officer is to receive a report of the account for which he or she is responsible. A copy of the quarterly financial report, which consists of (1) a balance sheet, (2) a statement of changes of revenue, expense, and fund balances, (3) an operating report, and (4) a budget report, shall go to the offices of the Foundation.

E. At the close of each fiscal year, an independent firm of certified public accountants will be retained by the Foundation to audit all funds and activities of the Foundation and to render a report to the Board of Directors of the Foundation and to the Board of Regents of the University. All appropriate officers of the University and of the Foundation will cooperate with the accountants in the preparation of a proper audit.

ARTICLE III BUDGET AND ALLOCATION PROCEDURES

A. The allocation and budgeting of *unrestricted funds* is to be determined by the Board of Directors of the Foundation upon recommendation of the Chancellor of the University System. *Restricted funds* shall be allocated based upon the restrictions of the donor under the supervision of the Chancellor of the University System or his designee.

B. During the year, the Chief Financial Officer collects information on needs for *unrestricted funds*. In May of each year, the Chief Financial Officer, with the assistance of the Budget Office of the University, shall prepare an estimate of income and expense to be paid from these funds for the succeeding fiscal year. A draft budget shall be presented to the Chancellor. The Chancellor, in consultation with the Chief Financial Officer and the Vice Chancellor for Institutional Advancement, shall make a final decision on budgeting recommendations to be made to the Executive Committee of the Foundation.

C. The Chancellor, the Chief Financial Officer, and the Vice Chancellor for Institutional Advancement shall review budget levels for *unrestricted funds* with the Executive Committee. The Executive Committee, after full consideration, shall make recommendations to the Board of Directors of the Foundation. The Board of Directors will approve the final allocation of these funds. Should there not be agreement between the Board of Directors and the Chancellor on the final allocation, the proposed budget shall be referred to the Chair of the Foundation, the Chair of the Board of Regents, and the Chancellor for final resolution.

D. Copies of the approved budget shall be distributed by the Chief Financial Officer to account managers by August 15th of each year. The Chief Financial Officer shall provide the Chair of the Board of Regents, the Chair of the Finance and Administration Committee of the Board of Regents, and the Chancellor of the University System with a report of the Allocation of Unrestricted Funds at the next scheduled meeting of the Board of Regents.

E. Requests for budget adjustments to unrestricted fund accounts shall be submitted by a memorandum from the responsible account manager to the Chief Financial Officer. The Chief Financial Officer may approve adjustments of \$1,000.00 or less. The Chancellor may approve adjustments of \$5,000.00 or less. Adjustments of more than \$5,000.00 require approval under the procedures described in paragraphs B. and C. of Article III above. All budget adjustments, revenues, and expenditures are reported quarterly to the Board of Regents and to the Board of Directors of the Foundation. A complete audit report, developed by an independent certified public accountant, shall be provided annually to the Board of Directors and to the Board of Regents.

F. The Foundation, through its Executive Committee, shall have final and sole authority over questions of legality of any expenditure. On matters not involving questions of legality of expenditures, the procedures set out in this article shall be the governing section.

ARTICLE IV ACCOUNTING AND INVESTMENT PROCEDURES

A. Funds of the Foundation allocated by the Foundation for use by the University System will be receipted, accounted for, and disbursed within the accounting system of the University System as Agency Funds, which are those funds belonging to another agency for which the University System serves as trustee.

B. All cash funds of the Foundation shall be invested by the Treasurer of the Foundation within the investment programs of the University System, unless otherwise instructed by the Foundation, with all earnings credited to the Foundation. Earnings from investments shall accrue to the General Operating Fund of the Foundation, except for endowment funds and certain restricted accounts, or unless otherwise instructed by the donor or the Foundation.

C. The Treasurer of the Foundation shall furnish a quarterly report to the Foundation and to the officers of the Foundation and to the Chancellor of the University System reflecting full investment information concerning the investment and utilization of the funds and resources of the Foundation, including endowment funds and restricted accounts. The Foundation may elect to use other investment programs not available to the University System.

ARTICLE V DISBURSEMENT CONTROL

A. Disbursements from the accounts of the Foundation will be supervised by the Treasurer of the Foundation and will be made only for expenditures consistent with the explicit purposes for which the accounts were established, and in conformity with the restrictions and instructions of the donors or the Foundation, the budget of the Foundation, and the policies of the Foundation and the University System.

B. Before any salary payment, gift, grant, or financial supplementation is made to any employee of the University System from any account or funds of the Foundation, such action must be specifically approved in advance by the Chancellor of the University System and the Executive Committee of the Foundation, and an annual report shall be made by the Treasurer of the Foundation to the Deputy Chancellor for System Operations of the University and the officers of the Foundation.

ARTICLE VI UNIVERSITY OFFICERS AS FOUNDATION OFFICERS

In accordance with the bylaws of the Foundation, certain officers of the University System will serve as officers of the Foundation:

A. The Vice Chancellor for Institutional Advancement of the University shall serve as the Chief Operating Officer of the Foundation and as such, shall be responsible for and shall report to the Chair of the Foundation and the Board of Directors of the Foundation as to all business of the Foundation, shall formulate and manage fundraising programs and campaigns, shall be responsible for the donor and public relations of the Foundation and of the University System, shall process donations and gifts to the Foundation, and shall develop and manage an approval process to be used by the staff of the University System prior to approaching major donors and other foundations.

The Chief Operating Officer of the Foundation shall prepare a detailed, monthly report of all gifts received by the Foundation, to include identification of the donor (unless the donor specifically requests anonymity or strict confidentiality), the address of the donor, the amount of the gift, the purpose of and restrictions on any gift, and whether a gift is a matching gift. This report shall be submitted to the Chancellor and Development Office of the University System and to the Chief Executive Officer and Executive Committee of the Foundation.

B. The Chief Financial Officer of the University System shall serve as the Treasurer of the Foundation, and as such, shall be the custodian of and be responsible for all funds of the Foundation and for maintaining and keeping systematic accounts and complete financial records of the Foundation and shall be responsible to and shall report to the Chief Executive Officer, the Executive Committee, and the Board of Directors of the Foundation as to all business and financial affairs of the Foundation, as requested. The Treasurer shall manage and safeguard all securities and other assets of the Foundation and shall be responsible for the deposits, withdrawals, investments, and transfers of all funds, securities, and other assets, in accordance with the budget of the Foundation, the policies and procedures set forth herein or adopted by the Foundation, and in accordance with the bylaws of the Foundation and any applicable state or federal law or regulation.

ARTICLE VII TERMINATION

This agreement shall continue for an indefinite period. Either party hereto may terminate this agreement by giving ninety (90) days written notice to the other party. Such Notice of Termination shall be given to the Chancellor of the University System or to the Chief Executive Officer of the Foundation by certified mail, return receipt requested, or by delivery in person. At the termination of this agreement, all remaining funds and assets of the Foundation, not spent or otherwise disposed of in accordance with the wishes of the donor or the instructions of the Foundation, shall be retained in the Foundation, subject to transfer to the University System.

EXECUTED on the 11th day of August, 2000.

TEXAS TECH FOUNDATION, INC.

TEXAS TECH UNIVERSITY SYSTEM

By: _____
Chair, Board of Directors

By: _____
Chair, Board of Regents

ATTEST:

ATTEST:

- 06 **Student Affairs.** Each school and/or component institution of the Texas Tech University System shall publish and maintain a code of student conduct, which requires board approval, and other student related publications.
- 06.01 Each code of student conduct shall contain, but is not limited to, the following topics:
- 06.01.1 general policy;
 - 06.01.2 misconduct section that includes but is not limited to the following topics:
 - a. alcoholic beverages;
 - b. narcotics or drugs;
 - c. firearms, weapons and explosives;
 - d. theft, damage or unauthorized use;
 - e. actions against members of the university community;
 - f. gambling, wagering or bookmaking;
 - g. hazing;
 - h. false alarms or terroristic threats;
 - i. financial irresponsibility;
 - j. unauthorized entry, possession or use;
 - k. traffic and parking;
 - l. housing and dining services regulations;
 - m. student recreation and aquatic center regulations;
 - n. failure to comply with reasonable directions or requests of university officials;
 - o. failure to present student identification;
 - p. abuse, misuse, or theft of university computer data, programs, time, computer or network equipment;
 - q. providing false information or misuse of records;
 - r. using skateboard, rollerblades, or similar devices;
 - s. academic dishonesty;
 - t. violation of published university policies, rules, or regulations; and
 - u. abuse of the discipline system.
 - 06.01.3 disciplinary procedures;
 - 06.01.4 disciplinary sanctions, conditions, and/or restrictions;
 - 06.01.5 disciplinary appeal procedures;

- 06.01.6 emotionally disturbed students; and
- 06.01.7 code committees.
- 06.02 **Student publications.** Each institution shall create and maintain student publications covering but not limited to the following topics:
 - 06.02.1 absences;
 - 06.02.2 academics requirements;
 - 06.02.3 admissions and applicants;
 - 06.02.4 advertisements/solicitations;
 - 06.02.5 attendance;
 - 06.02.6 campus resources;
 - 06.02.7 disabilities;
 - 06.02.8 discrimination/equal opportunity;
 - 06.02.9 employment;
 - 06.02.10 exams;
 - 06.02.11 financial policies;
 - 06.02.12 government;
 - 06.02.13 grades/grading;
 - 06.02.14 grievances;
 - 06.02.15 health services;
 - 06.02.16 organizations;
 - 06.02.17 publications;
 - 06.02.18 records;
 - 06.02.19 registration;
 - 06.02.20 residence/residency;
 - 06.02.21 sexual harassment;
 - 06.02.22 space and facilities; and
 - 06.02.23 tuition.

19 Athletics

19.01 Governance and control of intercollegiate athletics

- 19.01.1 The intercollegiate athletic programs of Texas Tech University shall be conducted in strict compliance with all rules, regulations and bylaws of the National Collegiate Athletic Association and the Big 12 Conference.
- 19.01.2 The chancellor, with assistance from the president, the director of intercollegiate athletics and the chair of the athletic council, will report to the board on compliance with the National Collegiate Athletic Association and Big XII Conference bylaws, rules and regulations. This report will be made each year at a spring meeting of the board.

19.02 Athletic Council

- 19.02.1 The board has established the Athletics Council to advise the president, the chancellor, and the board concerning the development and supervision of intercollegiate athletic programs.
- 19.02.2 The Athletic Council may:
 - a. review and make recommendations to the president, the chancellor, and the board on any matters pertaining to the enforcement of eligibility rules and regulations established by an athletic conference or national association in which the university holds membership; and
 - b. review and make recommendations on any other pertinent matters related to the university's intercollegiate athletic program; however, such recommendations and suggestions shall be made to and channeled through the president.
- 19.02.3 The Athletic Council shall not have final authority to direct, control or supervise the operation or activities of the university's Department of Athletics or intercollegiate athletic programs.
- 19.02.4 The Athletic Council shall consist of eleven (11) members as follows:
 - a. six (6) members shall be appointed from the faculty by the president of the university, one (1) of whom shall be designated by the president as chair;
 - b. three (3) members who are not employed by the university shall be appointed by the president;
 - c. one (1) member of the council shall be appointed by the Texas Tech Ex-Students Association;
 - d. one (1) member of the council shall be a current member of the student body at Texas Tech, appointed by the Texas Tech Student Association; and

- e. no member of the board shall be appointed to the council.

All appointments to the council, including the chair, shall be for a term of three years, with the exception of that of the student member, whose term will be for one-year. (In the continuing implementation of this policy, the president shall have the authority to make appointments of less than three years so that terms of service will be staggered in order that approximately one-third of the council members will complete their terms of service each year.)

- 19.02.5 The president shall report to the board, through the Office of the Chancellor, his annual appointments to the council.

- 19.02.6 The council should adopt rules, regulations and by-laws regarding its internal functioning, which should provide for regularly scheduled meetings and the keeping of full minutes concerning all of its actions.

19.03 Postseason athletic competition

- 19.03.1 **Qualifying standards.** When applicable, all teams and participants must meet or exceed National Collegiate Athletic Association (NCAA) qualifying standards in order to represent the university in postseason competition.

- 19.03.2 **Bowl and tournament participation**¹

- a. Possible bowl and tournament invitations will be assembled early by the athletics director who will discuss them with the president. The president will, in turn, discuss such invitations with the chancellor and the chair of the board who may recommend rejection of an invitation if the bowl or tournament under consideration does not justify acceptance for financial reasons or if the committee feels the team does not merit such reward.
- b. Official bowl or tournament invitations will be received by the athletics director.
- c. Consideration of the acceptance of bowl or tournament invitations will progress from the athletics director, who will first discuss the invitation with the head coach, then to the president, who will discuss the invitation with the chancellor and the chair of the board.
- d. The official decision to accept or reject a bowl or tournament invitation shall be announced by the president after receiving approval of the chancellor and the chair of the board. The athletics director shall transmit such decision to bowl or tournament officials.
- e. The athletics director shall coordinate all bowl or tournament arrangements, which shall parallel as closely as possible regular season out-of-town game procedures.

¹ All references to the chair of the Athletics Council have been deleted.

- f. The athletics director will be the point of local contact for bowl and tournament representatives.

19.03.3 **Postseason bonuses.** Provided the university receives a profit for participating in a bowl or tournament and has adequate funds from such proceeds, after all participating expenses have been paid, consideration shall be given to awarding bonuses according to the following guidelines:

- a. **Athletic department staff.** If the president and athletics director agree that bonuses should be awarded the athletics director will recommend, for the president's approval, those staff members who are to receive them and the amount each is to receive.
- b. **Staff eligibility.** In order to be eligible for bonus consideration, all athletics department personnel must be employed full-time and must have been employed at least six months prior to the event.

19.03.4 **Postseason bowl and tournament awards**

- a. All players on the bowl or tournament roster may receive all benefits that are allowed under the NCAA policy.
- b. Providing funds are available from the event proceeds, the athletics department may purchase from such funds awards for any remaining players, coaches, trainers or others recommended by the athletics director and approved by the president.

19.04 **Broadcasting and telecasting of intercollegiate athletics**

19.04.1 The following procedure is to be followed for the granting of radio and television rights to Texas Tech intercollegiate athletic events:

- a. Texas Tech University owns and exercises authority concerning all broadcast and telecast rights of all University athletic events, subject to contracts and agreements entered into by the Big 12 Conference or national or regional organizations of which Texas Tech is a member.
- b. In administering this authority, the president will approve the selection of events to be covered, set broadcast or telecast conditions, and establish fees and bidding procedures, as appropriate.

20 Honorifics and Seals

- 20.01 **Recognition of retiring regents, chancellors and presidents.** In awareness of the years of dedicated service rendered by retiring members of the board, chancellors and presidents, Texas Tech will present to each retiring regent, chancellor and president an appropriate and distinctive symbol of recognition. This symbol will be presented at an official dinner honoring regents, chancellors or presidents at the time of retirement from office.
- 20.02 **Distinguished Service Award.** A Distinguished Service Award may be presented by the board to individuals in recognition of distinguished contributions to Texas Tech in support of teaching, research or public service programs.
- 20.03 **Plaques commemorating ex-chancellors and ex-presidents of Texas Tech.** The purpose of this policy is to establish a tradition for recognition of ex-chancellors and ex-presidents of Texas Tech by the permanent installation of plaques noting the contributions of each during the individual's tenure in office. This policy does not apply to interim chancellors or interim presidents. The following guidelines are established for carrying out this tradition.
 - 20.03.1 Each plaque will bear a likeness of the chancellor or the president, the term of office, and a brief account of the significant contributions made by that individual's administration. As far as possible each plaque will conform to the original style.
 - 20.03.2 A period of five years must elapse between the time of a chancellor's or president's leaving office and the preparation of plaque copy in order that each plaque will reflect a proper historical perspective.
 - 20.03.3 Qualified historians are to be selected to prepare the text for the plaques.
 - 20.03.4 The board will approve the text, the installation of each plaque, and its proposed location.
- 20.04 **Honorary degrees**
 - 20.04.1 Honorary degrees conferred by Texas Tech must be recommended by the president to the chancellor and authorized by the board.
 - 20.04.2 Honorary degrees may be conferred for public service, scholarship, or other contributions in the public interest.
 - 20.04.3 Nominations for honorary degrees may be made by faculty, staff, or members of the board.
 - 20.04.4 No person actively associated with Texas Tech at the time of the award will be considered for an honorary degree.

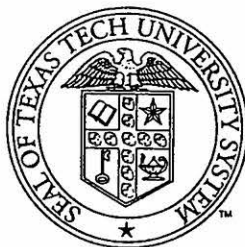
- 20.04.5 Honorary degrees will be awarded at commencement.
- 20.04.6 Nominations will be evaluated by an advisory committee appointed by the president, with administrative, faculty and student representation, and recommendations will be made to the president.
- 20.04.7 The chancellor will inform the board of nominations at least one month prior to the board meeting when candidates are scheduled to be considered for approval.

20.05 Naming of Texas Tech buildings and facilities

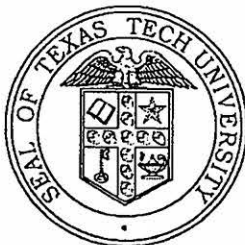
- 20.05.1 The naming of buildings, auditoriums, rooms, laboratories, streets, athletic fields, land masses, and other facilities on the Texas Tech campus and its outlying campuses shall be reserved to the board for final approval. An individual, foundation, or corporation wishing to expand the facilities of Texas Tech may have an area named after the donor provided fifty (50) percent of the designated area and/or equipment therein is provided by the donor.
 - a. **Academic buildings** shall be named on the basis of the major academic use of the building. All new buildings should be named, wherever practicable, by the time the preliminary architects drawings are approved. Any name changes of existing buildings should be determined as far as possible ahead of occupancy.
 - b. **Residence halls** may be named for a person, provided the individual is not actively connected with Texas Tech at the time the building is named.
 - c. **Subunits of buildings** (auditoriums, offices, reading rooms, libraries, conference rooms, laboratories, etc.) may be named after an individual who, as an employee, has provided exemplary service to Texas Tech or who, as a volunteer, has avidly pursued a program of excellence for a department, school, college or for Texas Tech.
 - d. **Streets and designated landscape areas of the campus** may be named after individuals or groups of individuals who have brought honor and distinction to the institution.
 - e. **Athletic and Recreational Facilities or Areas** may be named after a donor(s) or family who wish to donate a substantial contribution toward the cost of the project (normally a minimum of 50 percent).
- 20.05.2 In no case shall a campus site, structure or facility bear the name of more than two (2) individuals or one foundation or corporation.
- 20.05.3 All designations involving the above to be named for individuals, foundations, and corporations must receive final approval from the board before said designation can be announced.

- 20.05.4 Exceptions to the above sections may be made by the board when it is determined that a building should be named for a major donor or other person deserving special attention.
- 20.06 **Naming of schools, colleges, and other institutes.** Naming of schools, colleges, and other institutes not specifically addressed in 20.05 must receive final approval from the board before said designation can be announced.
- 20.07 **Seals of Texas Tech University and Texas Tech University Health Sciences Center.**

20.07.1 The seal of the Texas Tech University System shall be:



20.07.2 The Seal of Texas Tech University shall be:



20.07.3 The Seal of Texas Tech University Health Sciences Center shall be:



- 20.07.4 The Secretary of the Board of Regents of Texas Tech shall be the custodian of the Seals of the University, the Health Sciences Center, and the System. The seals shall be used by the secretary to attest to acts of the board and its chair and to demonstrate the validity and authenticity of documents, artifacts, deeds, contracts, and other instruments as authorized by the board. Where necessary and proper, the secretary shall use the seal to attest to the authority of administrative or executive officers of the university and the health sciences center.

20.07.5 The secretary is authorized to permit the registrars of Texas Tech University and Texas Tech University Health Sciences Center to use the seal for the purpose of authenticating student transcripts.

20.08 Seal of Texas Tech University School of Law

20.08.1 The Seal of Texas Tech University School of Law shall be:



20.08.2 The Dean of the School of Law shall be the custodian of the seal and he and the registrar shall use the Seal for those purposes which they deem appropriate.

7. Historically Underutilized Businesses (HUBs)

- a. The Board of Regents of the Texas Tech University System is committed to providing procurement and contracting opportunities to HUBs, and hereby directs the Texas Tech University System to promote compliance with the General Services Commission's (GSC's) promulgated rules and state legislation concerning HUBs. The Chancellor shall designate an employee within the Office of Facilities Planning and Construction to carry out HUB-related procedures with regard to construction programs overseen at the system level.
- b. The Presidents of each component institution shall have the overall responsibility for the planning, coordination, implementation, and enforcement of HUB policies on their respective components. The President will appoint an employee (HUB Coordinator) to carry out the provisions of this policy at the university level. The HUB Coordinator must be at least equal in position to the institution's procurement director. HUB Coordinators and the person designated by the Chancellor pursuant to 7.a. above shall:
 - 1. coordinate training programs to recruit HUBs and to assist HUBs in learning how to conduct business with the Texas Tech University System and its components;
 - 2. report required information to the GSC;
 - 3. match HUBs with key staff; i.e., insuring that HUBs interact with the appropriate level university personnel;
 - 4. make a good faith effort to increase the award of goods and service contracts to HUBS in accordance with state legislation and the GSC's promulgated rules.
- c. TTU and TTUHSC will implement operating policies and procedures setting forth their HUB programs and procedures. The President of each component will provide support, as needed, to implement these HUB policies.

Board of Regents Policy 05.06, Investment Policy Statement for Endowment and Certain Long-Term Institutional Funds

The Investment Advisory Committee met on June 22, 2000 and recommends the following for consideration by the Board of Regents:

INVESCO Capital Management be terminated as large cap value manager, and Pacific Financial Research and Equinox Capital Management, LLC, be hired as large cap value investment managers.

Board of Regents Policy 05.06, Investment Policy Statement for Endowment and Certain Long-Term Institutional Funds, be amended as outlined in Attachment 1 to allow firms, at the time of purchase, to invest as much as 10 percent of each manager's portfolio at market value in one security. The current limit is 5 percent.

Accordingly, the Office of the Chancellor recommends that the Board of Regents (i) approve the recommendations of the Investment Advisory Committee that (a) INVESCO Capital Management be terminated as large cap value manager and (b) Pacific Financial Research and Equinox Capital Management, LLC, be retained as large cap investment managers; and (ii) approve amendments to *Board of Regents Policy 05.06, Investment Policy Statement for Endowment and Certain Long-Term Institutional Funds*, as follows:

- a. Amend Section 4, Withdrawal of Long-Term Institutional Funds, to read as follows:

"4. Authorized Withdrawal of Long-Term Institutional Funds.

Long-term institutional funds may be ~~withdrawn~~ allowed to withdraw their investment from the Long-Term Investment Fund (the LTIF) after providing written notice of such their intent is provided and receiving advance written permission is received from the Deputy Chancellor for Administration, the Vice-Chancellor for Administration and Finance Chief Financial Officer, and the Vice President for Fiscal Affairs. The dollar amount of the withdrawal will equal the number of units withdrawn times the then current Net Asset Value (NAV) of the LTIF. ~~Because of changes in the NAV from the time of initial deposit, the dollar amount of withdrawal may be more or less than the original investment.~~

- b. Amend the third paragraph of Section 8, Spending Policy, to read as follows:

"The distribution of spendable income to each unit of the LTIF shall not exceed 6 percent, nor be less than 4 percent, of the average market value of a unit of the LTIF for the preceding 12 quarters. The target annual

distribution rate shall be 4.5 percent of the average unit market value. Distribution shall be made quarterly, as soon as practicable, after the last calendar day of November, February, May and August. The distribution amount shall be recalculated based on a 12 quarter rolling average. The target annual distribution rate will be phased in as follows:

FY 1996-1997	Payout 4 percent of last 4 quarters Average Market Value
FY 1997-1998	Payout of 4.5 percent of last 8 quarters Average Market Value
FY 1998-beyond	Payout of 4.5 percent of last 12 quarters Average Market Value

- c. Amend the eighth paragraph of Section 9, Asset Allocation, to read as follows:

"The manager guidelines and exclusions stated in Section 9~~10~~ and Section ~~40~~11 apply to investments in nonmutual and nonpooled funds, where the investment manager is able to construct a separate, discretionary account on behalf of the LTIF. Although the Board of Regents cannot dictate policy to pooled/mutual fund investment managers, the board's intent is to select and retain only pooled/mutual funds with policies that are similar to this policy statement. All managers (pooled/mutual and separate), however, are expected to achieve the performance objectives."

[Note: This proposed amendment is a "housekeeping" amendment to accurately state the section number references of the policy, which references were affected by previously approved amendments to the policy.]

- d. Amend Section 10, Manager Guidelines, Paragraph g, to read as follows:

"g. At the time of purchase, no more than ~~5-0~~10.0 percent of each manager's portfolio at market value may be invested in any one security, with the exception of securities issued by the U.S. Government or its agencies."

ORDER OUT OF CHAOS: The Current Economic Status of Health Sciences Centers



NATIONAL OVERVIEW





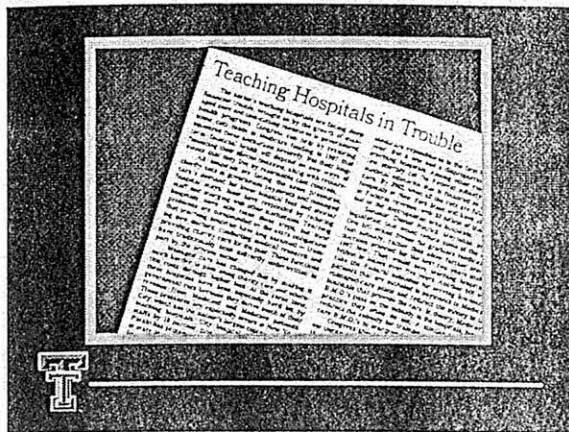
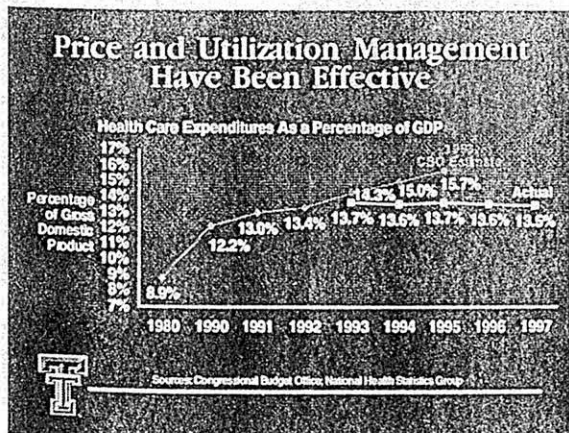


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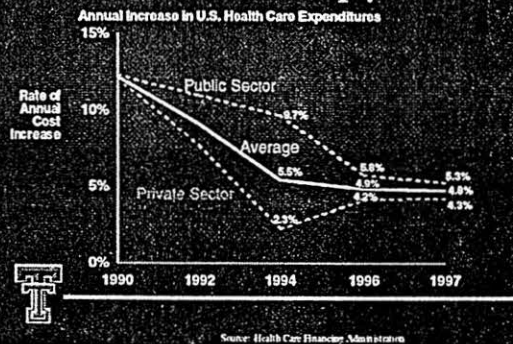


HSCs Posting Large Losses

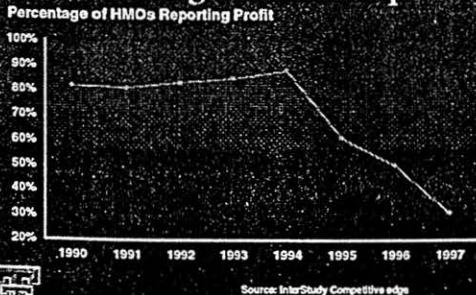
- Arizona
- Arkansas
- UCSF
- UCSD
- Stanford
- UC Irvine
- UConn
- George Washington
- Georgetown
- So. Florida
- Med. Coll. of Georgia
- Univ. Illinois
- Rush-Pres.-St. Luke's
- Wayne State
- UMissouri
- UWashington (Jewish/Barnes)
- UMDNJ
- Temple
- Penn. State
- UPenn
- Med USouth Carolina
- UTMB
- UVirginia



Public Sector Expenditure Growth Is Down Sharply

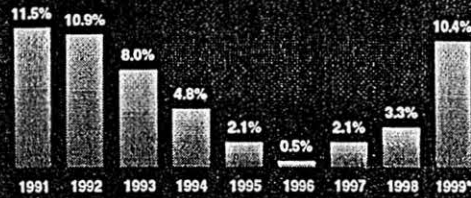


Declining HMO Profitability Now Driving Premiums Up



Overall Health Insurance Premiums Are Now Rebounding

Premium Increases Incurred by Employers



*Estimated
Sources: KPMG Peat Marwick 1997 Survey (1992-1998), Buzz DO, "Business' Health Premiums Are Rising," The Wall Street Journal, November 17, 1999, A2 (1999)

Teaching Hospitals, 6% of the Nation's Beds, Deliver 39% of Its Charity Care

COTH Hospitals As a Percentage
of U.S. Acute Care Hospitals



274 of 4,916 Hospitals

COTH Hospital Charity Care As a
Percentage of All Hospital Charity
Care



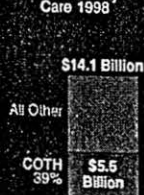
5.5 of \$14.0 Billion/Year



Source: AAMC Database, January 1999

Uninsured Could Add \$9.8 Million to Charity Care Burden for Each COTH Hospital

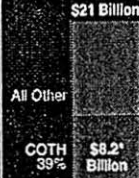
Actual Charity
Care 1998



2003 Charity Care with
Added Uninsured
(19% Increase)



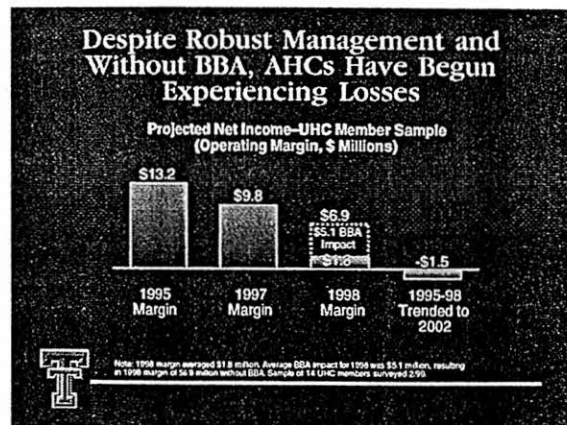
2003 Plus HCFA
Inflation Estimate
(25% Increase)



* \$9.2 billion in charges = \$6.1 billion in cost using latest available
RCC Estimate (1995) for COTH Hospitals

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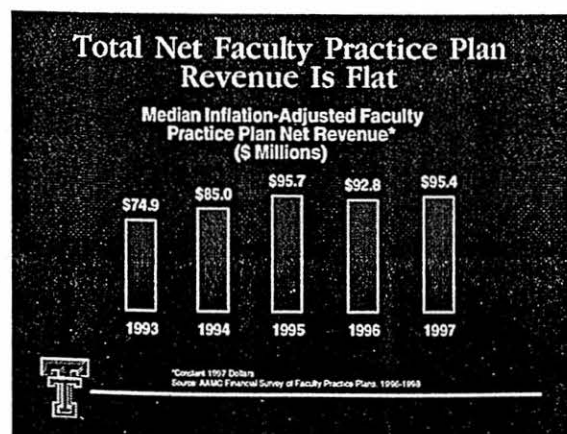


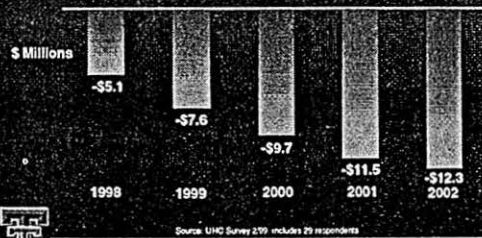
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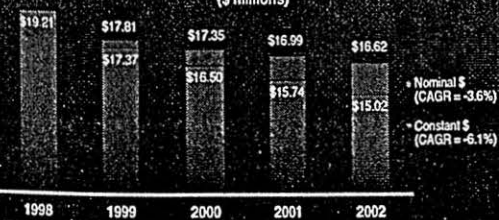
BBA's Impact Will Accelerate UHC Hospital Losses

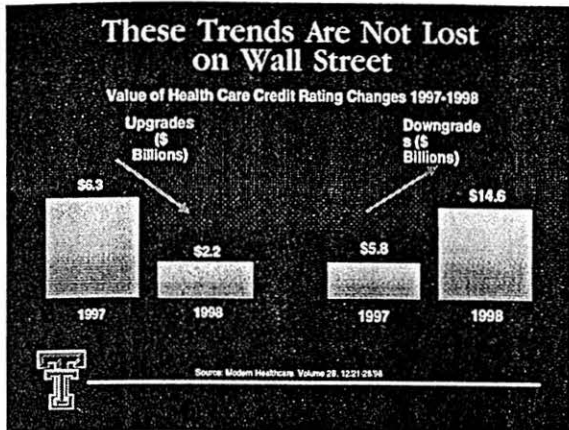
Average Annual Decrease in Medicare and Medicaid Revenue
Computed by 29 UHC Members

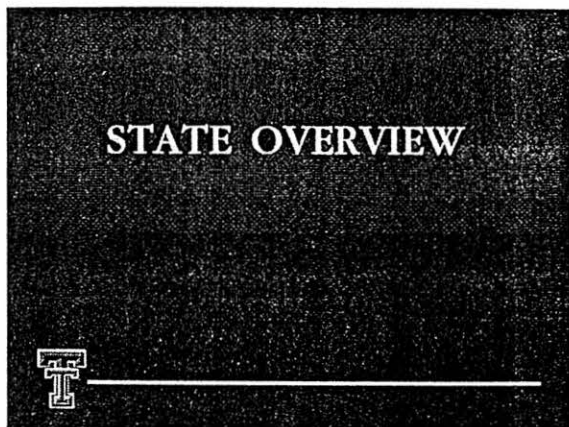


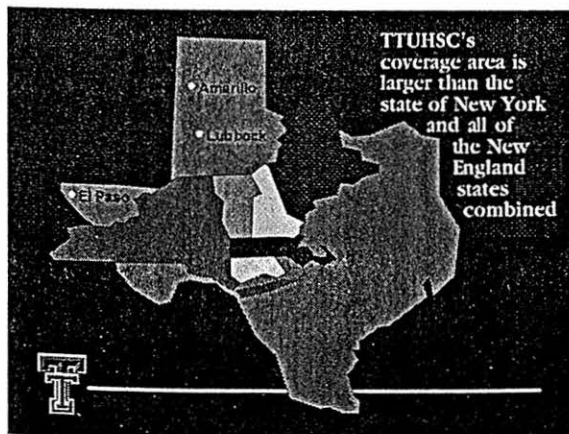
Faculty Practice Plans Will Also See Dramatic Reductions in Medicare Revenue

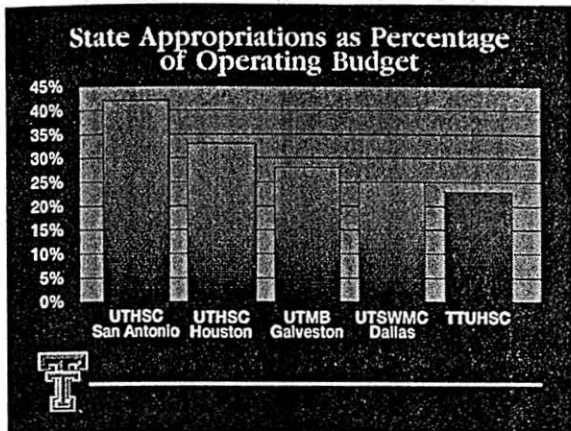
Sample AHC Member Faculty Practice Plan
Computation of BBA Revenue* Impact
(\$ Millions)

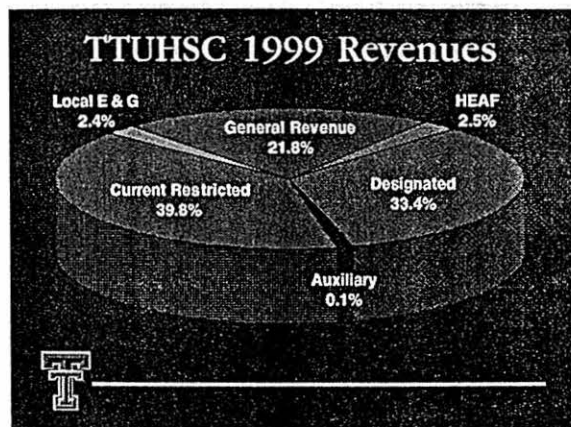


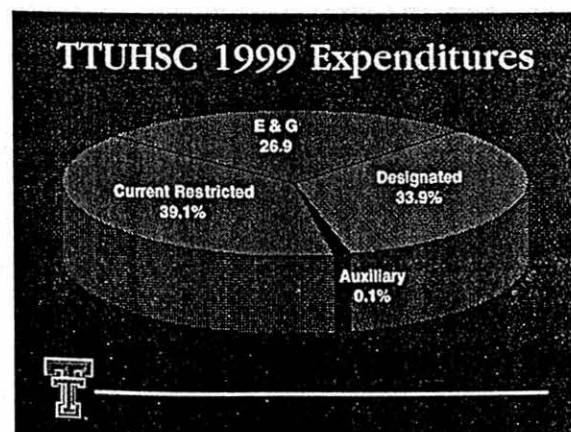


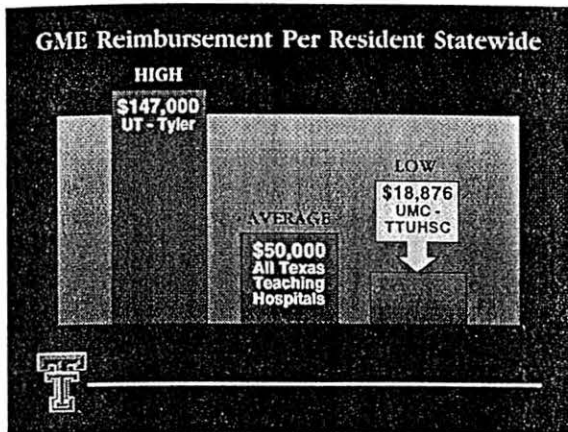


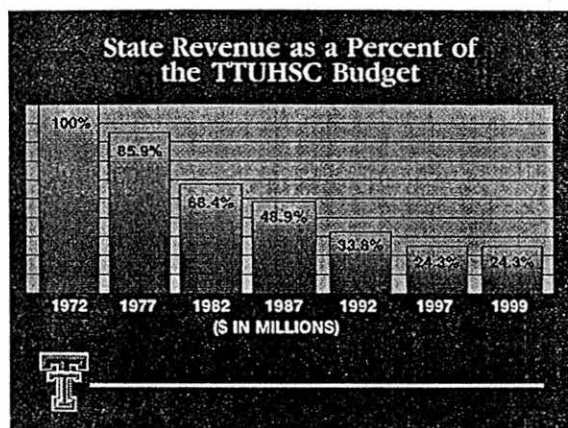


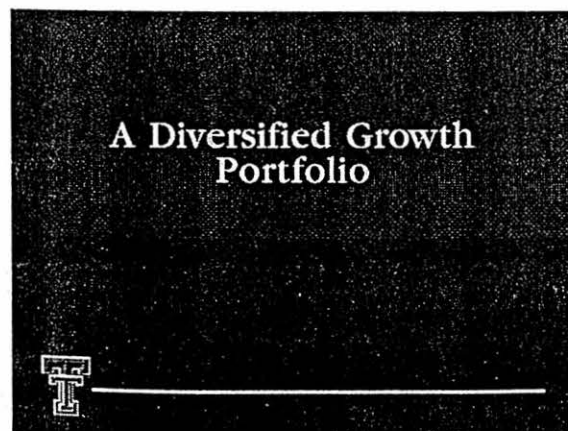












A Diversified Growth Portfolio Research

- Sick Building Syndrome
- Alzheimer's Disease
- Aging
- Steroid Synthesis
- Cardiac Physiology
- Renal Physiology
- Diabetes
- Galt Laboratory
- Tobacco/Nicotine
- Clinical Research Office
- Health Services Research



A Diversified Growth Portfolio Areas of Diversification

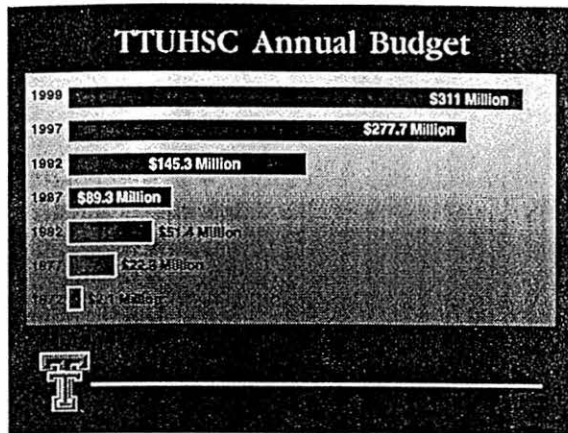
- Health Aging Center
- International Pain Center
- Laser Eye Center
- Surgicenter
- Dialysis Center
- Cancer Center
- Women's Health
- Distributed Office Settings (El Paso)

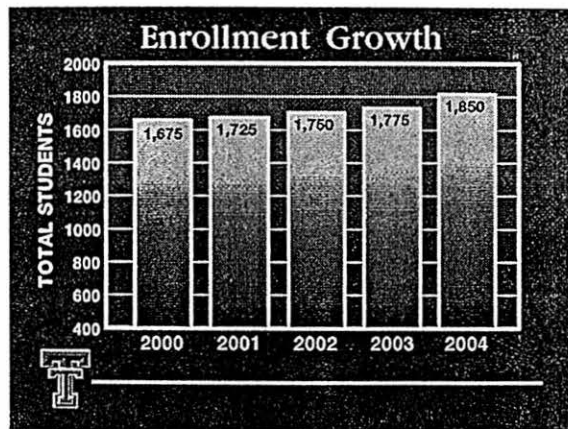


A Diversified Growth Portfolio Areas of Diversification (Continued)

- Telemedicine / Telecommunications
- Continuing Education
- Criminal Justice Health Care
- Mental Health
- HMO / PHO







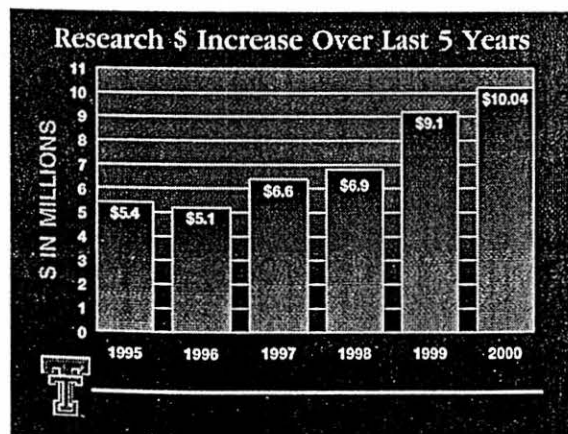
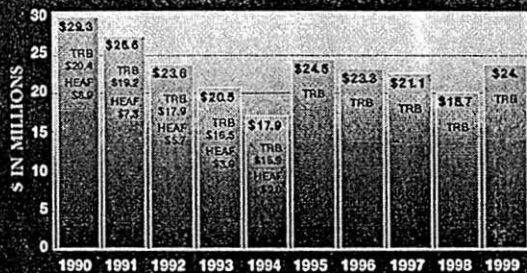


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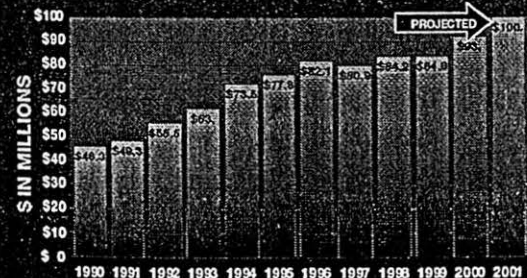
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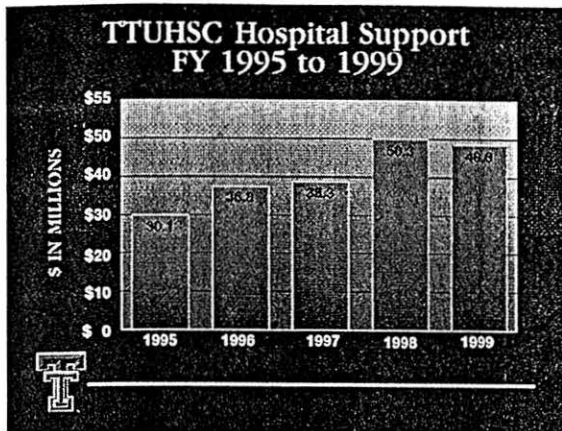


Long Term Debt 1990-1999



MPIP Revenue 1990-2000






Group Purchasing of Drugs and Medical-Surgical Supplies

Savings to TTUHSC achieved by:

- National contracting through buying group
- Reduction in total delivered cost (redesign supply channel)
- Identification of Best Value Products (private label, i.e., Novaplus)
- Purchasing conformance to contract items




Proposed Savings

For Drugs:

- Estimate 15-20% reduction to TT clinics and Student Health Center Pharmacy after wholesaler and management fees. Current \$ utilization: \$7,500,000.00.
- Cost avoidance in future years for all pharmacy programs should average 20% after fees for most items.

For medical-surgical supplies:

- Estimate 20-30% reduction to TT clinics on the average after wholesaler and management fees. This estimate is based on a comparative pricing analysis performed on the top 142 items purchased under a FY 1999 medical supply contract. The current approximate \$ utilization: \$3,000,000.00.





CAMPUS RETIREMENT VILLAGES FACTS AND FIGURES

TOTAL COST OF THE PROJECTS:

\$40 million to \$80 million

ACREAGE:

42 acres to 180 acres

NUMBER OF UNITS:

Cottages – 40 to 80

Apartments – 75 to 200

Assisted Living Apartments – 30 to 75

Nursing Beds – 30 to 60

ENTRY FEES (COST OF UNITS):

\$59,000 to \$273,000

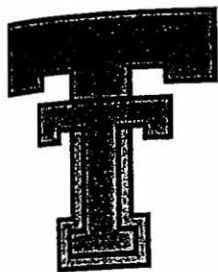
MONTHLY FEES:

\$1200 TO \$3300

SIZE OF UNITS:

Cottages – 1200 to 1800 square feet

Apartments – 630 to 1800 square feet



EXAMPLES OF CAMPUS RETIREMENT VILLAGES

Duke University

The Forest at Duke: 42 acres, 80 individual cottages, 160 independent living apartments, 30 assisted living units, and 30 nursing care beds

University of Virginia

The Colonnades: 59 acres, 40 individual cottages, 180 independent living apartments, 45 assisted living apartments, and 54 nursing care beds

Pennsylvania State University

The Village at Penn State: 160 acres, 40 individual cottages, 200 independent living apartments, 40 assisted living apartments, and 60 nursing beds

College of Engineering

Academic Program Review

August 2000

Who Moved My Cheese?

By Spencer Johnson, M.D.

ISBN 0-399-14446-3

Having cheese makes
you happy.

Smell the cheese
often so you know
when it is getting
old.

Old beliefs do
not lead you to
new cheese.

The more important your
cheese is to you the more you
want to keep it.

If you do not
change you can
become extinct.

5/1/00

College of Engineering Review

2

Top 10 Engineering Accomplishments for 1999 In Terms of Long Term Impact

- ☐ Our ability to attract very good faculty to all of our programs (more than 30 in the last 24 months) to replace retiring faculty.
- ☐ Raising \$43 million in the Horizon campaign including the \$2.7million Koh Scholarships and a \$1 million cash gift to Industrial Engineering.
- ☐ The 10 year strategic space and needs analysis for the College of Engineering conducted by Parkhill Smith and Cooper.
- ☐ Dan Cooke's appointment to head the new \$370 million NASA Intelligent Systems Program.

5/1/00

College of Engineering Review

3

**Top 10 Engineering Accomplishments for
1999 In Terms of Long Term Impacts**

- ☐ The turn around in performance of our undergraduates on the fundamentals of engineering examination.
- ☐ Success of the transportation research center (TechMRT) in obtaining research funding from TxDOT.
- ☐ Impact of wind engineering research in promoting the need for windstorm mitigation.
- ☐ Henryk Temkin being named a Horn Professor.

5/9/00

College of Engineering Review

4

**Top 10 Engineering Accomplishments for
1999 In Terms of Long Term Impacts**

- ☐ The renovation of facilities in Chemical Engineering.
- ☐ Installing CAT5 100MB FastEthernet Network throughout the College of Engineering with at least two connections in every classroom and office.

5/9/00

College of Engineering Review

5

**Expanding the Technology
Work Force (THECB)**

- ☐ The Texas economy has made a fundamental shift to industries that are technology dependent.
- ☐ The change is not reflected in degree production by the Texas Higher Education System.

5/9/00

College of Engineering Review

6

The Role of Higher Education

- ☐ There is a substantial shortage of people to fill current high-tech job openings across a broad range of specializations.
- ☐ The US is allowing 85,000 scientists and engineers to immigrate to the US per year.
- ☐ The current shortage appears to be a trend that will continue and accelerate.

5/5/00

College of Engineering Review

7

The Top 20 Occupations Listed By A 1999 Survey Of Texas High School Seniors

included medicine, law, nursing, teaching, artist, professional athlete, police officer, hair stylist, actor/actress, singer, accountant and photographer.

- ☐ Only computer programmer found its way into the top 20.
- ☐ *No engineering field was included!*

5/5/00

College of Engineering Review

8

1999 Average Starting Salaries

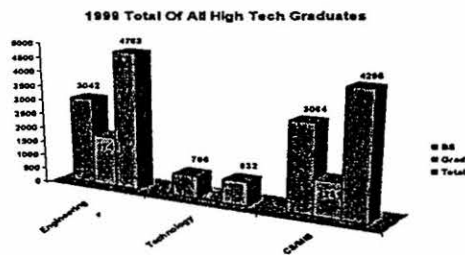
- ☐ High tech industry jobs paid an average of \$53,800 3 years after graduation (1997) while other private sector jobs paid an average of \$30,102
- ☐ The average starting salary for Texas BS engineering and computer science graduates was \$43,047 with critical specialties getting more than \$60,000 + signing bonus + stock incentives + BMW.

5/5/00

College of Engineering Review

9

How well are the Texas public engineering schools meeting the demand for high tech graduates?



9,078 high tech graduates in 1999 to fill 35,000+ job openings in Texas

8/9/00

College of Engineering Review

11

Why Market Share Counts

- ☐ If a market is growing, it is less costly to gain market share.
- ☐ When market growth slows, market shares become stalemated.
- ☐ In a mature market, gaps tend to widen between those with large shares and those with small shares.

8/9/00

College of Engineering Review

12

Why Market Share Counts

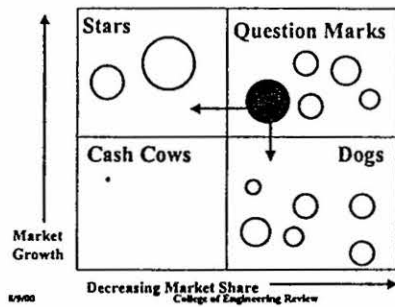
- ☐ Market share allows more control over the impacts of change.
- ☐ Market share enables economies of scale.
- ☐ Market share allows diversification into other markets.
- ☐ Market share allows strategic alliances to be formed with key suppliers.

8/9/00

College of Engineering Review

13

Why Market Share Counts

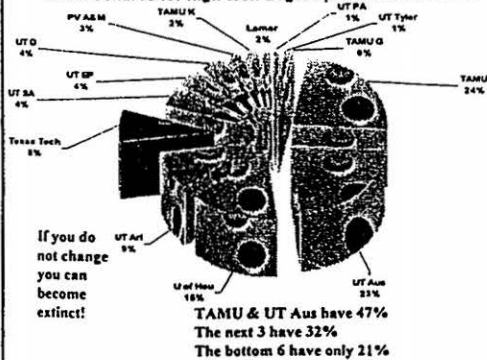


8/9/00

College of Engineering Review

14

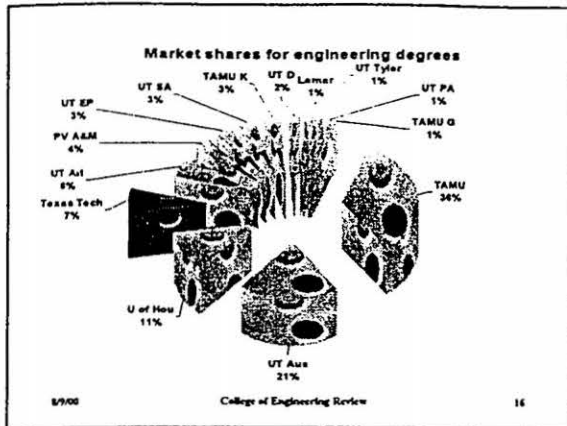
Market shares for high-tech degree production in Texas

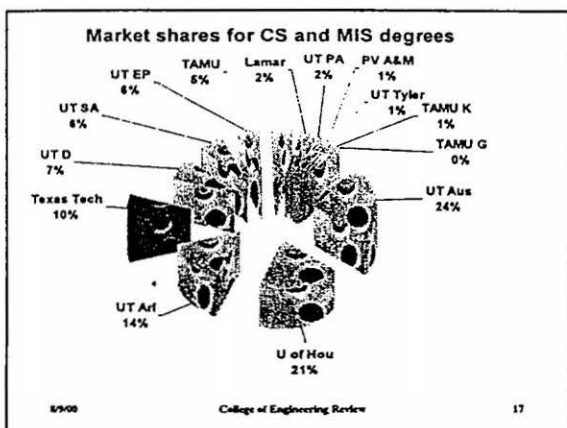


8/9/00

College of Engineering Review

15





We need to increase our market share!

We need to roughly double our market share of high technology degree production from 8% to 15% to move into a 3rd place

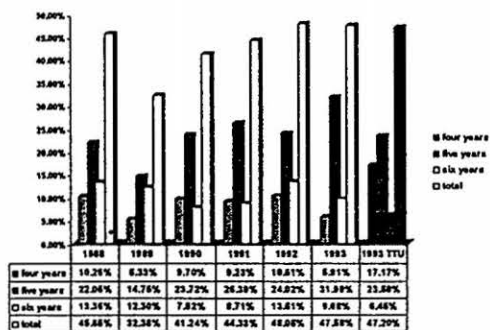
How well does the College of Engineering perform as a degree production system?

8/9/00

College of Engineering Review

19

Started Engineering and Graduated With A Degree From Texas Tech

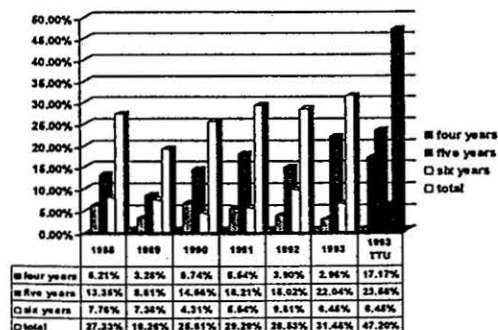


8/9/00

College of Engineering Review

20

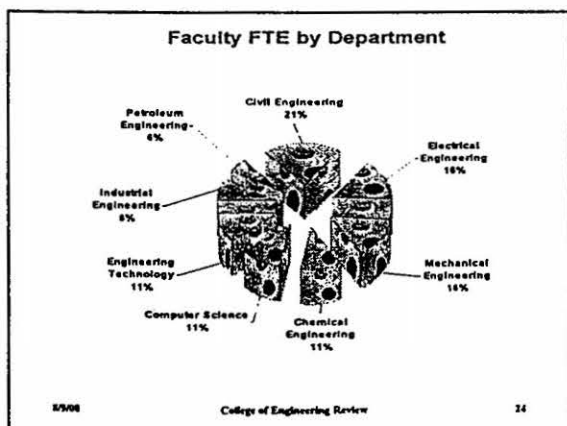
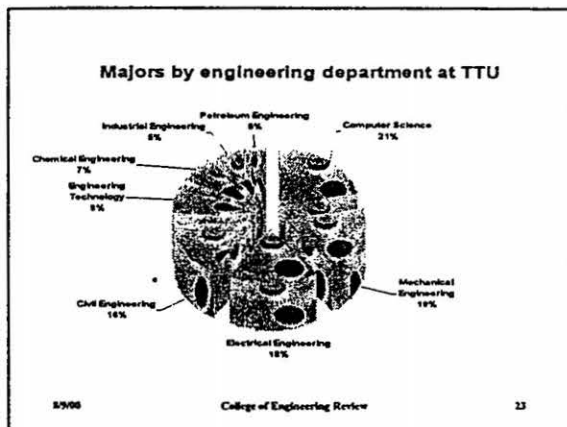
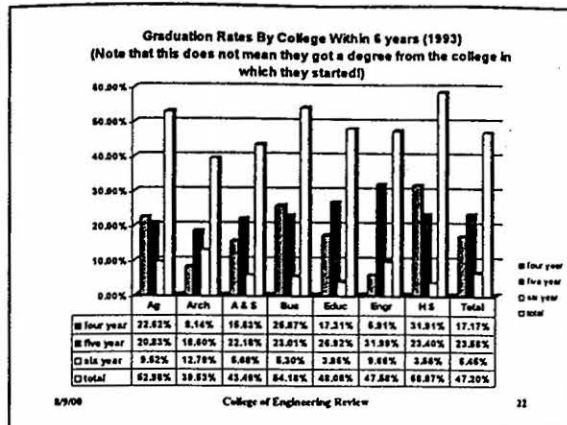
Started Engineering and Graduated With An Engineering Degree

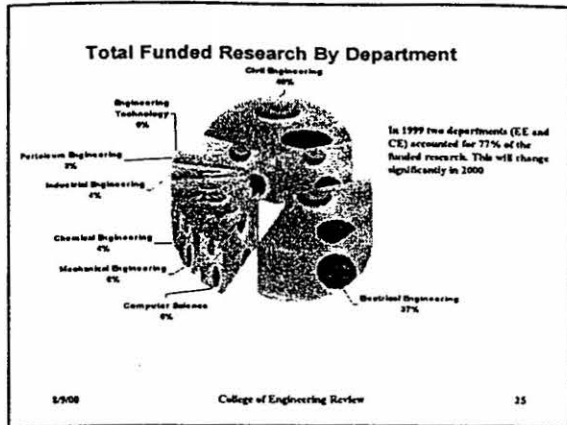


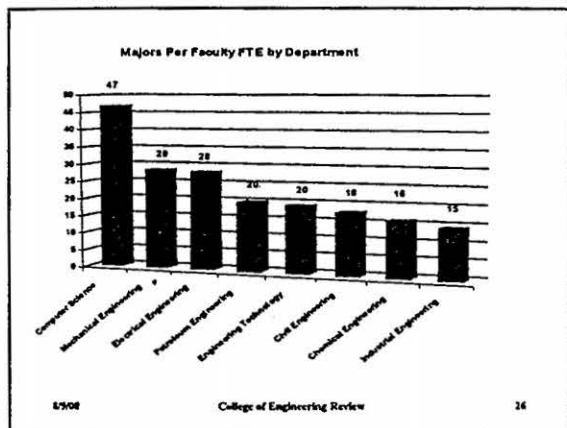
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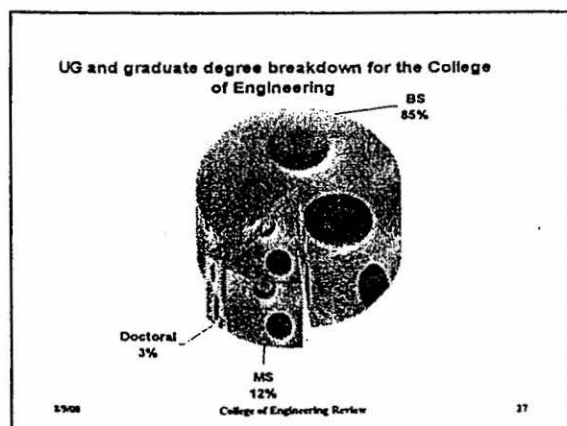
College of Engineering Review

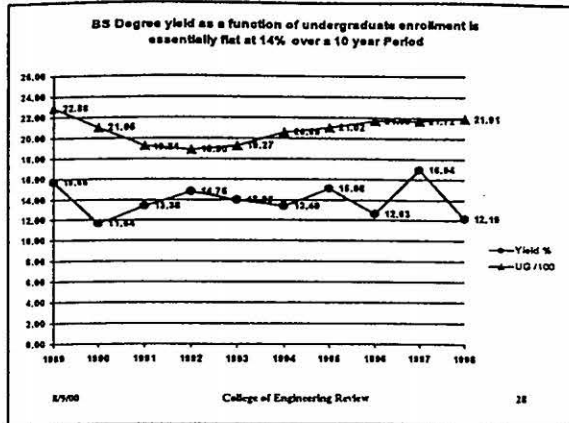
21











Some Observations

- ☐ The number of TTU engineering degrees produced has never varied more than $\pm 2\%$ from the average of 14 % of the UG enrollment during a 10 year period.
- ☐ There appears to be no correlation between UG enrollments, increased admissions standards and the number of engineering degrees awarded.

Some Measures

- ☐ Quality measures conformance to a specification. The quality of our degree programs is not in question.

Some Measures

- ☐ Yield measures that portion of a freshman class that eventually receives an engineering degree.
Our yield is about 32%
- ☐ Efficiency is a measure of degrees produced compared to the ideal per unit time. If 25% of our UG enrollment received a degree each year we would have a 100% efficient 4 year process.
Since only 14% of our UG enrollment gets a degree each year we are about 56% efficient.

8/9/00

College of Engineering Review

31

The Handwriting Is On The Wall!

The quicker you let go of old cheese the sooner you can enjoy new cheese.

8/9/00

College of Engineering Review

32

The issue is process!

- ☐ Assessment of outcomes and feedback to continuously improve the process is the key.
- ☐ We really have not done much to improve the process in the last 30 years.
- ☐ The one area where ABET will criticize our programs relates to assessment and continuous process improvement.

8/9/00

College of Engineering Review

33

We must improve the process!

- ☐ If we were yielding UG engineering degrees at the same 47% rate as the university as a whole rather than 32% as we did in 1993, then the number of UG engineering degrees would increase by a factor of 1.46!
- ☐ Using 1993 data, we would have seen an increase from 119 to 174 degrees in 1999.

8/9/00

College of Engineering Review

34

We must improve the process!

- ☐ If we could shorten the cycle time to get an UG degree down to 4.5 from 5.5 years we would graduate about 18% of our enrollment each year and increase our efficiency from 56% to 72%
- ☐ A combination of increased yield and a shorter cycle time will increase the capacity of our degree production system

8/9/00

College of Engineering Review

35

Cycle Time Reduction

- ☐ To shorten the cycle time we will need to make sure that the frequency of course offerings is not an obstacle to finishing an UG engineering degree in 4 years.
- ☐ The current summer funding model is a significant obstacle to getting an engineering degree in 4 years.

8/9/00

College of Engineering Review

36

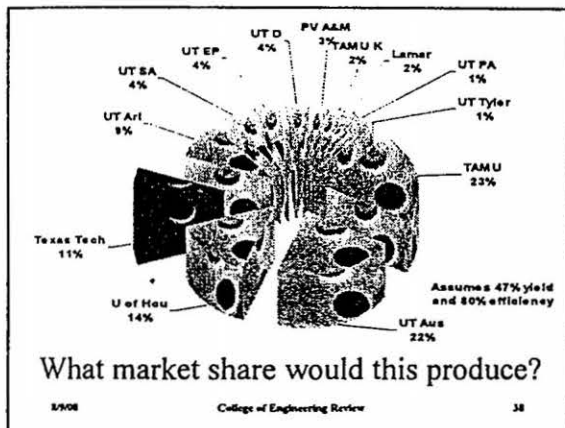
Cycle Time Reduction

- ☐ This may require a change to a trimester model in which every UG engineering course is offered 2 out of 3 semesters.
- ☐ This will result in increased capacity and use of facilities
- ☐ This will greatly facilitate student participation in co-op programs which is the preferred industry hiring model.

8/9/00

College of Engineering Review

37



What market share would this produce?

8/9/00

College of Engineering Review

38

Moving up, but not quite enough!

- ☐ Improvements in efficiency from 56% to 72% and increasing yield from 32% to 47% will get us a solid 4th place market share.
- ☐ If we increase both yield and efficiency we will have increased capacity.

8/9/00

College of Engineering Review

39

We will need to market our programs

- ☐ Effectiveness is a measure of both yield and efficiency.
- ☐ As we become more effective we will need to aggressively market our programs to attract more students to feed the improved process.

8/9/00

College of Engineering Review

40

Undergraduate Programs

- ☐ To Attract and retain more of the very best students at the undergraduate level, we offer
 - Bridge program
 - Additional scholarships
 - Honors classes
 - Early admissions opportunities for HS students via web-based distance education

8/9/00

College of Engineering Review

41

Undergraduate Programs

- ☐ Strengthen the professional orientation of our undergraduates (Murdough Center, TSPE and professional engineering registration).
- ☐ Continue to achieve an 80%+ pass rate on the FE examination.
- ☐ (Done! We now average a 90% pass rate.)

8/9/00

College of Engineering Review

42

Undergraduate Programs

- Strengthen key relationships with high school and 2-yr. institutions through initiatives such as the SBC grant.
- We will need to continue and institutionalize the outreach now being funded by the SBC grant.

8/9/00

College of Engineering Review

43

Graduate Programs

- Bring the graduate program into better balance in terms of domestic and international student enrollments.
- Strengthen key relationships with international universities such as UDLA using industrial grants such as C&SW and TI.

8/9/00

College of Engineering Review

44

Graduate Programs

- Strengthen key relationships with 4-yr. institutions using initiatives such as Texas Woman's University 150hr MS Engineering Program
- Increase the opportunities for focused graduate offerings.
 - MS in Engineering
 - MS in Software Engineering
 - MS in Systems Engineering

8/9/00

College of Engineering Review

45

Faculty Development

- ☐ We have hired 30+ faculty in the last 2 years.
- ☐ In 4 years we will have replaced $\frac{1}{2}$ of the College of Engineering tenure track faculty.
- ☐ We have a once in a generation opportunity brought about by this influx of new faculty to effect changes in our educational process.

8/9/00

College of Engineering Review

46

Faculty Development

- ☐ We can develop a strategic view of the life cycle of faculty as the key to management of our most critical resource.
- ☐ We can begin exploring new paradigms to improve both the yield and efficiency of our educational process.

8/9/00

College of Engineering Review

47

Assessment

- ☐ We can implement assessment methods that have substance to continuously improve our process.
- ☐ Outstanding ABET accreditation issues with our assessment process will be resolved.

8/9/00

College of Engineering Review

48

Faculty Development

- We must communicate early and often to every faculty member a consistent and achievable set of expectations.
- We must develop consistent and effective mentoring of new faculty for tenure and promotion.

8/9/00

College of Engineering Review

49

Faculty Development

In the Fall of 2000 we will begin a 10 week seminar series for new faculty on "What faculty need to know to be successful at Texas Tech."

8/9/00

College of Engineering Review

50

Gaining market share

- Strengthen the outreach to all of our stakeholders.
- Develop a stronger program of endowment and annual giving for the College of Engineering.
- Address the space needs for growth through leveraging university resources with external endowments.

8/9/00

College of Engineering Review

51

Space and Facilities

- We are in the final stages of completing a college wide study of space and needs analysis for the next 10 years (Parkhill Smith and Cooper).
- Space requirements are much more heavily impacted by research funding than UG enrollment.

8/9/00

College of Engineering Review

52

Summary

- We must use the influx of new faculty as an opportunity to vastly improve the effectiveness of degree production to gain market share.
- We must market our programs aggressively to attract new engineering students to Texas Tech.
- We must implement an assessment process that has both the substance and the form needed to continuously improve our educational process.

8/9/00

College of Engineering Review

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School of Medicine Update Board of Regents

August 10-11, 2000

Lubbock

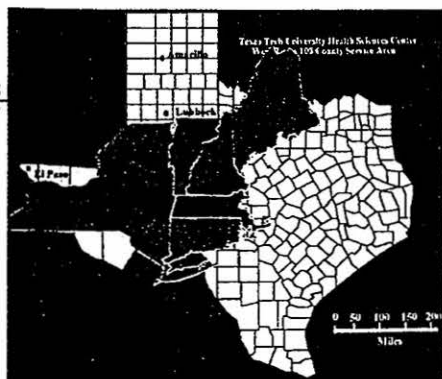
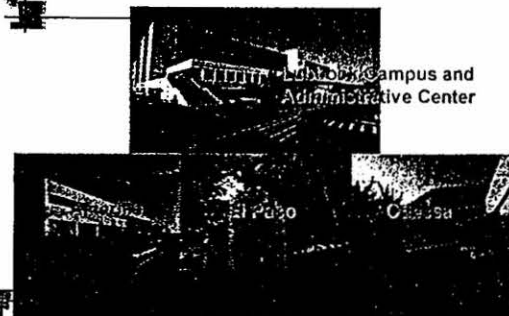
Joel Kupersmith, M.D., Dean, VP



Medical School Components

- The 3 legs of academic medicine
 - Teaching
 - Research
 - Clinical Care
- Medical Schools are:
 - Educational Institutions
 - Research Institutes
 - Physician practices and healthcare systems

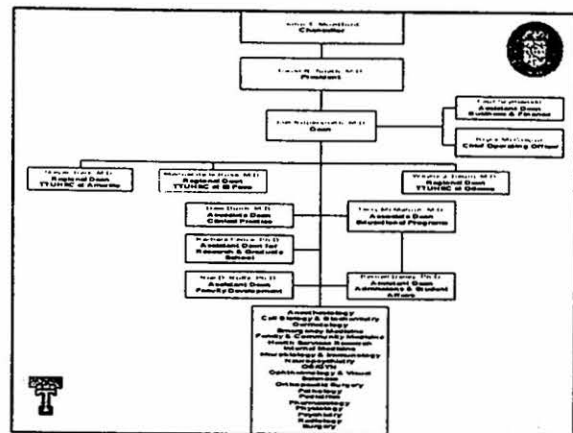
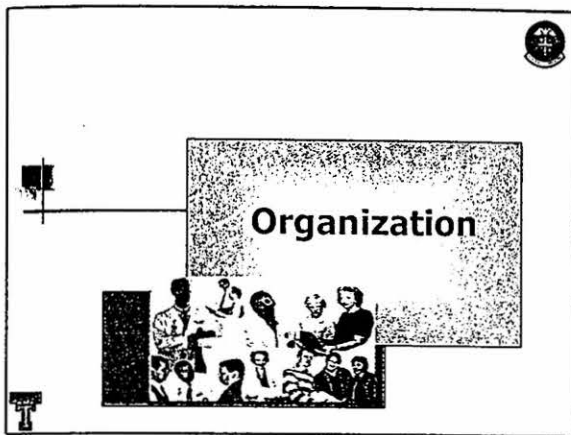
Texas Tech University Health Sciences Center



School of Medicine Mission

- Original mission:
 - Provide primary care physicians for West Texas
- Over 2,000 medical alumni over a 26 year period
- 20% of physicians in West Texas are Texas Tech SOM graduates
- 55-60% of graduates enter primary care
- We are fulfilling our mission but also extending it to research and other ventures





Organizational Changes School of Medicine

- New Office of Faculty Development
- New Chief Operating Officer position
- Increased importance in the Office of Research with a higher administrative level -- Associate Dean/Associate VP for Research and Graduate Studies
- New Department of Health Services Research and Management

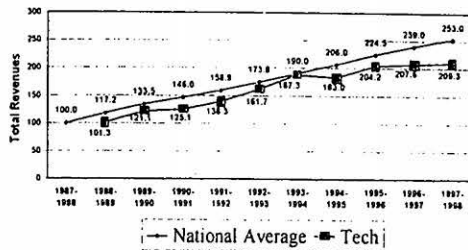
School of Medicine New Chairs

- OB/GYN - Dr. Kathy Porter
- Surgery - Dr. John Griswold
- Internal Medicine - Dr. Donald Wesson
- Anesthesia - Dr. Alan Kaye
- Neuropsychiatry - Dr. Randolph Schiffer
- Health Services Research & Management - Dr. Jim Rohrer
- Radiology - Dr. Mark Hansen
- Physiology - Dr. John Orem

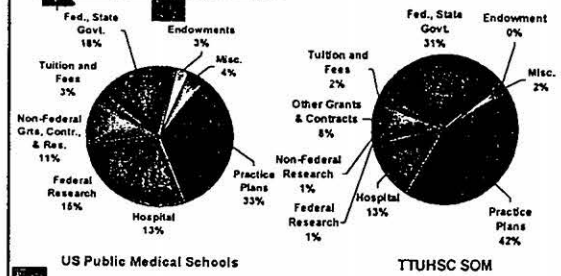
School of Medicine New Regional Deans

- El Paso - Dr. J. Manuel de la Rosa
- Odessa - Dr. Wayne Daum
- Amarillo - Dr. Steven Berk

Trend In Total Revenues For Public Medical Schools



Medical School Funding 1997



Medical Students



Number of Medical Students

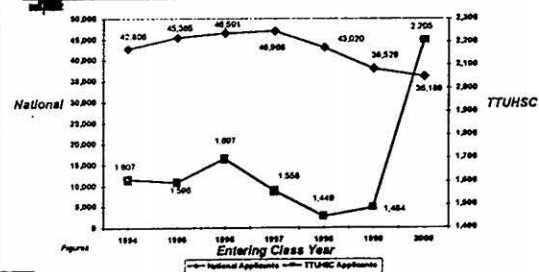
National Rank	School	Medical Students
8	UTH	836
9	UTMB	821
11	UTSA	814
12	UTSWMC	812
64	UC - San Diego	496
65	Rush	493
66	Texas Tech	491
67	Yale	487
68	Johns Hopkins	482
116	Texas A&M	241

AAMC 1997-98

Admissions



Medical Student Applicants



Admissions Program

- Expanded recruiting and follow up efforts
- Advertisement in college newspapers and other PR initiatives
- Focus on diversity
- Early admissions agreements with TTU Austin College, West Texas A&M
- Undergraduate to Medical School Initiative (UMSI)
- Summer Premedical Academy

Statistics for Students Entering Medical School

2000-01

Average MCAT	29.0
Average GPA	3.60
Females	52 (43%)
Applicant Pool	2205
Number Offers	149
African Americans	2
Hispanics	13
Asians	23
Native Americans	0

Faculty



Number of Clinical Faculty

National Rank	School	Faculty
29	UTSWMC	864
41	UTMB	743
55	UTSA	624
62	Texas A&M	566
69	UT H	524
89	California Davis	402
90	West Virginia	400
91	Texas Tech	395
92	Temple	391
93	Missouri - KC	384

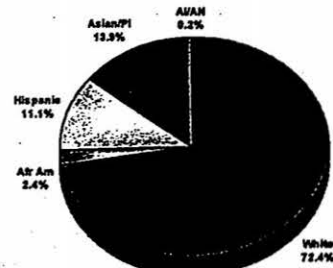
AAMC 1996-97

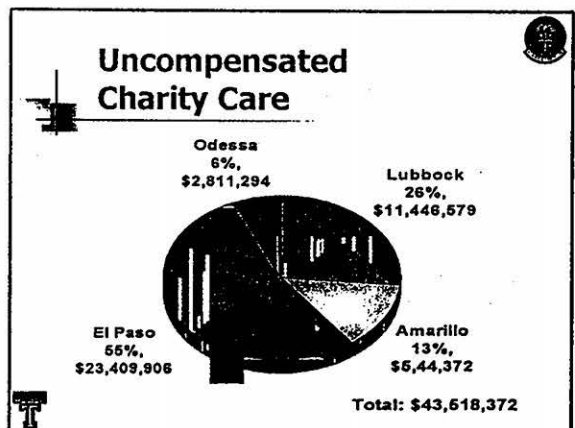
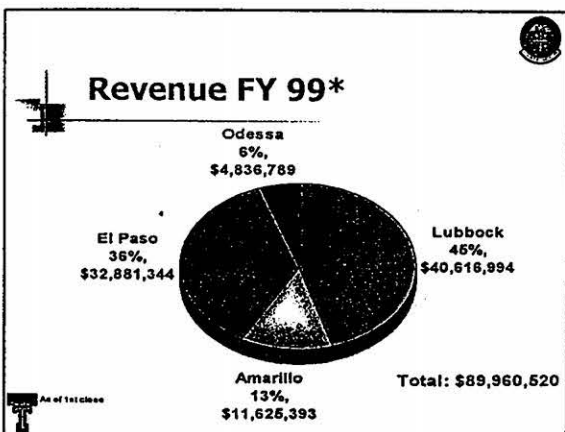
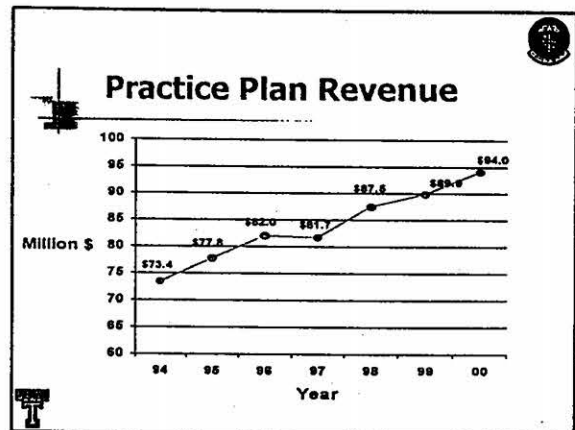
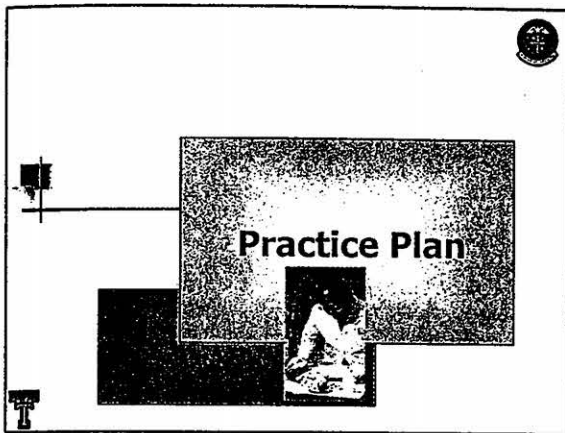
Number of Basic Science Faculty

National Rank	School	Faculty
16	UTSWMC	157
18	UTMB	153
32	UTSA	129
56	Pittsburgh	92
57	Colorado	90
58	Texas Tech	90
59	Stanford	88
60	UC - San Francisco	86
62	UT H	84
97	Texas A&M	57

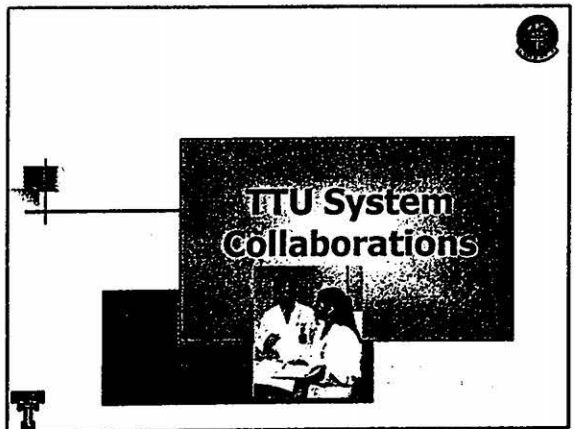
AAMC 1996-97

School of Medicine Faculty Diversity 1999





- ### Clinical Plans
- **Building**
 - Amarillo Clinic and Administration building
 - Odessa Clinic building (completed)
 - El Paso - New floor for Clinics and clinical research
 - Texas Tech Medical Center Southwest (just completed)
 - Clinical addition (Lubbock)
 - Clinic administrative reorganization



SOM/TTU COLLABORATIONS

55 Current Collaborations With Various Schools

- Chemistry and Biochemistry
- College of Agriculture
- TTU Teaching Academy
- College of Arts & Sciences
- Physiology & Health
- College of Engineering
- Mass Communications
- College of Business Administration
- College of Human Sciences
- Institute of Environmental and Human Health
- Human Development
- College of Education

Recent Achievements

Recent Achievements

- Substantial research improvements
 - Funding, faculty, etc.
- Many new faculty recruitments
- Improved relationship with University Medical Center and other hospitals
 - Controversy → Board of Managers position via legislative action
 - Considerable improvement since then
 - Initiation of joint strategic planning

Recent Achievements

- Health Services Research and Management Department
- Four year MD/MBA program
 - One of only two in the country
- TTMC Southwest
 - Surgery center, Primary Care Center, etc.
- New Aging Center and Geriatric program
 - Geriatric curriculum grant
- Sports Medicine program

Strengths

Strengths

- Solvency
 - Financially sound operation
- New, young, ambitious chairs
 - Collegial atmosphere among chairs
- Personal attention of faculty to students
- Excellent students
 - National presence in LCME and AMA delegation

Strengths

- Few town/gown problems (overt)
- Hispanic medicine potential
 - Population, Border location, etc.
- Healthnet/Telemedicine
- Joint ventures
 - Aging Center
 - Surgery Center
 - Dialysis Center

Strengths

- Close collaboration with College of Business Administration
 - Health Organization Management program
 - MD/MBA four-year program
 - Proposed *Institute for Innovation in Healthcare Management and Finance*

Challenges

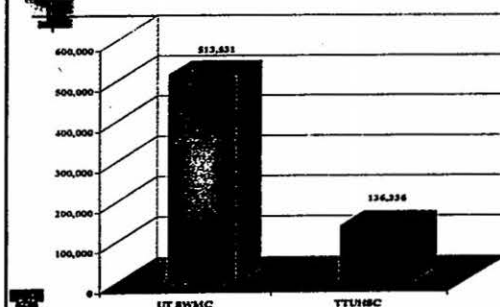
Challenges

- Limited accessible population base
- Recruiting more difficult
- Dependence on practice plan funding
 - Maintaining solvency in the new Healthcare system

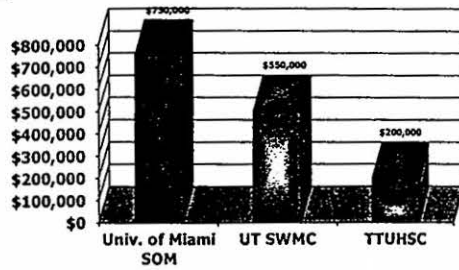
Challenges

- Difficulty managing four campus system
 - Complex system politically and economically
 - Duplication and scattering of resources
- Modest state funding
- Research infrastructure
 - Need for additional clinical faculty
 - Space

Research Space (Sq. ft.)



Seed Grant Program Annual Awards



School of Medicine Update Board of Regents

August 10-11, 2000

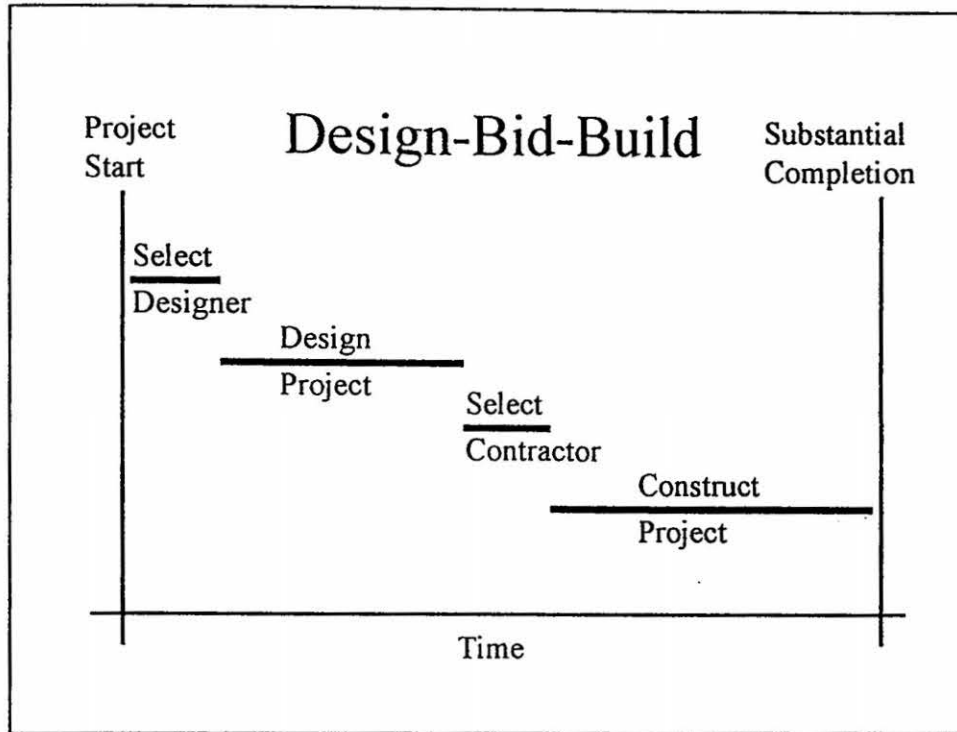
Lubbock

Joel Kupersmith, M.D., Dean, VP

Construction Delivery Methodologies *Report*

Construction Methodologies

- Design-Bid-Build (Traditional Method)
 - Lump Sum Low Bid
 - Competitive Sealed Proposals (CSP)
- Construction Manager at Risk
- Design Build
- Construction Manager Agent



Design-Bid-Build

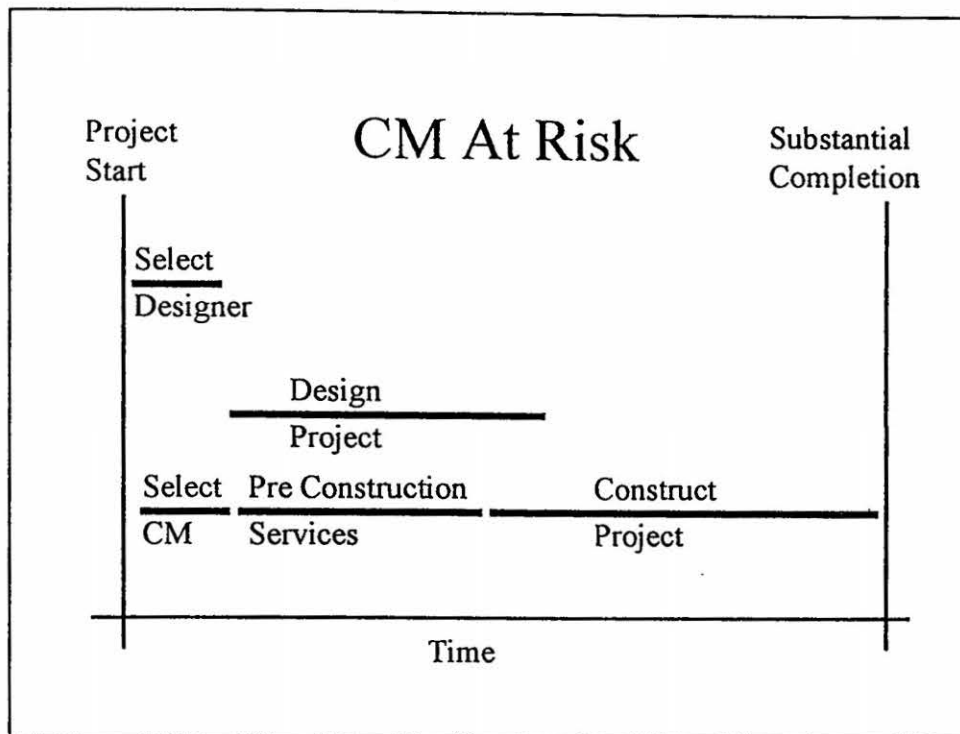
- Traditional Method
- Longest Project Delivery Method
- No Contractor Involvement in Design
- Lacks Flexibility; Less Responsive to Change
- Adversarial Relationship Based on Price, Not Value

Lump Sum Low Bid Selection

- Assumes:
 - Perfect Plans & Specs
 - Low First Cost = Low Final Cost
 - Only Construction Price Matters
- Simple, Easy to Evaluate
- Not a Value Based Selection
- Use for Simple, Non-Time Sensitive Projects

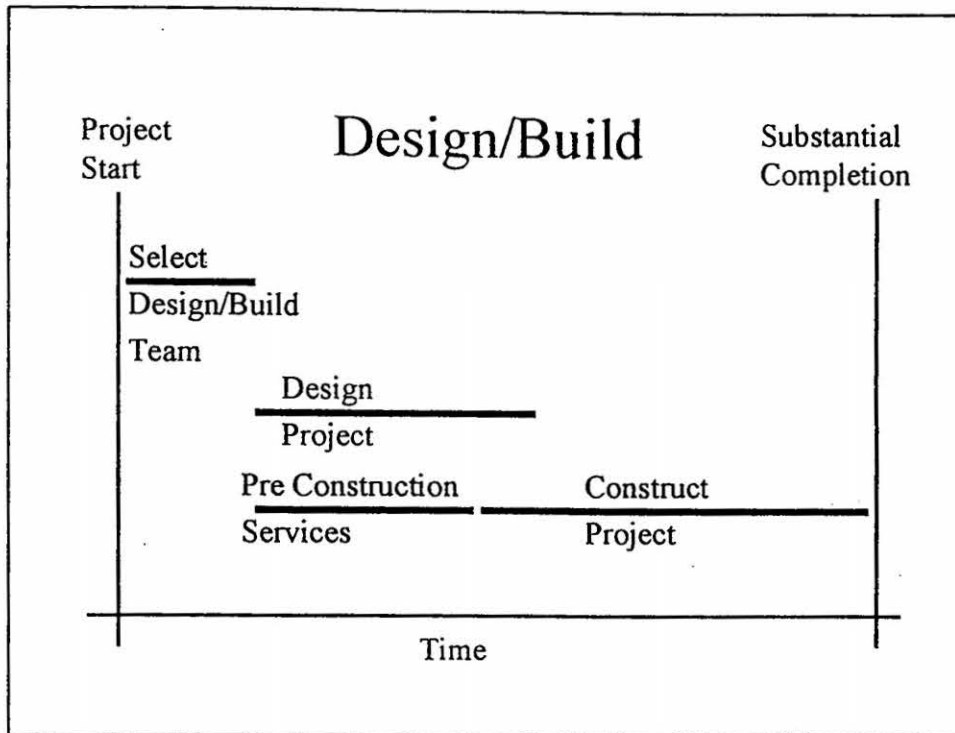
Competitive Sealed Proposals

- Allows Valued Based Selections
 - Price
 - Time
 - Capability and Reputation of Firm
 - Capability and Reputation of Team
- Use for Projects Under \$10.0M



Construction Manager At Risk

- Value Based Selection for CM Services
- Open Book Team Approach
- Select Early in the Design Process -
Faster and More Responsive to Change
- Involve CM in the Design Process:
 - Cost Realism Studies
 - Value Engineering
 - GMP Development
- All Major Projects over \$10.0 M



Design Build

- Single Contract Entity
- Value Based Selection
- Fast Track Projects with Well-Developed Scope or Significant Ambiguity
- "Commercial" Projects
 - Residence Halls; Office Buildings; Parking Garages; Landscape/Hardscape
- Evaluate All Projects for Design/Build

Construction Manager - Agent

- Third Party Management Expertise - Construction Specialists
- Owner's Representative (Extension of In-House Staff)
- Select Early in the Design Process
- Fiscally Responsible for Texas Tech's Money, But CM-A Has No Risk
- Develop In-House Expertise & Phase Out

Research Results

- PSU Study of 351 Projects
 - CM@Risk 1.6% Lower Cost; 13.3% Faster
 - Design/Build 6.1% Lower Cost; 33.5% Faster
- Massachusetts Study of 926 Projects
 - Complex Design Bid Build Procurement Methodologies Double the Prices and Increase Project Delivery Times by 55%

Conclusions

- Use Lump Sum Low Bid Methodology for Low-Cost Non-Time Sensitive Projects
- Use Best Value Contracting for All Others
 - CSP for Projects Under \$10.0 M
 - CM At Risk for Projects Over \$10.0 M or for Complex Projects Under \$10.0 M
 - Examine All Projects for Design Build Opportunities
- Phase Out Owner's Reps

Construction Project Costs *Report*

Project Costs

Hard Costs → Bricks & Mortar

Soft Costs → Everything Else

Hard Costs

- Site Development
- Construction
- Contingency

Site Development

- Acquisition
- Clearing and Site Grading
- Utilities
- Demolition
- Hazardous Material Abatement

Construction

- New Construction or Renovation
- Landscape and Hardscape
- Roads and Parking
- Information Technology
- Security Systems
- Fixed Equipment [Casework, Lab Infrastructure,
Fixed Seating, etc.]
- Temporary Facilities
- Signage

Contingency

New Facilities 5 - 7%

Renovations 10 - 15%

Covers

- Changed Conditions
- Market Fluctuations
- Added Scope - Good Ideas

Soft Costs

- Professional Fees
- Furniture, Furnishings and [Moveable] Equipment - FF&E
- Administrative Costs
- In-House Costs
- Imposed Fees
- Financing Costs

Professional Services

- Planning, Programming, Budget Development
- Basic Design Services
- Specialty Consultants
- Other Services [Renderings, Models, etc.]
- Reimbursable Expenses
- Site Survey, Geotechnical and Material Testing
- Hazardous Material and Environmental Engineering
- Commissioning
- Owners Representative Services

Professional Fees

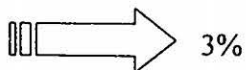
Planning, Programming & Budget Development	1-1.5%
Basic Design Services	6-8%
Specialty Consultants	1-2%
Other Services [Renderings, Models, etc.]	Negotiated
Reimbursable Expenses	.5-1%
Site Survey, Geotechnical & Material Testing	Project Specific
Hazardous Material & Environmental Engineering	Project Specific
Commissioning	1-1.5%
Owners Representative Services	2-5%

Administrative Costs

Procurement Advertising

Reproduction

Moving



Travel

Permits and Fees

Project Documentation

Imposed Fees

FP&C Surcharge	1.75% - 5.32% Total Project
Art Fee	1% Total Project
Landscape Fee	1% Total Project
Development Fee [Donation]	5% Amount Donated

In-House Charges

Environmental Health and Safety

- Hazardous Material Survey

Physical Plant

- Utility Shut-Offs
- Lock-shop [Keying]
- Interior Signage

Grounds Maintenance

- Irrigation System Installation & Repair
- Landscape Installation & Repair

Summary of Soft Costs

Professional Fees & Project Specific Costs	8.5-19%
Administrative Costs	3%
Imposed Fees	3.75-10.32%
Furniture and Moveable Equipment	8% [\$12/SF]
Financing	Project Specific
In-House Fees	Project Specific
<hr/>	
TOTAL	23.25% - 29.32%

Texas Tech System Data

Type	Projects	Soft Costs
New Construction	15	24.10%
Renovations	7	22.45%

Enormous State University

Type	Projects	Soft Costs
New Construction	46	20.6%
Renovations	49	26.6%

Summary

Soft Costs:

- Fund Essential Components of the Project
- Provide Foundation for Project
- Require Careful Management to Assure Best Value



Dirt and Dust Report

August, 2000

Page 2

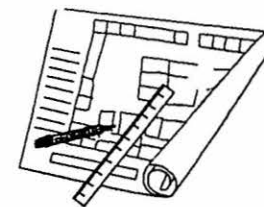


Project	Cost	Status	Completion Date
Library Renovation	\$17,500,000	Under Construction	Apr 2001
Marquee	\$ 270,000	Contracted	Sep 2000
Memorial Circle Fountain	\$ 675,000	Design In Progress	Apr 2001
Midland Physician Asst Building	\$ 6,000,000	Under Construction	Apr 2001
Museum Auditorium	\$ 6,900,000	Under Construction	Mar 2001
HSC Odessa Renovation	\$ 1,200,000	Under Construction	Oct 2000
Frazier Plaza	\$ 350,000	Under Construction	Oct 2000
Student Rec Center Expansion	\$12,000,000	Under Construction	Oct 2001
Texas Tech Blvd	\$ 9,000,000	A/E Contracted	May 2002
University Center Expansion	\$35,000,000	Design in Progress	Jan 2003
Visitor Center/West Hall	\$ 6,000,000	Under Construction	Apr 2001



Dirt and Dust Report

August, 2000



Project	Cost	Status	Completion Date
Jones Stadium Stage 1	\$20,000,000	Under Construction	Jul 2001
Jones Stadium Stage 2	\$55,000,000	Design In Progress	Aug 2002
English-Philosophy & Education	\$45,049,000	Under Construction	May 2002
Tennis Softball Complex	\$ 2,082,000	Under Construction	Nov 2000
Amarillo Academic/Clinic Facility	\$21,825,000	Contracted	Dec 2001
Broadway Entry	\$ 427,500	Contracted	Sep 2000
Advanced Research (BSL4) Facility	\$ 8,000,000	Feasibility Study	TBD
Bonfire – Phase I	\$ 300,000	Design in Progress	Oct 2000
Credit Union	\$ 1,600,000	Under Construction	Dec 2000
Experimental Science Phase I	\$40,000,000	Design in Progress	Sept 2004
Residence Hall Fire Suppression	\$ 640,000	Under Construction	Aug 2000
Golf Course	TBD	Proposal Received	TBD
Hotel/Conference Center	\$30,000,000	Proposal Received	TBD
HSC Administration	\$ 1,633,900	Under Construction	Jan 2001
HSC Classroom/Auditorium Bldg	\$14,000,000	Programming in Progress	Jul 2002

Vice President for Enrollment Management

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Division of Enrollment Management
Report to the Board of Regents: 1999-2000 Highlights
(8/10/00)

Admissions and School Relations

- Freshman Recruitment—a record year!
 - ✓ 10,501 applications—a 27% increase over 1999!
 - Approximately 70% received electronically.
 - ✓ More diversity among applicants and acceptances.
 - ✓ Anticipated class size: 3,750-3,850—an increase of 12-14% over 1999
 - Largest freshman class since admissions standards were increased.
 - Class profile will be similar to last year.
 - ✓ 120+ Presidential Scholars—an institutional record. (we had 84 in 1999.)
 - We will have a total of 330+ Presidential Scholars—another institutional record!
 - ✓ 320+ freshman Honors students this fall.
 - We will have 920+ Honors students on campus—a record!
- Transfer Recruitment—another growth year.
 - ✓ 2,970 applications—an increase of 5.6% over 1999.
 - ✓ Anticipated class size: 1,800—an increase of 1%.
- National Recognition for our Recruitment Program
 - ✓ Silver Award for Total Public Relations Program
 - National Newspaper of Admissions Marketing
 - 15th Annual Admissions Advertising Awards
- Added a second Counselor in the Dallas Regional Office.

- Added a second University Day (fall).
- Relocated the Austin Regional Office—Byrum Properties gifted the space.
 - ✓ 510 S. Congress (intersection of Congress and Riverside)
- Began the West Hall/Visitor Center building project.
 - ✓ Relocated the Admissions and School Relations, and the Visitor Center Office to McClellan Hall.
 - ✓ New Visitor Center and renovation of West Hall are nearing completion.
 - West Hall renovation to be completed in December.
 - Visitor Center to be completed in late April 2001.

Student Financial Aid

- Implemented strategies to improve student participation in completing the financial aid process and improve admissions yield:
 - ✓ Revised the Financial Aid and Scholarship booklet to improve its use.
 - ✓ Identified cohort of current students who tend to apply late.
 - Sent personal letter from Director of Financial Aid.
 - Sent complete financial aid packet and second letter sent in January.
 - ✓ Sent complete financial aid packet to all returning students in January with letter from Director of Financial Aid.
 - ✓ Sent complete financial aid packet to all accepted freshmen and transfers in January with letter from Director of Financial Aid.
 - ✓ Began sending award letters in late March—instead of June.
 - Over 5,000 award letters sent by the end of June.

Programs for Academic Support Services (PASS)

- **Supplemental Instruction Program** continues to gains momentum
 - ✓ In the fall, 745 students participated in SI study groups
 - Freshman service courses such as Biology and Chemistry
 - ✓ In the spring, 790 students participated
 - We have added sections of Physics and Sociology
 - ✓ Students participating in SI average an increase of one letter grade over non-SI

- ✓ Represents a significant increase in student interest and performance
- ✓ Plans are under review to expand SI to other "high risk" service courses

University Transition Advisement Center (UTAC)

- **Outreach to Prospective Undecided Students (OPUS)** was initiated this year to use UTAC to help recruit admitted students who are undecided about a major.
 - ✓ The program included:
 - A personal letter from UTAC staff
 - Phone calls to students and
 - Academic counseling
 - ✓ Benefits
 - Eased fears about transition to college
 - Increased yield rate
 - Smoothed registration process during Orientation
- **DISCOVERY!** This program takes undecided and uncertain students through a three-step program to discover about themselves, who they are in the academic world, and who they are in the professional world. (See brochure in your folder.)
- **At-risk Advisement Program.** This is an intrusive advising program for provisionally admitted students, students on probation, and students reinstated from suspension.
- **Research Protocol.** UTAC has begun development of a research protocol to track first year student populations with respect to their success, retention rates, and UTAC's impact on these factors.
- **Professional Development of Advisors.** In addition, UTAC has taken the next step in its assignment for the professional development of academic advisors at Texas Tech by hosting the first Academic Advising Council meeting on September 1, 2000. As well, workshops are being offered throughout the year on such topics as the impact of advising on retention.

Vice President for Enrollment Management

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Division of Enrollment Management Update

August 10, 2000

Admissions and School Relations

- **Fall 2000 Admission Report.** (See packet)
- **Summer 2000 Admission Report.**

First Summer Session. New student enrollment for the first session was up 43 students (18.6%) over the prior year. Entering freshmen showed a significant increase (79.59%) while transfers decreased (26.32%). Of the 121 students participating in the Provisional Program, 89 (73.5%) successfully completed the 7 credit hour requirement, 9 (7.4%) continued for the second session and 13 (10.7%) did not complete the program.

Second Summer Session. New student enrollment for the second term was very similar to the prior year with an increase of 3 students. There are 157 students beginning the Provisional Program.

- **Honors Colloquium.** Eighty (80) high school seniors with an average SAT score of 1390 attended the three-day event (July 12-14) designed to showcase Texas Tech's first-rate academic programs. Students from Texas (one with a perfect 1600 SAT score), New Mexico, Oklahoma and Missouri participated in individual advisement sessions, a mock-honors history class, and visited with current students to learn more about campus life. In addition, students learned about special programs such as the Honors College, study abroad, undergraduate research and the early admission to medical school program. Assistant Director of Admissions, Jarret Mallon and Kambra Bolch from the Honors College deserve special recognition for making this colloquium so successful.
- **Summer Showcase.** The 41 High School and Community College Counselors who attended the three day conference gave the program rave reviews and claimed they were better equipped to encourage their students to enroll at Texas Tech. Some of the prestigious schools represented include

Fort Worth Trinity Valley, St. Mark's in Dallas, The Kincaid School and Second Baptist in Houston, Notre Dame de Sion of Kansas City and Bishop Kelley in Tulsa.

- **New Student Orientation.** Our "Double T Days" for new students have been most successful. While every attempt was made to limit each conference to 500 students, the tremendous demand eventually led to adding a new conference held July 29 – August 1. Web registration went very smoothly until class availability was somewhat lessened during the last two conferences. Many of the events were enhanced including the program for parents provided by the Parent Association. Evaluations to date prove most favorable, a credit to Trey Hattaway, director of the program and his "Double T Crew".
- **Admitted Student Questionnaire (ASQ).** The College Board who will analyze the results produces this survey instrument. Our admissions office participates in this program every 4 years. Surveys were sent to over 3,500 students (every other student) admitted by May 1 for Fall 2000. Nearly 400 responses have already been received, and reminder postcards have been sent to the non-respondents.
- **ACT Search.** A newly created "mini-viewbook" with a response card was mailed to 1,083 students in Texas, Oklahoma New Mexico and other selected areas who scored a minimum of 22 on the ACT test and ranked in the top quarter of their class.
- **UMSI (University Medical School Initiative).** All seven students who were offered early admission to Medical School accepted the offer.
- **Phi Theta Kappa Scholarship Awards.** Over 49 scholarships have been awarded to Honor Transfer students for Fall 2000. Of these, 31 have been awarded to new transfer students who come from as far as Russia, Bulgaria and Japan. Phi Theta Kappa scholarships are funded by the Texas Tech Ex-Students Association.
- **Visitors Center.** The center has been moved from the east wing foyer of the Administration Building to the ground floor of McClellan Hall, which houses the temporary quarters of Admissions and School Relations. Plans are underway to enhance the campus visit experience in preparation for the new Visitors Center under construction in the new West Hall addition. A Spring 2001 completion of this center is eagerly anticipated. Ryan Huie, President of "University Select" has met with President Schmidly to outline the future activities and involvement of this campus tour leaders group.
- **Diversity Outreach.** Staff members participated in several campus events such as Hispanic Graduates Reception and the Youth LULAC Leadership

Conference. Marlene Hernandez, Jessie Rangel and Rhonda Davis attended the June Access and Equity conference in Austin. Staff members participated in the "Teen Summit", a conference for inter-city youth in Austin sponsored by the Black United Fund of Texas. In July, Assistant Director, Henry Cantu attended the National Hispanic Institute recruitment event at Southwestern University in Georgetown.

- **Regional Centers.** The Austin Regional center has relocated to 512 South Congress. Phone and fax numbers remain unchanged. Liz Pruitt will assume the position of Regional Coordinator. The Dallas/Ft. Worth Center has been participating in summer "senior send-off" events and has hosted Admissions and Financial Aid workshops. Dina Watson, previously the center Public Relations Coordinator, has been promoted to Fort Worth Coordinator. The Houston Center will be headed by Rhonda Davis, formerly an Admissions Counselor in the home office who recently completed her Masters Degree. All centers, including El Paso have plans underway to host "update" luncheons for high school counselors. All coordinators participated in "Summer Showcase" and will attend the Market Planning Workshop held on campus in August.
- **Professional Development.** Marty Grassel and Beverly Thompson attended the annual College Information and Visitor Services Association (CIVSA) at Rutgers University, where Marty served on an Admissions Seminar panel. Dale Ganus is currently serving as Quality Service Trainer on the Texas Tech Campus.
- **Coordinating Board Items.** Marty Grassel serves on several Advisory Committees and has recently attended meetings regarding the following:

State of Texas Common Application. Use of the electronic application has vastly increased for all universities. Approximately 65% of applications to Texas Tech have been received electronically. Besides University of Texas and Texas A&M, several other universities, including UNT and UT Pan American are capable of receiving the data directly into their student records systems. Progress is being made for Texas Tech to have this same capability.

Residency Advisory Committee. The tremendous amount of resources required for determining residency is being researched and a common residency questionnaire is being developed. Special concern was raised for the children of illegal aliens who attend and graduate from public high schools, but are charged non-resident tuition rates. No change can be made without a change in statutes.

Top Ten Percent Mandatory Admission. A special meeting was called to develop a policy for the Governor's letter to top ten percent of the rising junior class and a policy for the distribution of this list of names and addresses to colleges and universities.

- **Recruitment Travel.** Associate Director, Marlene Hernandez chairs the committee who plans this schedule and she was responsible for producing the detailed schedule. (Copies of this schedule are provided for the Texas Tech President and the Vice President for Enrollment Management). Recruiters will also participate in many New Mexico and Oklahoma programs as well as selected programs in other states.
- **Personnel Changes.** For the past few years, the Office of Admissions and School Relations has enjoyed a relatively experienced recruitment-staff. This year brings many new faces, new perspectives and new enthusiasm.

Henry Cantu, Assistant Director replaces Karen Hamel. Henry, a Texas Tech graduate ('87) has more than 12 years experience in admissions, and recruitment and financial aid, serving at UTSA, Carleton College in Minnesota and most recently at Incarnate Word in San Antonio.

Dana Smith, Transfer Admissions Counselor replaced Nate Kuhn in April. Dana is a 4.0 Phi Theta Kappa graduate of South Plains College and a *Summa cum Laude* graduate of Texas Tech. She spent over 5 years as a "dorm mom" at South Plains College.

Jay Killough, Admissions Counselor replaces Josh Murray. Jay is a May '00 graduate who has been a member of the Double T Crew, and has given countless tours as a member of "University Select", the campus tour guide group.

Jon Mark Bernal, Admissions Counselor replaces Rhonda Davis. Jon Mark, a May '00 graduate, has also been a dedicated member of "University Select" and has served on the Chancellor's Ambassadors Executive Board. He has management experience at the local Spirit Shop.

Kalith Smith will assume a new role as Alumni Recruitment Coordinator. Kalith was formerly in our Dallas/Fort Worth Regional Center.

Dina Watson, Fort Worth Regional Coordinator replaces Kalith Smith. Dina has several years' experience in the Dallas/Fort Worth Center as Public Relations Coordinator.

Liz Pruitt, Austin Regional Coordinator replaces Bobby Lothringer. Liz is a recent Texas Tech graduate who has been working in the Office of the Chancellor.

- **Office Activity.** A postcard highlighting Texas Tech as one of the “100 Best College Buys in America” was sent to all prospective students and seniors who have made application for fall.
 - Over 100,000 “viewbooks” are currently being printed by PrinTech. The piece includes a new cover, many new photographs and revised copy. Copies will be available in late August in preparation for the fall recruitment travel season.
 - A cover for the paper version of the Texas Common Application is being developed which will include information specific to making application to Texas Tech. The appearance of the cover will correspond to the “viewbook”.
 - A postcard that includes a postage-paid response card has been sent to all (853) provisionally admitted students who did not participate in our summer Provisional Program or did not contact the Admissions office. The responses will indicate whether the student plans future application for enrollment either in the Spring 2001 or as a transfer.
 - Congratulatory letters were sent to all identified Valedictorians and Salutatorians.
- **Upcoming Projects/Events.**
 - A **Marketing Workshop** for recruiters and a **Strategic Planning Workshop** for all admissions professional staff are scheduled for August 14 – 16 and August 16 – 17 respectively. All Admissions and School Relations staff will celebrate “A job well done, the best is yet to come” supper at the Grassel home on August 15.
 - **University Day Fall 2000** is scheduled for Monday October 9, 2000. This will be the second annual such event. All prospective students and their families will be invited. Good attendance is expected as it follows homecoming weekend and is a holiday for some public schools.
- **International Recruitment.** Greater emphasis is being placed on enhancing cultural diversity and top scholars on a global scale. For the first time, Texas Tech will participate through a marketing company called Hobson’s, in programs to reach students in Asia and Latin America. In cooperation with International Studies Programs on campus, Texas Tech will be represented at a NAFSA (National Foreign Student Advisors Association) conference and college fair in Monterrey, Mexico.
- **Counselor Luncheons & Workshops.** These are scheduled in early September for Lubbock and Regional Center areas.

- **E-Raider Accounts** may be available to prospective students soon. Plans are underway to provide Texas Tech University email addresses for admitted, perhaps applied students, in order to enhance our high technology image and our communication to prospective students.

Financial Aid

- **ADVANTG**. The next phase of our loan processing system has been delayed. Testing at Texas Guaranteed Student Loans (TGSLC) uncovered some problems in the new AdvanTG 3 software and pushed our installation back until mid to late September. When this is complete, we will be able to complete the process of moving the non-TGSLC lenders to electronic fund transmission for loan processes using the Common Line 5 format. Our goal remains to use electronic funds transmission for all of the student loans possible.
- **Handbook**. Work on the new *Scholarship and Financial Aid Handbook* is complete and it is at the printers. The *Handbook* will be available for prospective students this fall. The *Handbook* is also used for presentations in high schools throughout the state.
- **Remodel**. Most of the bids have been completed for the remodeling project in our front and it lobby is well under way. The old counter has been removed and a new modular counter is here. The office carpeting has been ordered and should be installed in the next two weeks. The new counter will be installed as soon as the carpet is laid in the lobby. The new counter will have more workstations than the old counter. Bids for new desks for individual office are in final stages. New computers for students will replace the last of our older models. Computers are also scheduled to be installed in the "new" lobby area. These computers will allow students to access their personal information via the TechSIS web site. They will also be able to complete the federally required entrance or exit loan counseling on-line, in addition to having the ability to file their Free Application for Federal Student Aid on-line, if they do not have Internet access at home. A new filing system is also part of this project. The system is here and will be installed as soon as the carpet is in the file room. It will make much more efficient use of the space available. By installing the new system we will be able to eliminate 44 five-drawer filing cabinets. The space made available will allow us to install modular workstations and gain space for three employees. This project will make the financial aid office much more pleasing to students and families as they come to Texas Tech.
- **TechSIS**. Fall 2000 processing remains ahead of last year. To date approximately 6000 students have been through the TechSIS awarding system. This is addition to those that have been awarded manually. Plans are being finalized for the financial aid telephone bank for the fall semester. This is the addition of temporary operators trained to answer the more routine questions. This allows us to handle many more of the calls at the beginning of the fall semester

- **Mailings.** Mailings to first-time borrowers have been scheduled for August. This mailing is to remind those who have not completed the federally required loan entrance counseling to do so. This will help them avoid delays for releasing their loan funds at the beginning of the semester.
- **Employee/Employee Dependent Scholarship.** Information and applications have been mailed to all current employees of Texas Tech and the Health Sciences Center. This program is entering its fourth year and has proven to be quite successful as an added benefit to our employees.

Programs for Academic Support Services

- **Learning Center.** The Supplemental Instruction program offered during spring semester once again proved that students participating in supplemental instruction sessions consistently out-perform students who do not choose to participate. In every course offered during the spring, students using SI made more A, B, C grades and fewer D, F, W grades than did their non-SI counterparts. In addition, the numbers of students choosing to participate in SI continues to grow. Color charts depicting the results from spring semester 2000 appear at the back of this section.
- **Director, PASS.** Dr. Rebecca Owens, Director of PASS, has served on several statewide committees during the year. She was a member of the committee to design the Uniform Recruitment and Retention Strategy plan; served on the state committee for the spring Access, Equity, and Retention conference; and attended the NADE certification process meeting for developmental education accreditation. In addition, she served as chair of the committee to write the policy and procedure manual for TASP at Texas Tech.
- **Coordinator, XL Strategies for Learning.** Ms. Susan Crow attended the month-long workshop sponsored by the Kellogg Foundation at Appalachian State University in Boone, North Carolina. She received certification in developmental education through the National Center for Developmental Education.
- **Steven Covey Four Roles of Leadership.** Ms. Sabrina Carroll, Coordinator of the PASS Learning Center, and Dr. Rebecca Owens, Director of PASS, attended the three-day workshop on the Four Roles of Leadership.

Registrar

- **Big 12 Conference.** Paula Hunter, Assistant Registrar for Athletic Certification, presented an overview of the certification process at Texas Tech to the Big 12 Conference Administrators Rules Workshop/Certification Officer's Roundtable July

12-14 in Kansas City, Missouri. The presentation was well received and from the questions some may try to duplicate our process.

- **Round Wooden TTU IT.** The Office of the Registrar has produced Round TTU IT to hand out to students. (See packet) The Round TTU IT displays the Registrar's office location and telephone number, where to obtain their PIN number, TechSIS Web address, and the department's mission statement.
- **Change of Grade.** In February, a program was designed and implemented to notify each student that we had processed a change of grade on his or her record. The notification is mailed to the student's permanent legal address informing them of the change.
- **Climate Survey.** The Office of the Registrar has implemented several ideas discussed by the staff in our climate survey. One of the major ideas was to have an employee recognition award. The first award was presented on June 30, 2000. Dr. Heintze presented the award and made remarks about Enrollment Management and how pleased he has been over the quality of customer service our office has demonstrated over the past few months. Everyone enjoyed his remarks and was grateful for his compliment. It was a great morale builder and the staff gained a great deal of enthusiasm about their job and Texas Tech as a whole
- **Departmental Newsletter.** The implementation of a newsletter was another first for the office. Because of the dedicated folks we have in the office we placed in print our first newsletter on June 30, 2000. Dr. Heintze praised the job done and the staff was very pleased with the finished product. This also built pride and teamwork throughout the office. In the months and years to come it is a dream that the staff will become more team oriented.
- **Faculty Grading on the Web.** A test was performed during the 1st summer session on the grading portion of the web for faculty. Junction and Electrical Engineering participated in this pilot. The test was successful; therefore we have added Agricultural Sciences and Natural Resources, Human Sciences, and all of Engineering for 2nd summer session. The success of the larger test will determine how quickly all of the other academic colleges will be added. Our thanks to Ashton Thornhill and Judy Patterson for helping us test 1st summer session.
- **Web Site.** The office has implemented a web site just for our department. This will enable us to keep abreast of policy changes within the office and provide for better communication. Currently it contains the master schedule for the office and the newsletter.

University Transition Advising Center (UTAC)

- **Outreach Prospective Undecided Students.** In addition, UTAC provides services to other students. The Outreach to Prospective Undecided Students (OPUS) program at UTAC reaches out to undecided students who have been admitted but have not yet enrolled. Through the LEAP program, UTAC advises high school students who want to be concurrently enrolled at TTU during their junior or senior year in high school. UTAC provides secondary advising services to all students at Texas Tech, regardless of the major. Finally, UTAC advises "TTUD" (Texas Tech Undeclared) students who need an academic home while they build their gpa to reach the minimum required by their major. Typically, these are students from the College of Business Administration.
- **Advised and Registered.** To date, there have been 971 returning students and 714 new students advised and registered through UTAC. That is a total of 1685 students for the fall term, 2000.
- **Advising Guides.** Advising Guides for advisors and Orientation Guides for students were updated and reorganized.
- **Advisor Training.**
Two advisor training sessions have been conducted and 49 new advisors have been trained.
- **Conference Attendance.** Attended the Conference on Student Retention, Recruitment and Financial Aid, Success of Special Populations, Academic Advising, Success Courses, and Student-Centered Service Initiatives.
Gained new ideas and directions for our program including state-of-the-art software, formats for improving faculty and peer involvement in the advising process and first year experience, and multiple retention tools.
- **Discovery Program.** The fall semester 2000 will launch the DISCOVERY! Program. DISCOVERY! is a program for the student who is undecided or uncertain about a major field of study. The three step program walks a student through (#1) discovery of the self in such experiences as type indicators, interest inventories, enneagrams, and workshops on getting ready for college.

Discovery of the self in academics (#2) has the student in discussions with academic advisors, professors, exploring CAV tests, GREG computer based academic prediction program, and creating Learning Plans in the TEAM center, or taking XL Learning Strategies class.

The third step, Discovery of the self in careers steers the student to SIGI-Plus, Career Mapping in the Engineering Department, working with Discover in the TEAM Center, or working with a career counselor on the Holland system.

The UTAC academic advisor helps the student translate the information received and determine how to apply what has been learned.

- **Orientations.** The Orientation presentation has been upgraded and converted to power point. UTAC has conducted 8 Orientations for the College of Arts and Sciences this summer.
- **Provisional Student Program.** Three hundred thirty one (331) Provisional Students enrolled at Texas Tech this year. Spring semester was the first time that this population has had intrusive advising at mid-semester.
- **Research.** Collection of data about students to research the various populations under the UTAC umbrella has begun. This is being coordinated with AIS (Administrative Information Services) and IR (Institutional Research).
- **Restructuring UTAC.** Restructuring of the UTAC academic advising service delivery system is nearing initial completion. The following positions have been added: Network Supervisor (half-time, see report under Technology); Academic Advisor who also is designing and coordinating UTAC research protocols (full-time, see report under Research); and, a half-time Academic Advisor position has been converted to a full-time position to coordinate intrusive advising for At-Risk Students.

Position descriptions have also changed for all existing positions. The changes have been made to accommodate a greater number of hours for advising, researching student populations, and for being accountable to the mission of our program.

- **Technology.** The On-site Network Supervisor has set up a computer network including e-mail, WebPage, print servers, and databases. This has aided in communication of advisors to students, advisors to advisors, and advisors to faculty and staff of Texas Tech University.
- **McNair Scholars Program.** The McNair Scholars Program is a federally funded initiative created to help prepare first-generation undergraduates from low-income families for doctoral study. McNair Scholars attend regularly scheduled workshops, conduct research under the supervision of a faculty mentor, have one on one academic advising with members of the McNair Staff, and receive financial support. Only 20 –21 scholars participate in the program each year. Fourteen new students were selected in May to become participants in the TTU McNair Scholars Program.
- **Graduate School McNair Graduate TTU Fellowships.** The Texas Tech University Graduate School created the new McNair Graduate Fellowship to recruit McNair Scholars from across the nation to its graduate programs. The Fellowship will provide selected scholars with \$3,000 per year (1 year for Masters level, 5 years for Doctoral level).

- **McNair Scholars Research Journal.** In the spirit of the successful McNair Scholars Research Journal of 1999, the 2nd McNair Scholars Research Journal will be printed and distributed this fall. The Journal is a compilation of scholars' research papers, and the first edition was well-received in the academic community.
- **Conference Attendance.** Nine McNair Scholars have attended state or national conferences in their area of interest.
- **Excellence 61.1% of McNair Scholars received a 4.0 for the spring semester.** The following is a list of those outstanding McNair Scholars with additional information that will help you keep their accomplishments in perspective.

Elizabeth Najera (and she took 16 hours)
Dayna McCumber-Nesbit (and she took 16 hours)
Valerie McGaha
Cristina Medina
Fred Porteous
Roxanna Cummings
Randy Vance (took 15 hours)
Aretha Ross (single mom, works 30+ hours a week, foster parent to niece, and she took 17 HOURS!)
Robert Mooney
Davin Frazee (and he took 18 HOURS!)
Thomas Haupt (he's a dad, worked full time, and took 13 hours)

Also, another student deserves some recognition. Vickie Christian took 15 hours, works almost full time, and is a single mom, and she received all A's and one B for an overall GPA of 3.8 for this spring.

- **Engagement.** The TTU McNair Scholars Program joined forces with the TTU Upward Bound Program, and community based Learn Inc. Talent Search, and Equal Opportunity Centers for TRIO Day 2000. TRIO Day celebrates the accomplishments of TRIO Programs and TRIO Students. TRIO programs are federally funded to

identify promising students from low income and first generation college families
prepare them to do college level work
strengthen math and science skills
provide tutoring and support services
provide information on academic and financial aid opportunities

- **Technology.** The McNair Scholars Program held a PowerPoint Workshop for McNair Scholars at the Advance Learning Technology Center. Mastery of this technology will improve students' presentation skills for research presentations and future graduate school presentations.
- **Collaboration Across the State.** The TTU McNair Staff collaborated with staff members from 7 other McNair Programs across the state to plan the 2nd Annual Texas McNair Scholars Research Conference, January 28-30, 2000 at Arlington, TX. Four TTU McNair Scholars attended this conference.
- **Cheryl McNair.** The TTU Graduate School and the TTU McNair Scholars Program co-hosted the 2000 McNair Scholars Recognition Banquet. Mrs. Cheryl McNair, wife of the late astronaut, Dr. Ronald E. McNair, was the banquet's special guest speaker. Graduating McNair Scholars were recognized and the Graduate School announced the funding of the new McNair Graduate Fellowship. The McNair Graduate Fellowship will provide \$3,000 per year to selected McNair Scholars from across the nation who attend TTU Graduate Programs.
- **Site Visit.** The TTU Graduate School is collaborating with the TTU McNair Scholars Program and the West Texas A&M McNair Scholars Program to provide a site visit of the TTU graduate programs. The intention of the visit is for West Texas A&M scholars interested in pursuing their graduate degrees to explore opportunities at TTU. West Texas A&M McNair Scholars will visit the University August 8th.

IS1100

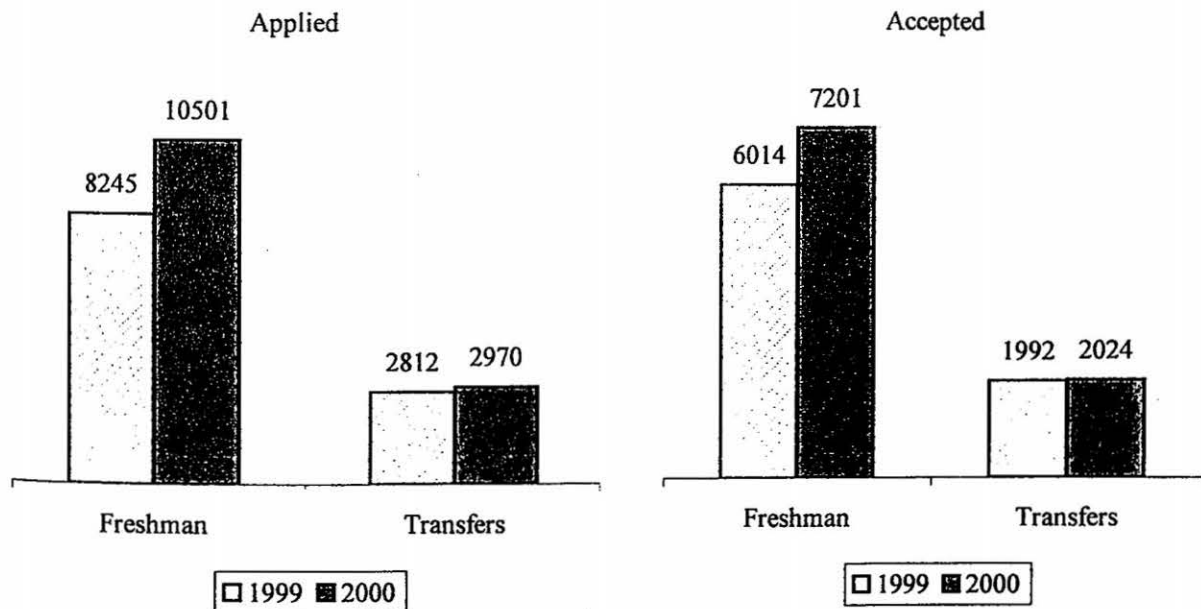
- **Courses.** IS110 has added five sections in order to meet unexpected high enrollment demands, for a total of 73 sections. We are averaging over 60% enrollment from freshman orientation – so far up almost 10% from last year. This promises to be a record enrolment for the course.
- **THECB.** IS1100 is developing a web-based module as part of the requirements for this course. The module will strengthen the discussion of learning, memory, motivation and analysis of individual learning styles per new guidelines from the THECB for such courses.
- **Tech Transitions.** The second edition of our custom text, Tech Transitions will be used this coming fall semester.

TEXAS TECH

UNIVERSITY

Undergraduate Admissions Report August 4, 2000

	1999	2000	% Change
Freshman:			
Applied	8245	10501	27.36%
Accepted	6014	7201	19.74%
Provisionally Accepted	206	274	33.01%
Canceled	357	642	79.83%
Denied	174	136	-21.84%
Transfers:			
Applied	2812	2970	5.62%
Accepted	1992	2024	1.61%
Canceled	43	52	20.93%
Denied	56	41	-26.79%



August 4, 2000

Freshman Applications by College

	1999	2000	% Change
Agriculture			
Ag. Economics	69	90	30%
Ag. Education	19	35	84%
Ag. Undecided	29	24	-17%
Animal Science	119	159	34%
Landscape Architecture	24	32	33%
Plant and Soil Sciences	9	10	11%
Range/Wildlife Management	43	45	5%
College Total	312	395	27%
Architecture			
Architecture	299	479	60%
College Total	299	479	60%
Arts and Sceinces			
Art	91	137	51%
Arts and Sciences Undecided	1215	1620	33%
Biology	269	301	12%
Chemistry	61	72	18%
Communication Studies	91	106	16%
Economics/Geography	13	32	146%
English	51	50	-2%
Foreign Language	14	17	21%
General Studies	22	27	23%
Geosciences	21	26	24%
History	36	58	61%
HPER	105	140	33%
Pre-Professional Health	1023	1125	10%
Pre-Law	192	228	19%
Mathematics	46	72	57%
Mass Communications	367	484	32%
Music	197	243	23%
Philosophy	7	14	100%
Physics	29	26	-10%
Political Science	82	89	9%
Psychology	280	336	20%
Anthropology	50	68	36%
Theatre and Dance	61	92	51%
College Total	4323	5363	24%

Freshman Applications Cont.

Business

Accounting	154	112	-27%
General Business	273	179	-34%
Business Undecided	639	1115	74%
Finance	102	79	-23%
Management Information Systems	65	81	25%
Management	100	115	15%
Marketing	154	111	-28%
Petroleum Land Management	2	1	-50%

College Total	1489	1793	20%
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Education

Multidisciplinary Studies	311	444	43%
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College Total	311	444	43%
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Engineering

Civil Engineering	143	220	54%
Computer Science	332	469	41%
Chemical Engineering	102	117	15%
Electrical Engineering	223	257	15%
Engineering Physics	10	20	100%
Engineering Undecided	156	185	19%
Industrial Engineering	28	30	7%
Mechanical Engineering	176	274	56%
Petroleum Engineering	35	33	-6%
Engineering Technology	35	44	26%

College Total	1240	1649	33%
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Human Sciences

ENRHM	73	85	16%
Human Sciences Undecided	9	18	100%
Human Development/Family Studies	80	127	59%
MEDC	109	148	36%

College Total	271	378	39%
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University Total	8245	10501	27%
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August 4, 2000

Freshman Acceptances by College

	1999	2000	% Change
Agriculture			
Ag. Economics	53	71	34%
Ag. Education	17	32	88%
Ag. Undecided	18	16	-11%
Animal Science	87	114	31%
Landscape Architecture	17	23	35%
Plant and Soil Sciences	8	5	-38%
Range/Wildlife Management	36	31	-14%
College Total	236	292	24%
Architecture			
Architecture	246	356	45%
College Total	246	356	45%
Arts and Sciences			
Art	64	99	55%
Arts and Sciences Undecided	888	1066	20%
Biology	200	217	9%
Chemistry	49	62	27%
Communication Studies	69	74	7%
Economics/Geography	5	24	380%
English	33	36	9%
Foreign Language	12	14	17%
General Studies	17	17	0%
Geosciences	13	16	23%
History	22	41	86%
HPER	71	90	27%
Pre-Professional Health	818	837	2%
Pre-Law	149	160	7%
Mathematics	36	57	58%
Mass Communications	284	363	28%
Music	143	183	28%
Philosophy	6	8	33%
Physics	23	17	-26%
Political Science	67	59	-12%
Psychology	203	243	20%
Anthropology	37	40	8%
Theatre and Dance	37	69	86%
College Total	3246	3792	17%

Freshman Acceptances Cont.

Business

Accounting	115	78	-32%
General Business	208	117	-44%
Business Undecided	497	828	67%
Finance	82	52	-37%
Management Information Systems	55	62	13%
Management	74	69	-7%
Marketing	104	66	-37%
Petroleum Land Management	2	0	-100%

College Total	1137	1272	12%
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Education

Multidisciplinary Studies	239	304	27%
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College Total	239	304	27%
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Engineering

Civil Engineering	117	161	38%
Computer Science	246	325	32%
Chemical Engineering	68	78	15%
Electrical Engineering	169	184	9%
Engineering Physics	8	13	63%
Engineering Undecided	115	145	26%
Industrial Engineering	16	21	31%
Mechanical Engineering	130	201	55%
Petroleum Engineering	30	25	-17%
Engineering Technology	17	29	71%

College Total	916	1182	29%
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Human Sciences

ENRHM	60	63	5%
Human Sciences Undecided	6	11	83%
Human Development/Family Studies	54	84	56%
MEDC	80	119	49%

College Total	200	277	39%
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University Total	6220	7475	20%
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Transfer Applications by College

	1999	2000	% Change
Agriculture			
Ag. Economics	31	32	3%
Ag. Education	32	23	-28%
Ag. Undecided	5	11	120%
Animal Science	47	51	9%
Landscape Architecture	14	14	0%
Plant and Soil Sciences	20	6	-70%
Range/Wildlife Management	27	21	-22%
College Total	176	158	-10%
Architecture			
Architecture	91	118	30%
College Total	91	118	30%
Arts and Sciences			
Art	42	51	21%
Arts and Sciences Undecided	268	224	-16%
Biology	68	104	53%
Chemistry	10	22	120%
Communication Studies	21	41	95%
Economics/Geography	16	9	-44%
English	41	38	-7%
Foreign Language	11	13	18%
General Studies	13	16	23%
Geosciences	9	15	67%
History	28	36	29%
HPER	118	86	-27%
Pre-Professional Health	267	216	-19%
Pre-Law	38	40	5%
Mathematics	18	27	50%
Mass Communications	95	114	20%
Music	27	40	48%
Philosophy	4	6	50%
Physics	3	3	0%
Political Science	32	37	16%
Psychology	112	104	-7%
Anthropology	38	34	-11%
Theatre and Dance	15	15	0%
College Total	1294	1291	0%

Transfer Application Cont.

Business

Accounting	51	59	16%
General Business	79	84	6%
Business Undecided	249	202	-19%
Finance	57	67	18%
Management Information Systems	57	87	53%
Management	34	59	74%
Marketing	58	90	55%
Petroleum Land Management	1	1	0%

College Total	586	649	11%
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Education

Multidisciplinary Studies	153	207	35%
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College Total	153	207	35%
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Engineering

Civil Engineering	59	41	-31%
Computer Science	84	98	17%
Chemical Engineering	28	24	-14%
Electrical Engineering	75	71	-5%
Engineering Physics	2	1	-50%
Engineering Undecided	23	21	-9%
Industrial Engineering	6	7	17%
Mechanical Engineering	59	60	2%
Petroleum Engineering	14	16	14%
Engineering Technology	18	30	67%

College Total	368	369	0%
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Human Sciences

ENRHM	49	52	6%
Human Sciences Undecided	7	5	-29%
Human Development/Family Studies	50	78	56%
MEDC	38	43	13%

College Total	144	178	24%
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University Total	2812	2970	6%
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August 4, 2000

Transfer Acceptances by College

	1999	2000	% Change
Agriculture			
Ag. Economics	23	26	13%
Ag. Education	25	20	-20%
Ag. Undecided	4	8	100%
Animal Science	36	38	6%
Landscape Architecture	12	9	-25%
Plant and Soil Sciences	14	2	-86%
Range/Wildlife Management	20	16	-20%
College Total	134	119	-11%
Architecture			
Architecture	60	75	25%
College Total	60	75	25%
Arts and Sciences			
Art	26	36	38%
Arts and Sciences Undecided	186	159	-15%
Biology	47	75	60%
Chemistry	5	15	200%
Communication Studies	12	19	58%
Economics/Geography	10	6	-40%
English	27	27	0%
Foreign Language	7	11	57%
General Studies	6	11	83%
Geosciences	5	9	80%
History	17	24	41%
HPER	91	66	-27%
Pre-Professional Health	186	135	-27%
Pre-Law	26	23	-12%
Mathematics	8	19	138%
Mass Communications	84	81	-4%
Music	16	22	38%
Philosophy	1	5	400%
Physics	1	2	0%
Political Science	27	25	-7%
Psychology	74	74	0%
Anthropology	25	20	-20%
Theatre and Dance	11	12	9%
College Total	898	876	-2%

Transfer Acceptances Cont.

Business

Accounting	39	47	21%
General Business	47	57	21%
Business Undecided	173	131	-24%
Finance	45	53	18%
Management Information Systems	45	64	42%
Management	22	43	95%
Marketing	39	58	49%
Petroleum Land Management	1	0	-100%

College Total	411	453	10%
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Education

Multidisciplinary Studies	118	132	12%
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College Total	118	132	12%
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Engineering

Civil Engineering	47	30	-36%
Computer Science	53	57	8%
Chemical Engineering	19	13	-32%
Electrical Engineering	46	43	-7%
Engineering Physics	1	1	0%
Engineering Undecided	19	13	-32%
Industrial Engineering	4	4	0%
Mechanical Engineering	43	44	2%
Petroleum Engineering	11	12	9%
Engineering Technology	13	24	85%

College Total	256	241	-6%
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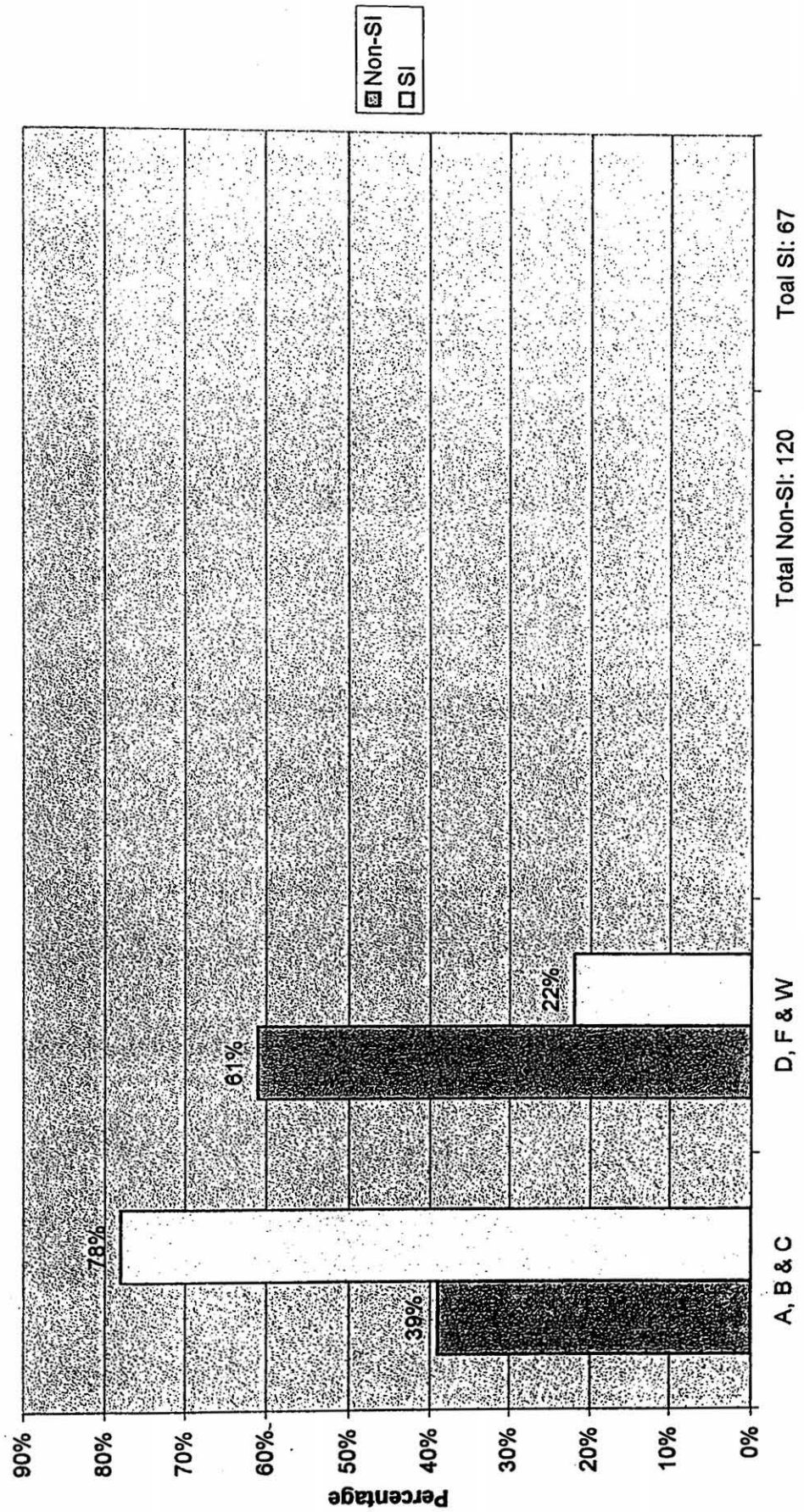
Human Sciences

ENRHM	42	40	-5%
Human Sciences Undecided	4	2	0%
Human Development/Family Studies	36	55	53%
MEDC	33	31	-6%

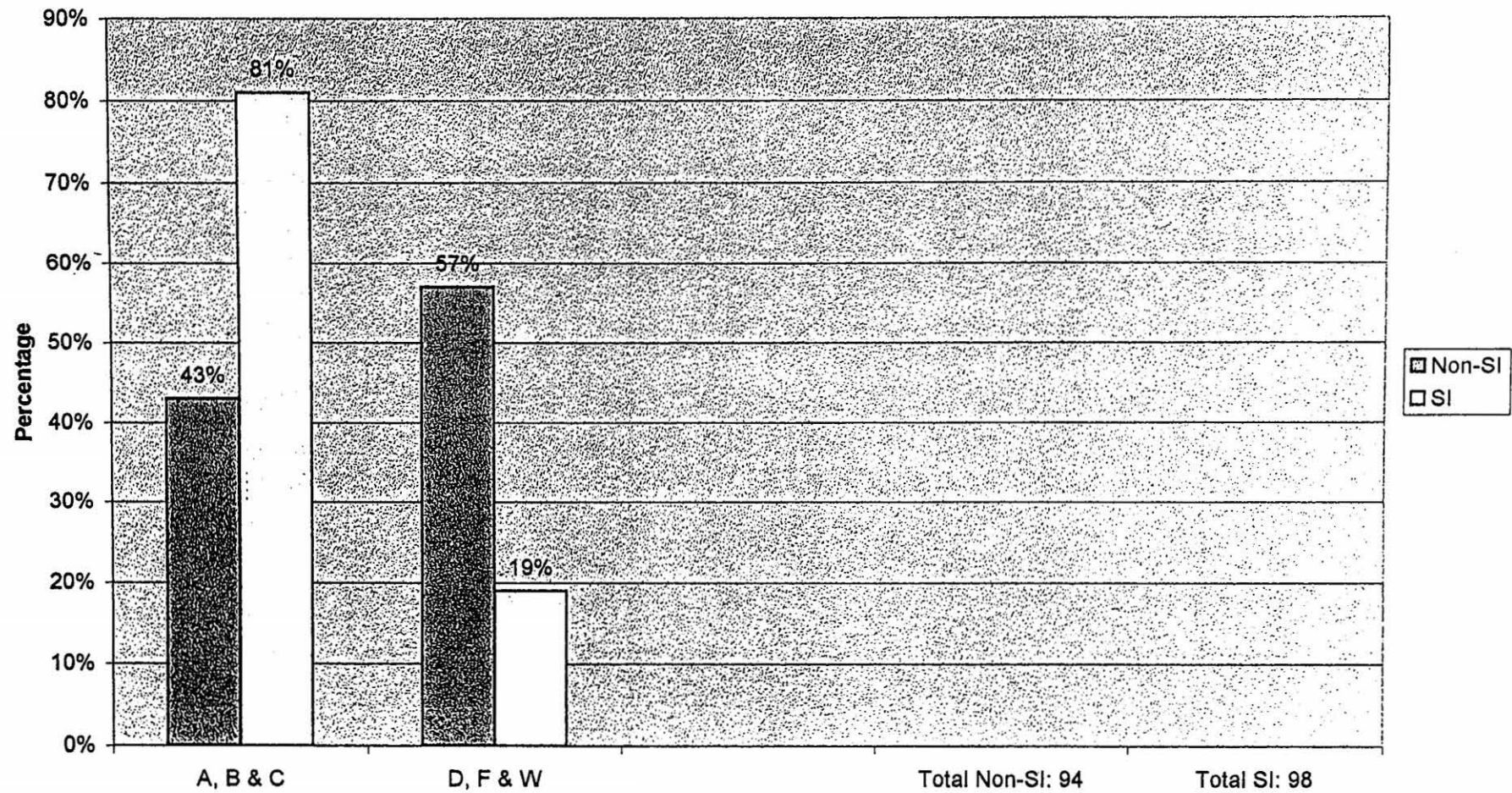
College Total	115	128	11%
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University Total	1992	2024	2%
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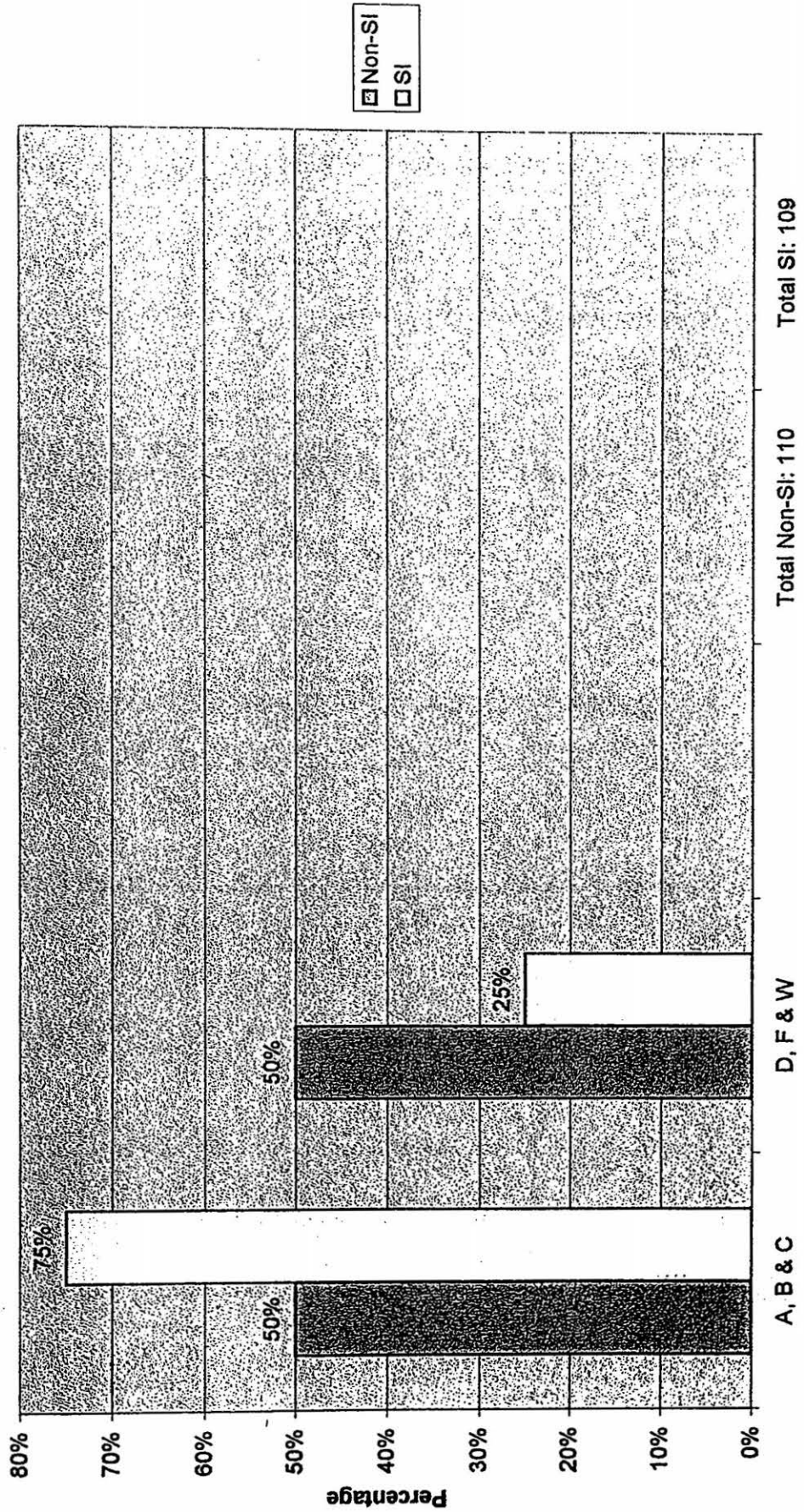
SI and Non-SI Participants
Final Grade Comparison
Chemistry 1307-001
Spring 2000



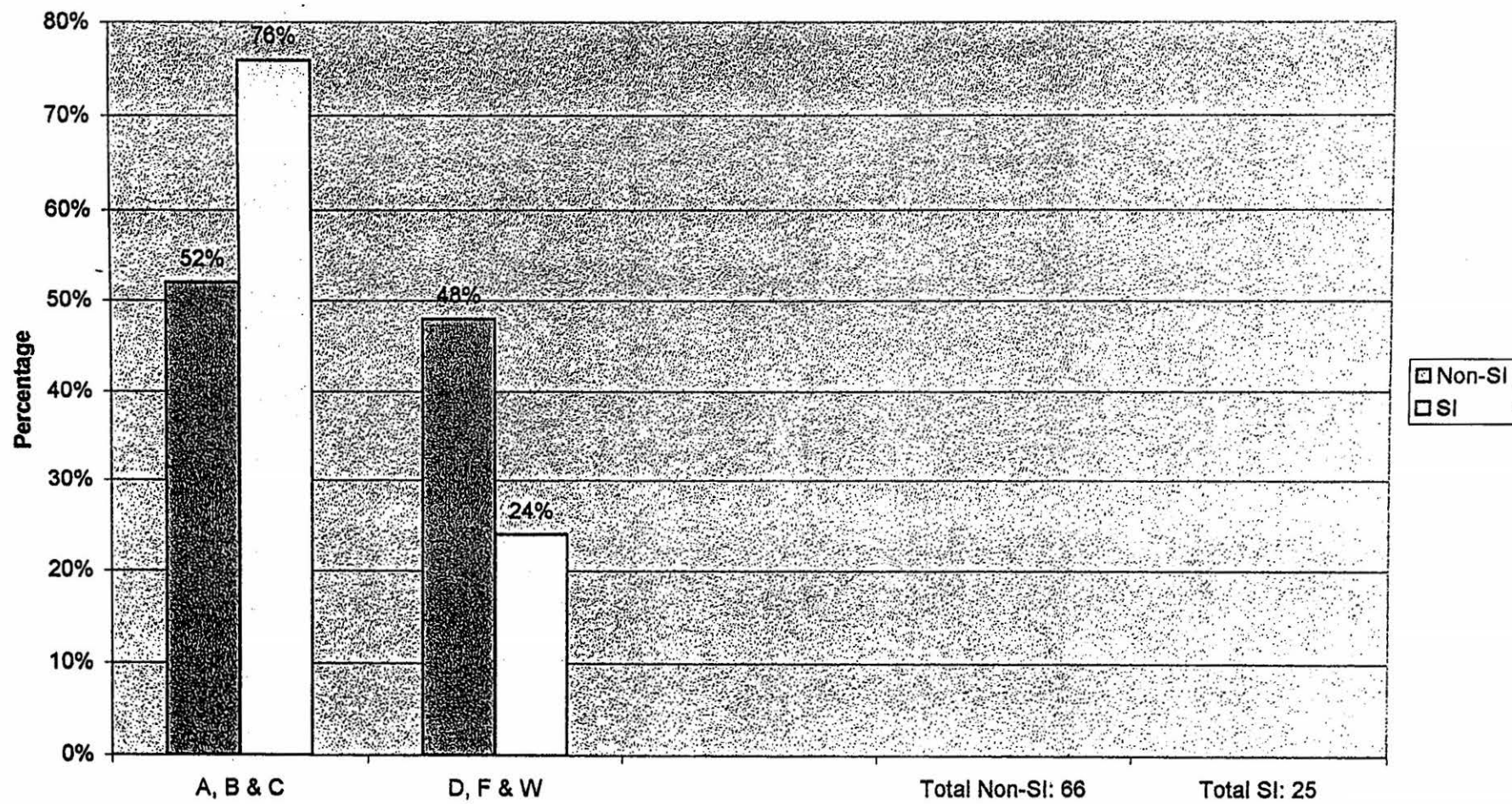
SI and Non-SI Participants
Final Grade Comparison
Chemistry 1307-002
Spring 2000



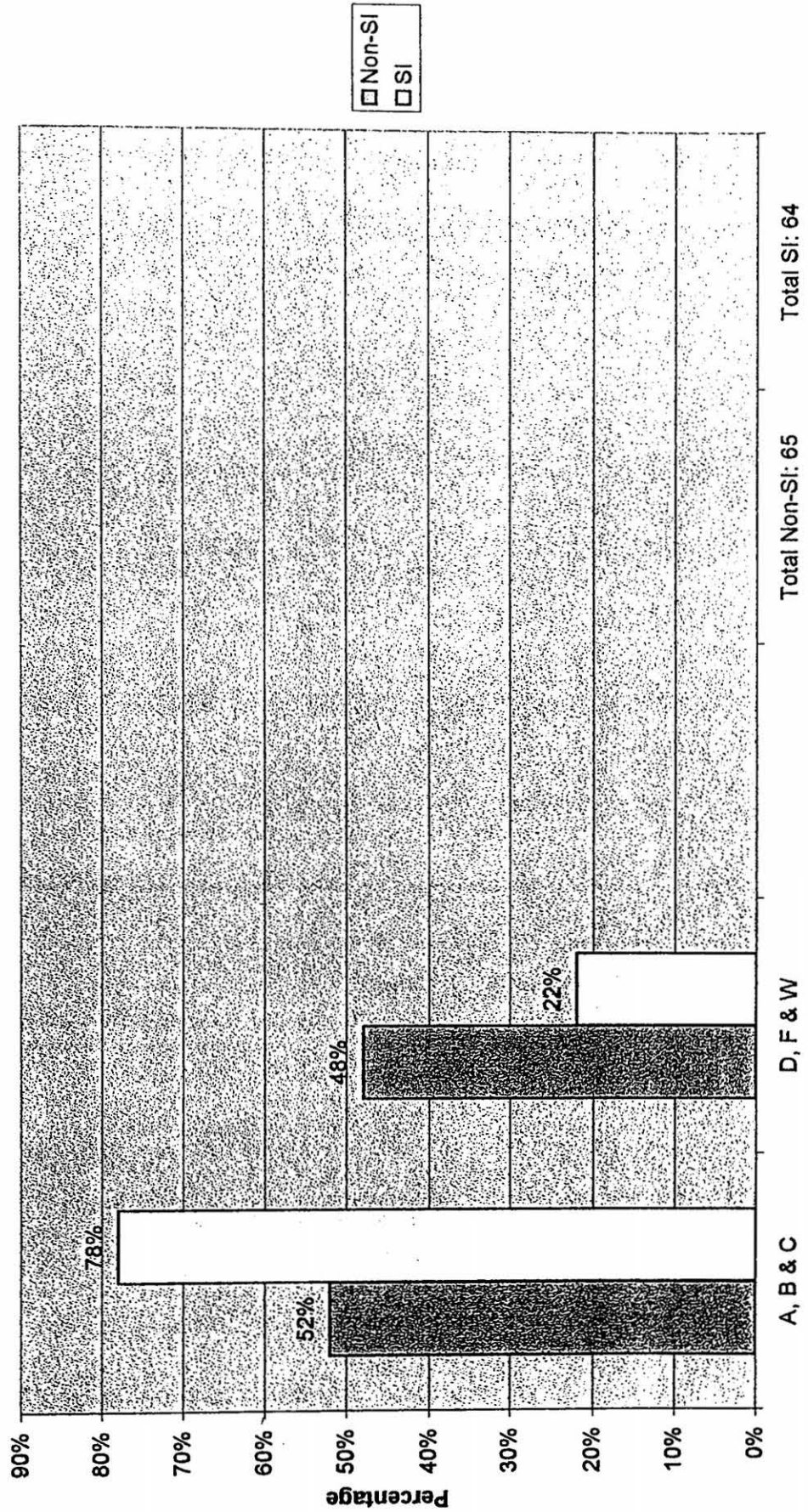
SI and Non-SI Participants
Final Grade Comparison
Chemistry 1307-003
Spring 2000



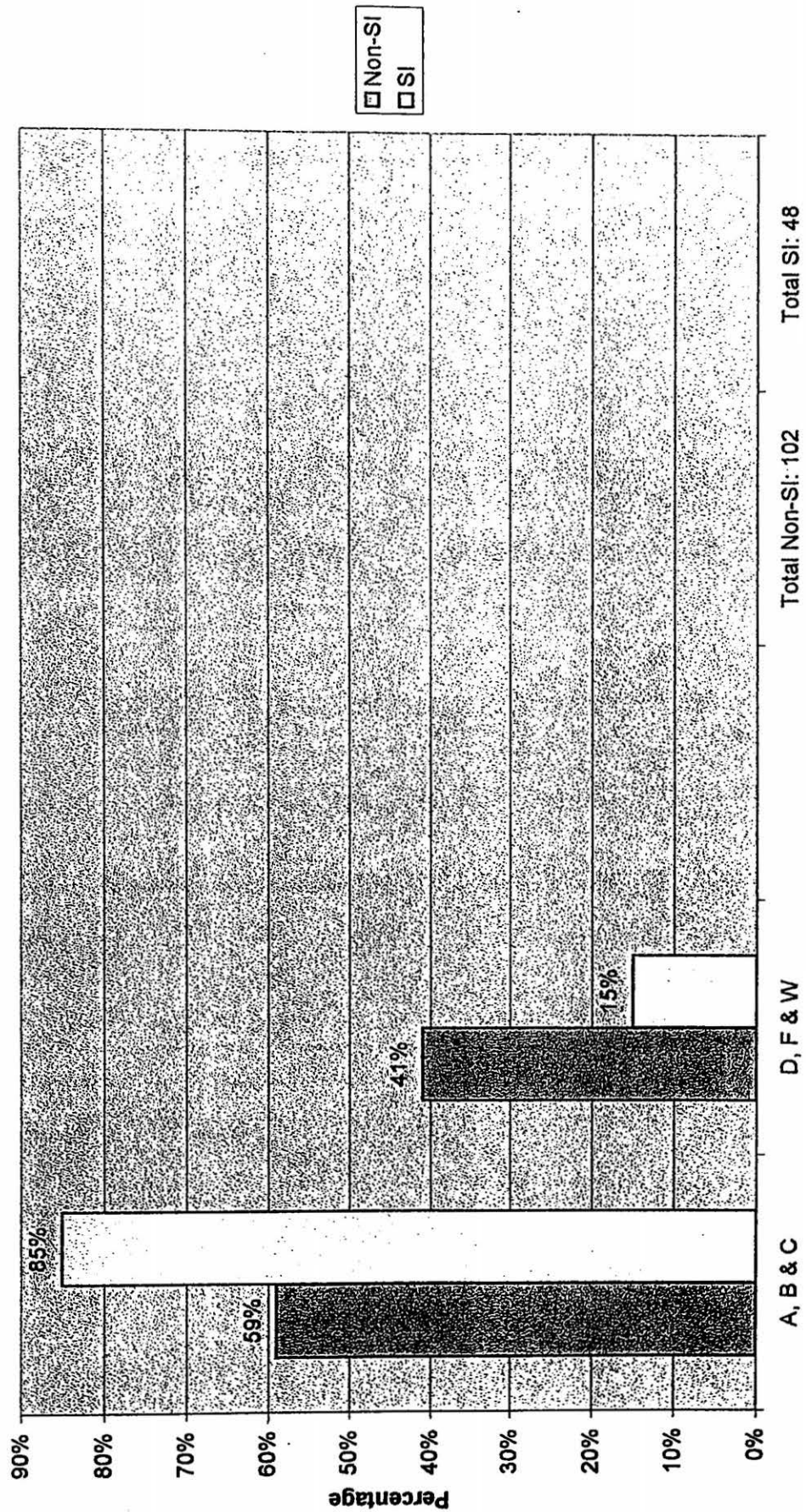
SI and Non-SI Participants
Final Grade Comparison
Chemistry 1308-001
Spring 2000



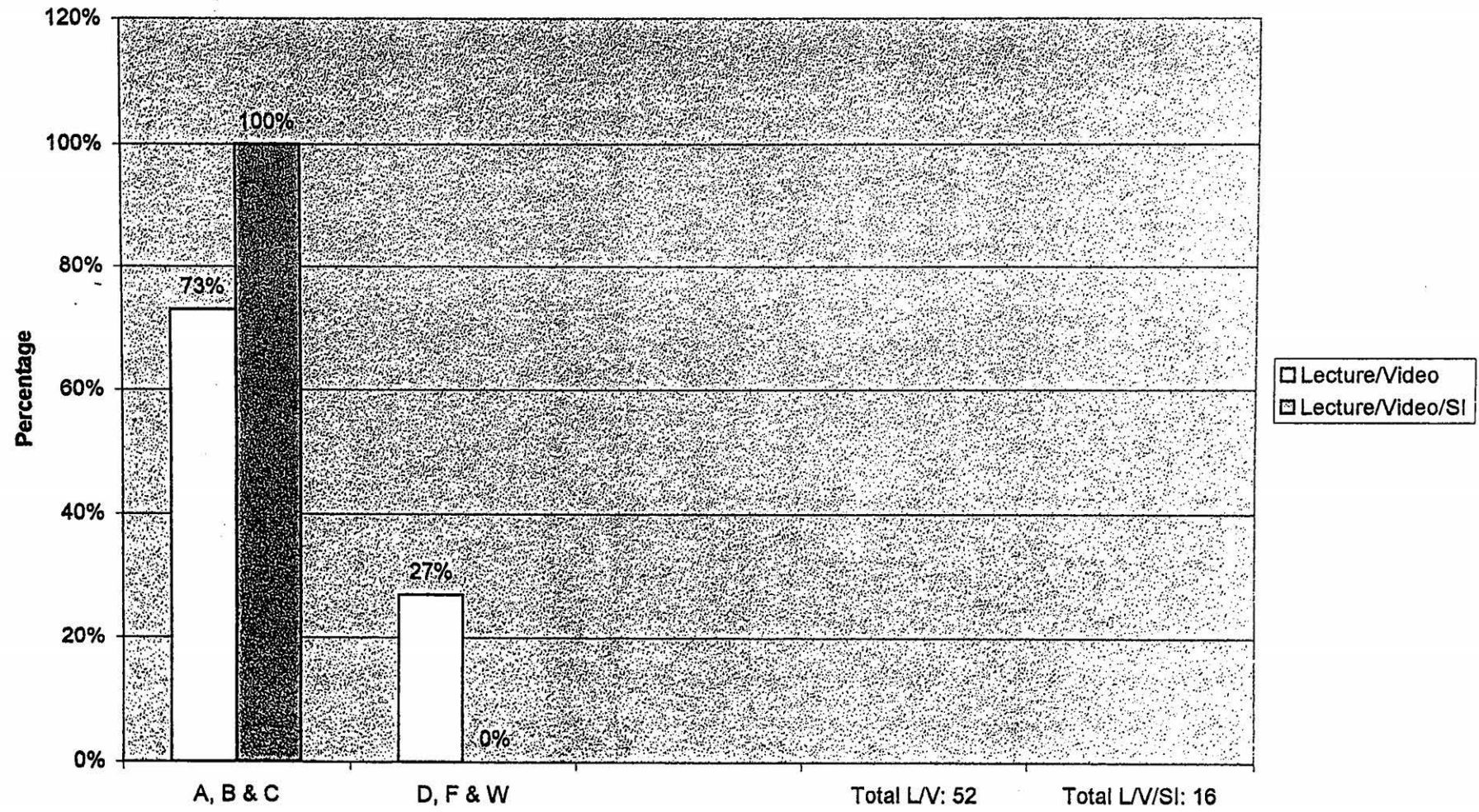
SI and Non-SI Participants
Final Grade Comparison
Chemistry 1308-002
Spring 2000



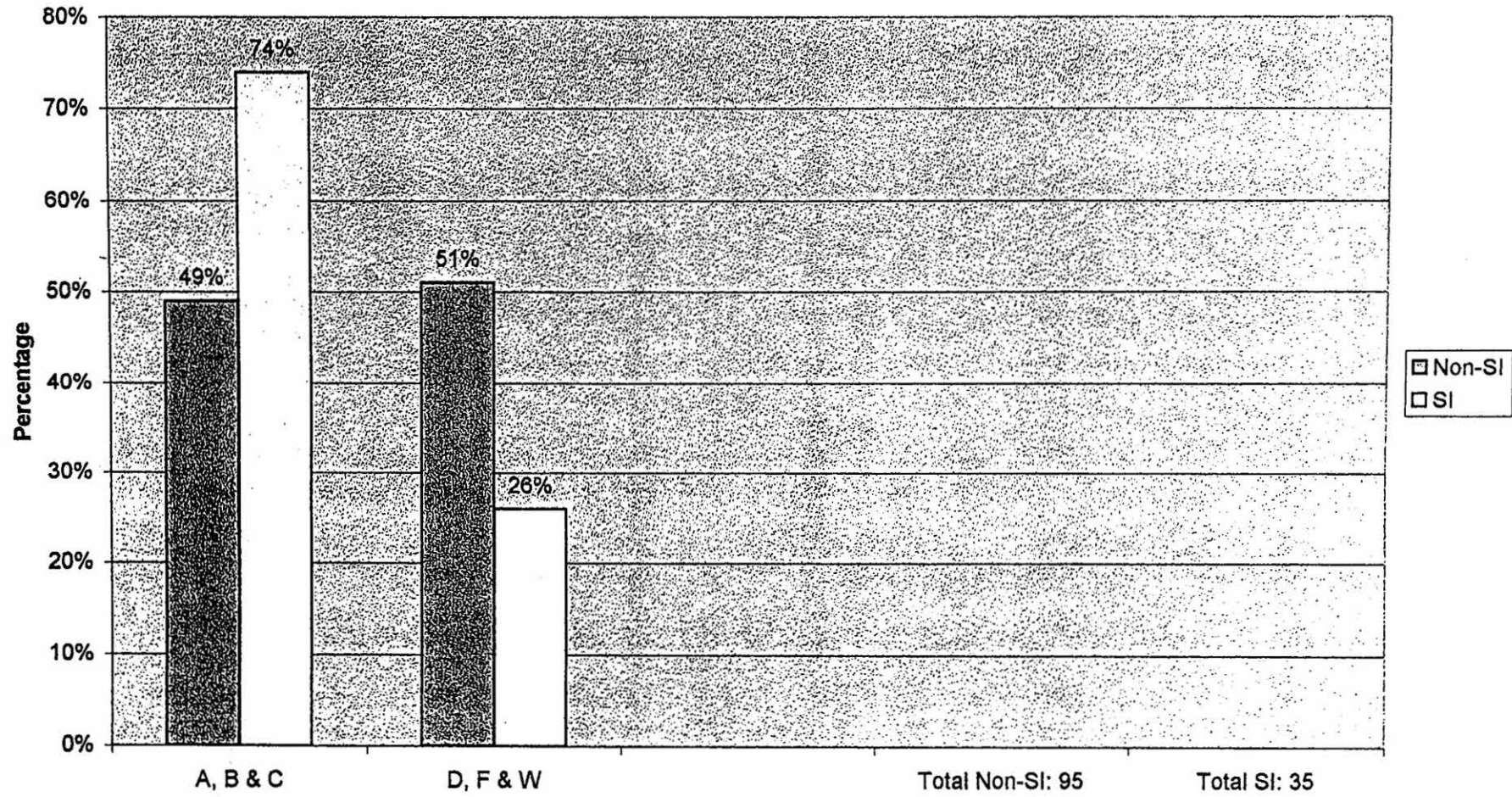
SI and Non-SI Participants
 Final Grade Comparison
 Biology 1404-001
 Spring 2000



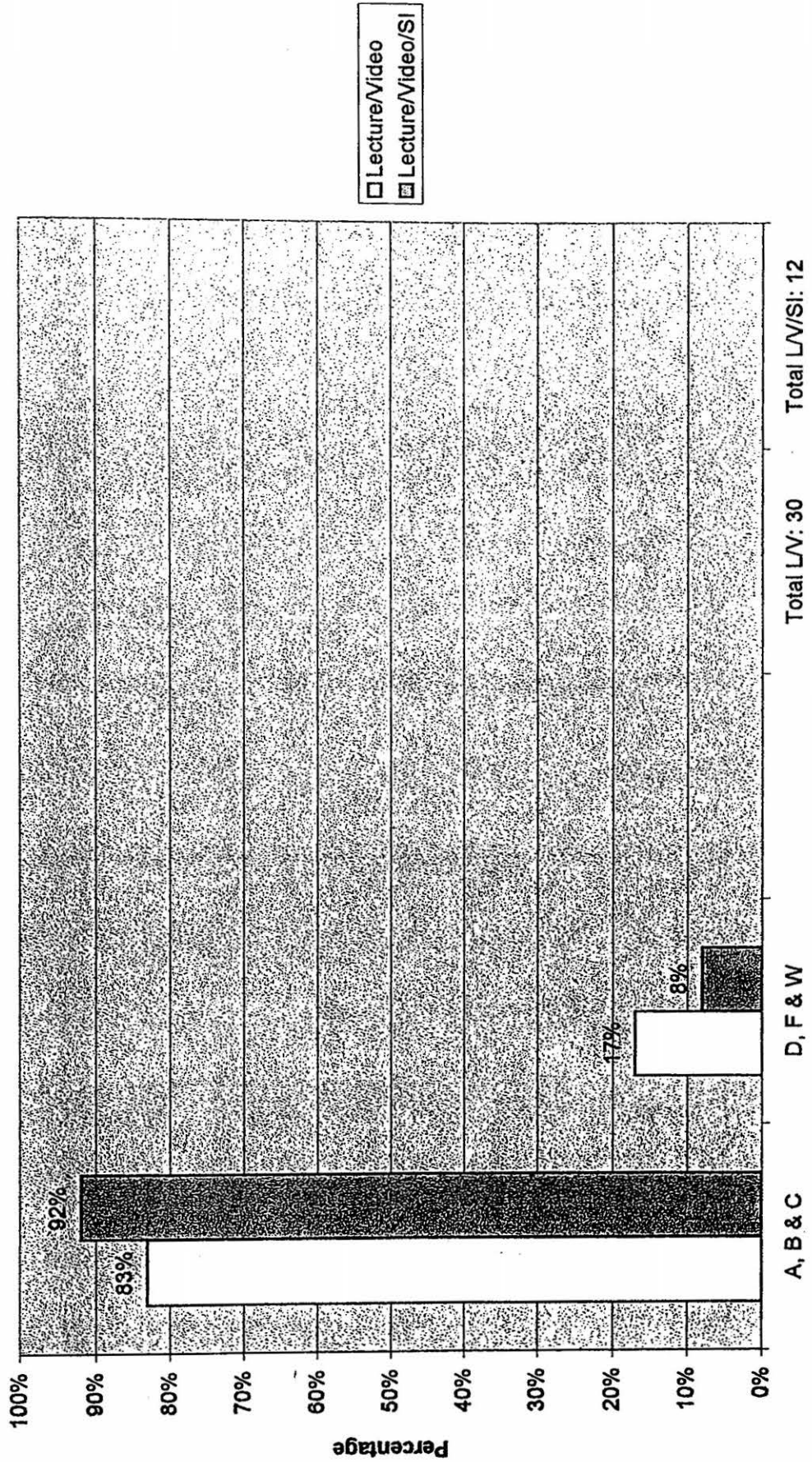
Final Grade Comparison with Video
Biology 1404-001
Spring 2000



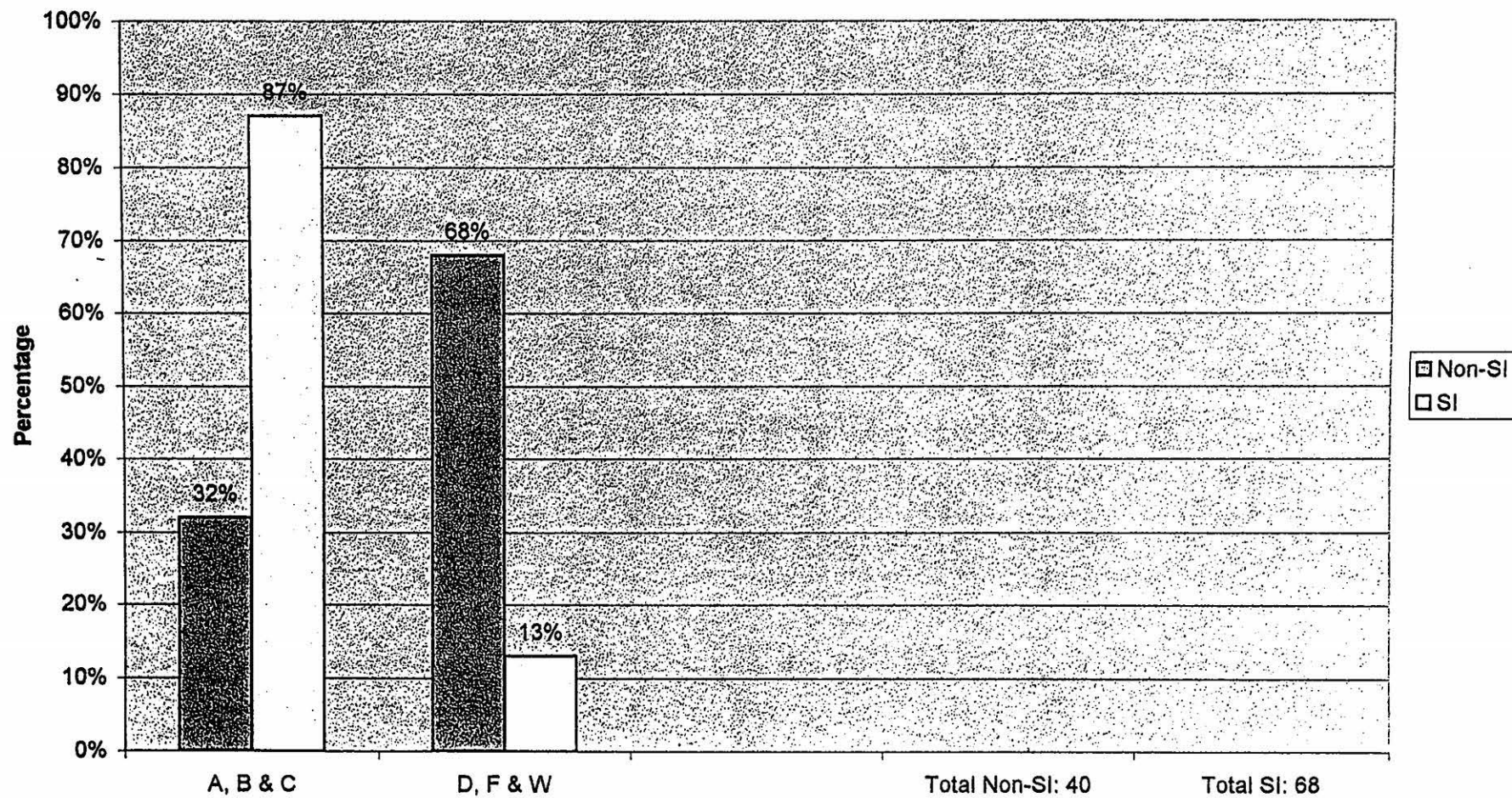
**SI and Non-SI Participants
Final Grade Comparison
Biology 1404-002
Spring 2000**



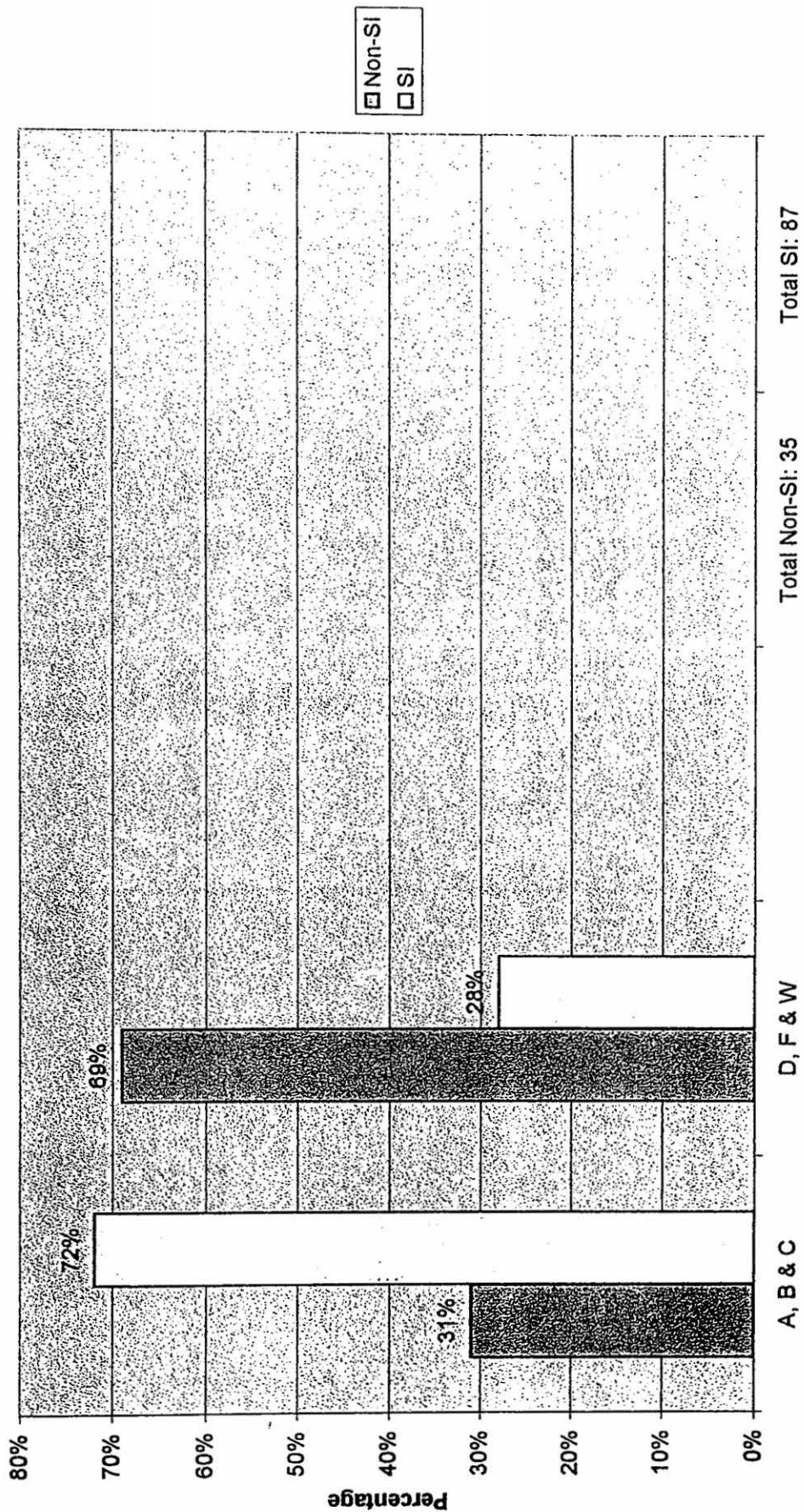
Final Grade Comparison with Video
Biology 1404-002
Spring 2000



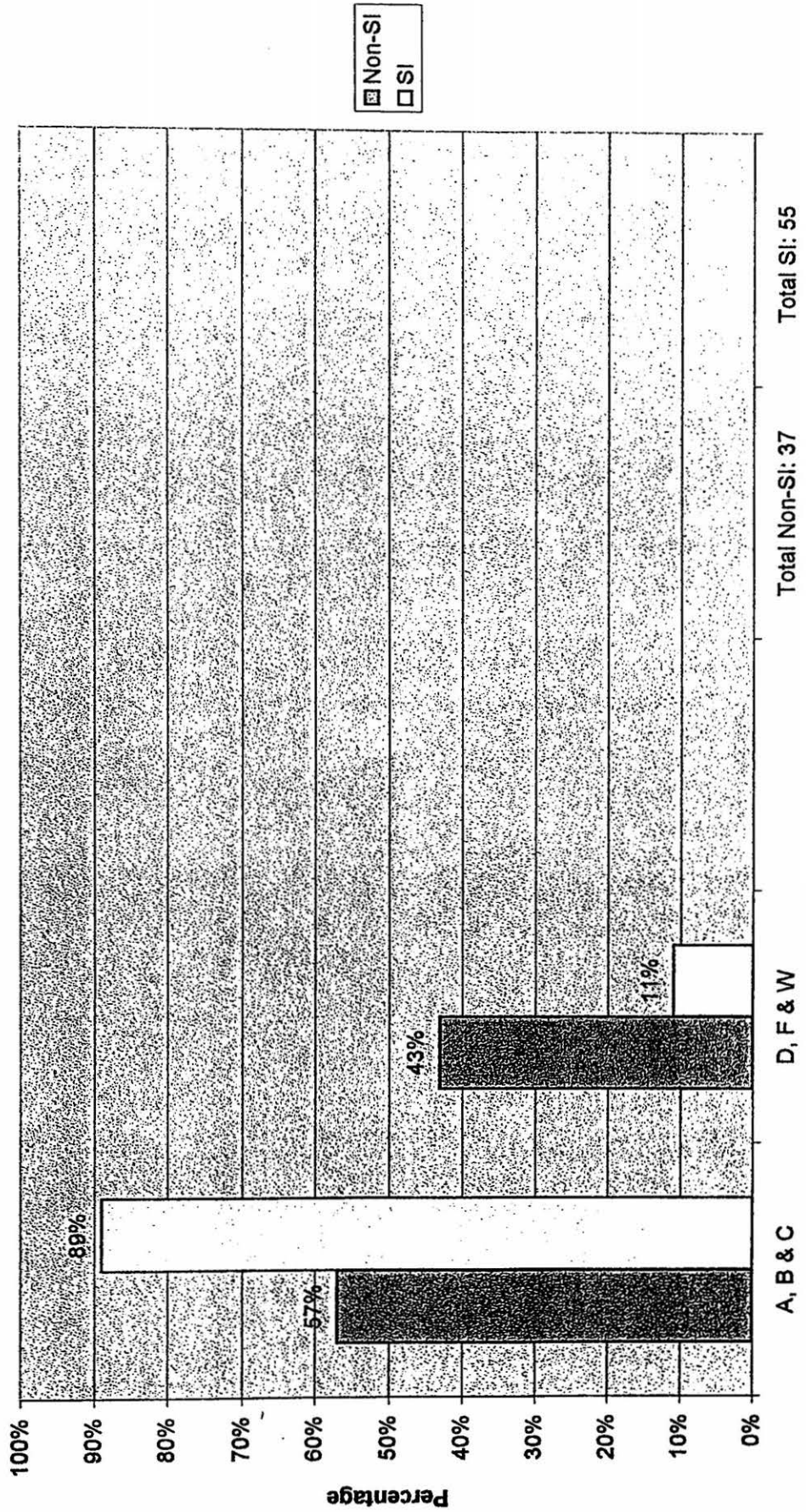
SI and Non-SI Participants
Final Grade Comparison
Chemistry 3305-001
Spring 2000



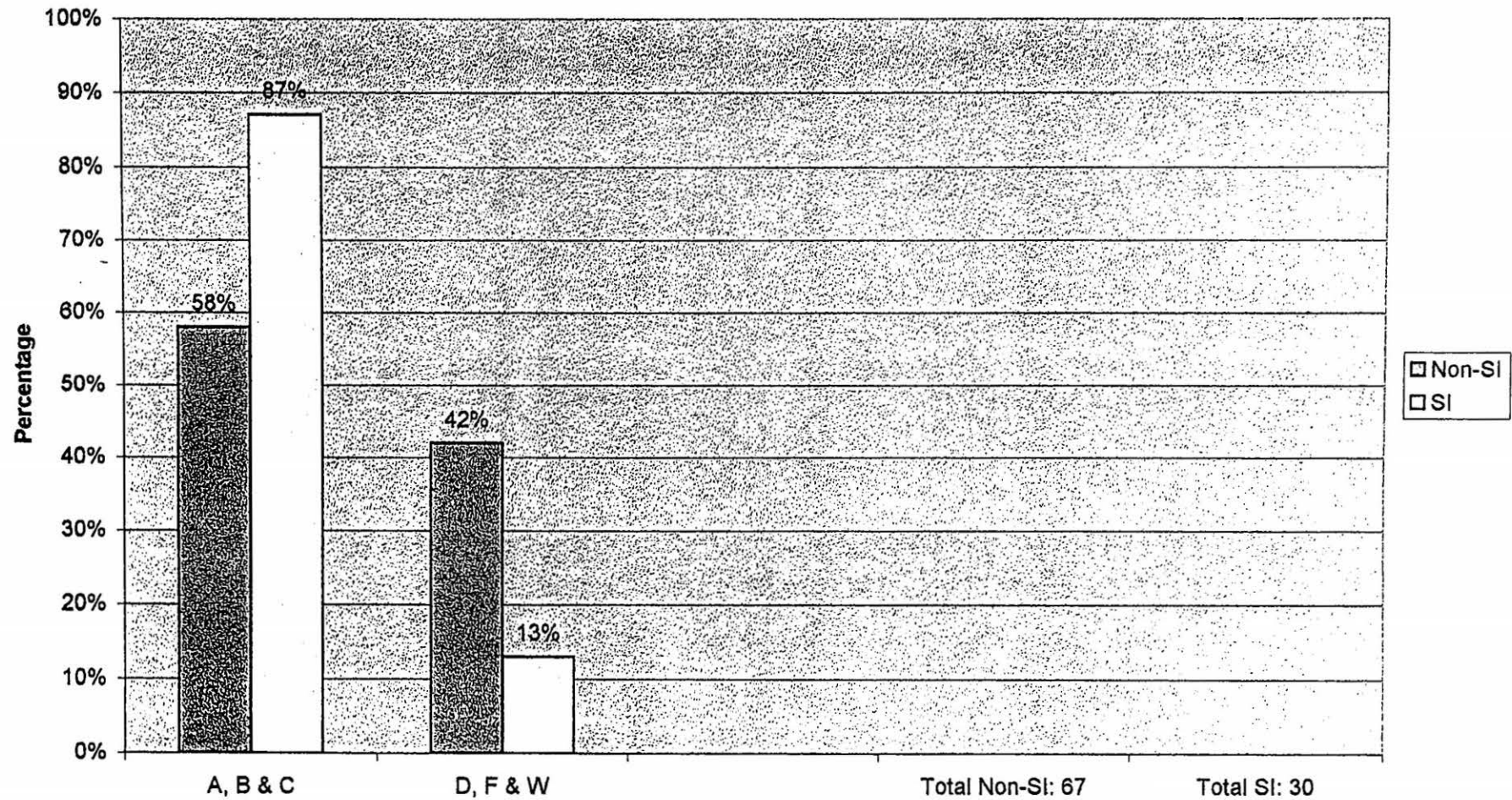
SI and Non-SI Participants
Final Grade Comparison
Chemistry 3306-001
Spring 2000



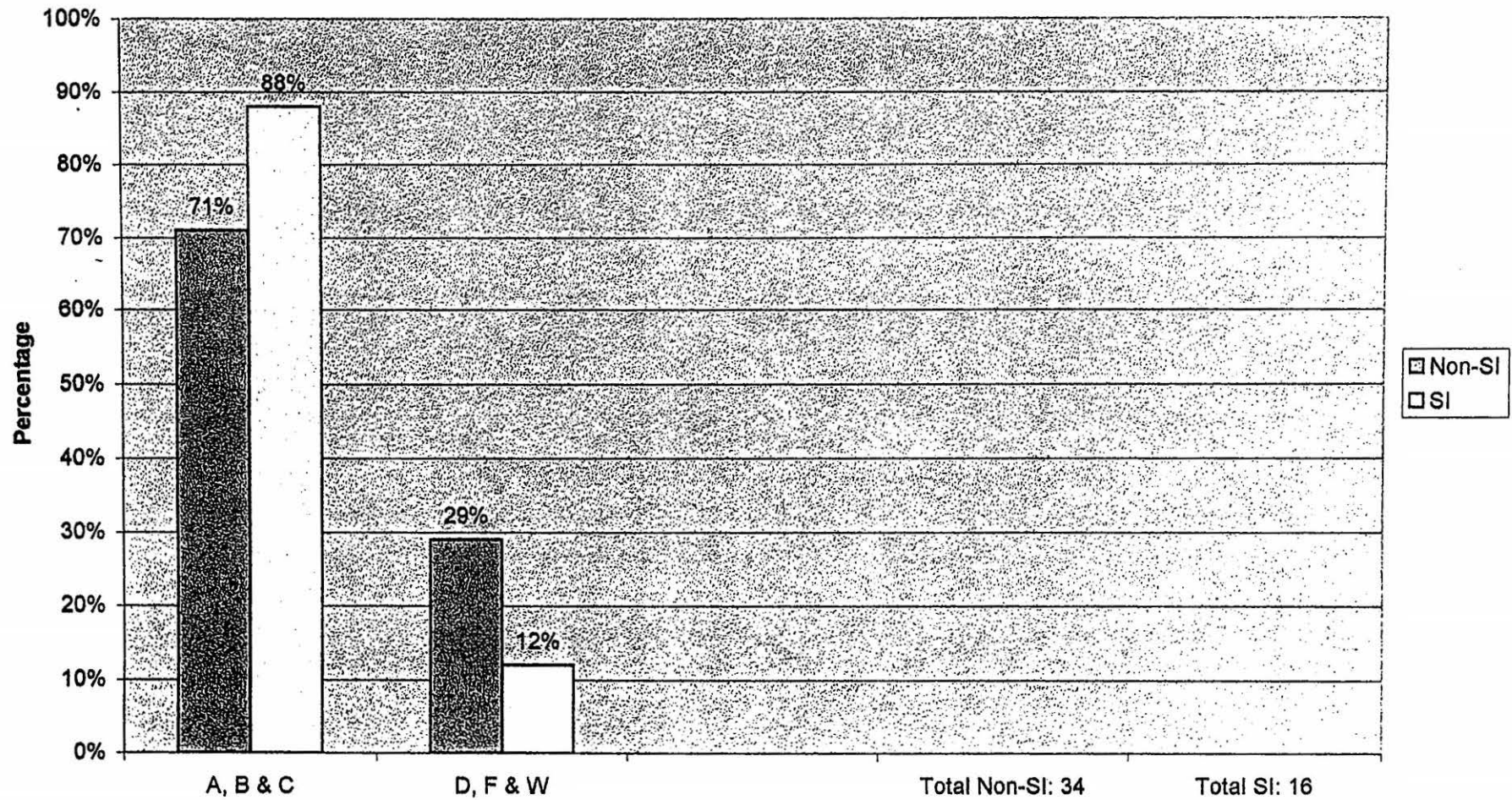
SI and Non-SI Participants
Final Grade Comparison
Economics 3311-005
Spring 2000



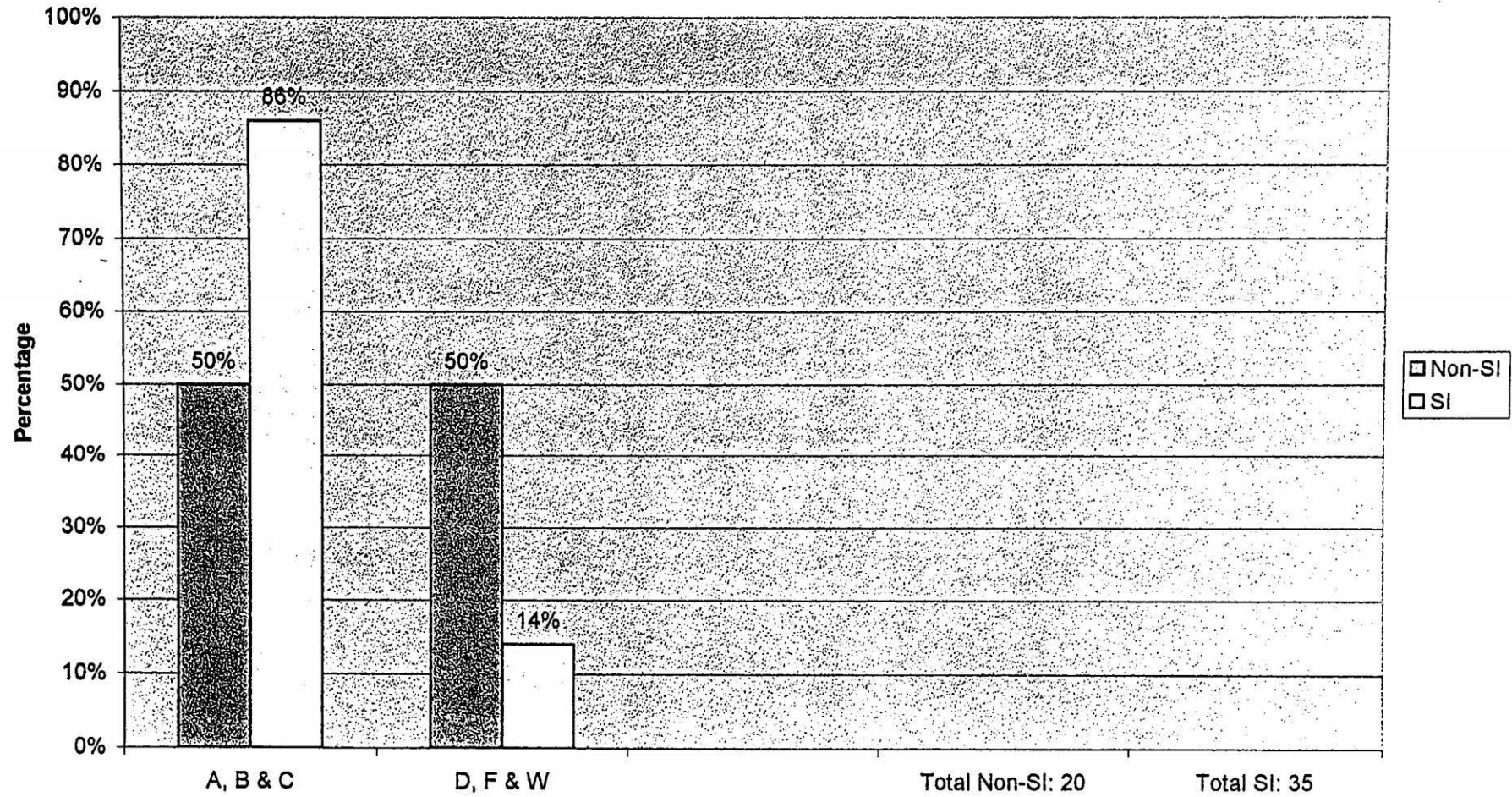
SI and Non-SI Participants
Final Grade Comparison
Physics 1306-002
Spring 2000



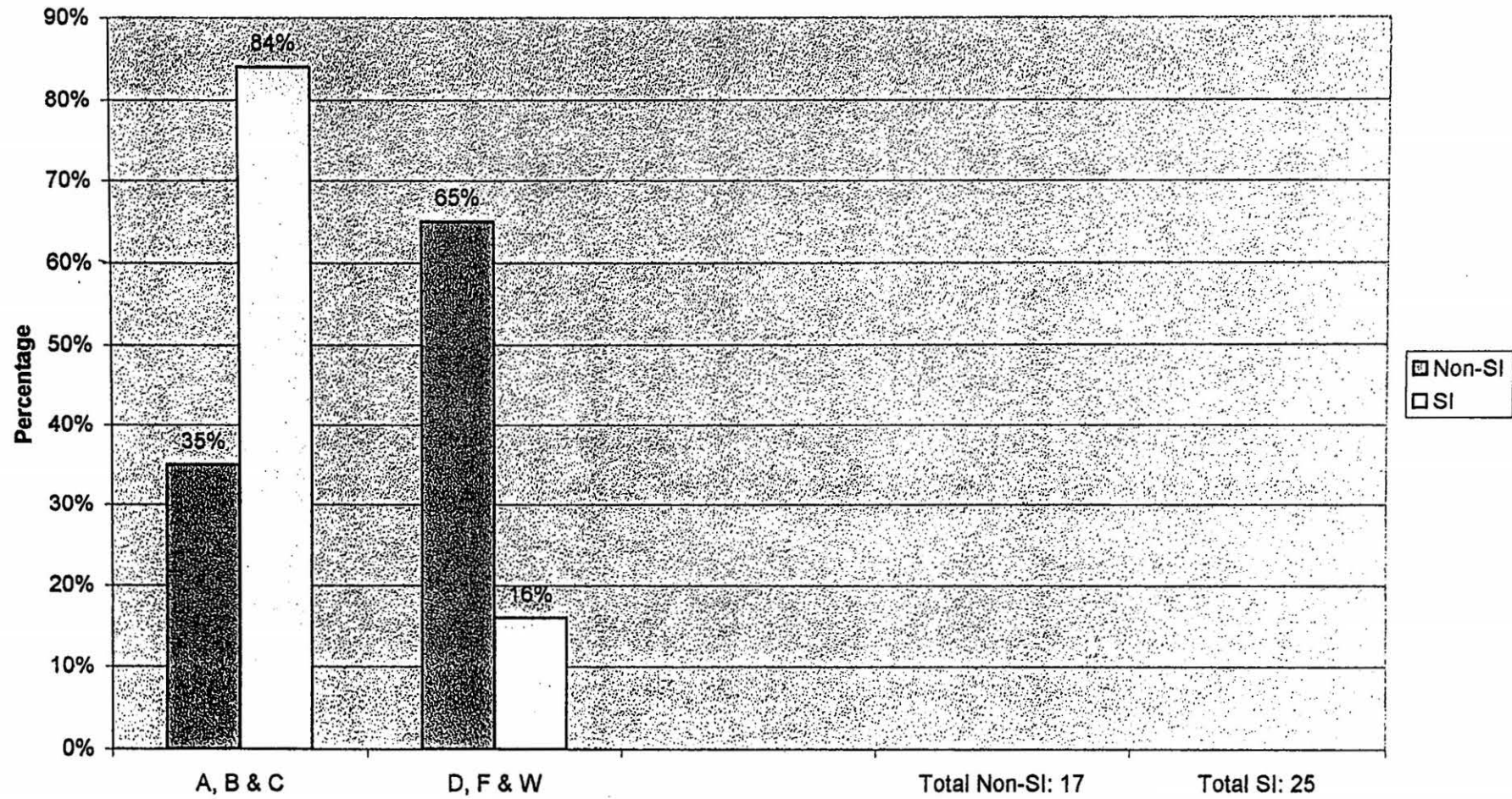
**SI and Non-SI Participants
Final Grade Comparison
Physics 1306-005
Spring 2000**



SI and Non-SI Participants
Final Grade Comparison
Sociology 1320-001
Spring 2000



SI and Non-SI Participants
Final Grade Comparison
Sociology 1320-002
Spring 2000



PRESIDENT'S REPORT, TTU
BOARD OF REGENTS MEETING
AUGUST 11, 2000

Dr. David J. Schmidly presented the president's report for Texas Tech University:

Since I've only been on the job ten days and to promote a little levity, I have a goodie bag for you. Lucy will pass those out and I'll tell you what's in it. It relates to a number of things we are trying to do on the campus to promote a more user-friendly attitude and assist our students, faculty and staff, and to provide and promote more pride in Texas Tech University among all of us that are associated with the institution. So, if you'll look in the bag, you will find two letters. When the students and their parents received their bills this year, they received a personal letter from me as president thanking them for being affiliated with Texas Tech, telling them about some new exciting initiatives that we are going to have underway this year and also giving them an e-mail address where they can contact me directly. Next week in the *University Daily*, we will publish a similar letter and I should tell you we have already had about a dozen e-mails about little problems that parents and students have had and we have been able to resolve every one of them before the student arrived on campus. So, we will continue to have these kinds of communications of the administration with our students. All of you will get a t-shirt. This is a program that is a cooperative one between our Student Affairs Department and the Texas Department of Transportation. It is a part of our alcohol awareness program. It says, "Don't Drink and Drive." We think that is an important message. We will be handing out 10,000 of these shirts

when students come back on campus. Gene West has decided we need to help all of our motorists on campus so he has established a motorist assistance program. If you are a student, or a parent or a visitor to the campus and you have a problem with your vehicle, you will be able to access some help from our Traffic and Parking Department. I thought that was a very clever thing. And we are establishing a new tradition at graduation tomorrow. Can all of you see this little lapel pin? This will be provided in the future and you have one in your package here and a little card that is with it. We will provide this to every Texas Tech graduate including the members of the Class of 1970 that graduate tomorrow as well. We will do this in the future as a way of promoting pride among our students and hopefully bonding them closer to the ex-students, and the Ex-Students Association has been kind enough to provide this. We are well underway with our planning for our new camp for freshman students and their parents which will be held next summer in Junction. We've made several trips down there. You have a copy of a draft brochure that will talk about Camp Tech. We will be inviting every freshman student next year, and their parents, to Junction. They will spend a couple of days at Junction. They will learn about the traditions of Texas Tech. They will learn about some of the important success factors it takes to get through school. We will have the Masked Rider and the horse there and hopefully we will have these students much more closely bonded to us when they arrive here on their campus and their parents and their local communities as well. And of one of the interesting things, we have had great

support from the little town of Junction in this. Last week, their economic development committee awarded us \$200,000 to make improvements on the campus to better host this camp. So, we are very excited about that.

We have some new uniforms for our gate guards and we are going to sort of "beef up" our service for people that come in to the campus. Gene, bring the models in and show everybody what you will be seeing when you enter the campus in the future.

[Gene West introduced Pauline Rodriguez and Robert Rodriguez. Robert is modeling our summer uniform. Those entry stations really get warm. This is the uniform that we selected for the summer wear for all of our entry station personnel. Pauline is wearing the winter uniform. We will have a blazer, black pants and shirt. When it gets too warm to wear the blazer in the daytime, this is what the uniform will look like. Since these people are the first people that most of our visitors meet when they enter the campus, what we want to do is make a good impression on all of our visitors when they come in. We want to be able to give them the information as to where they are trying to go. If we know about the meeting, we can tell them where to go and where to park and in general, just work toward making Texas Tech the institution of choice.]

As we think about the future, I think it is useful for us to look at where we are today. I have some good news to share with you in that regard. Under the new Carnegie ranking system, Texas Tech University is in the highest tier. We are now a Doctoral Research University Extensive and only five other public universities in Texas and two private institutions join us in this ranking. But there is a new ranking system of universities that is being promoted and prepared by the University of Florida and that is going to be issued annually in the future. What I have passed out to you is the Florida rating system as to how they rank universities in ten categories reflecting research, student faculty achievement and fundraising. The chart I passed out shows how Texas Tech ranks compared to the other major universities in Texas and you will note that we rank in the top 100 in seven out of those ten categories. It is going to take some work, but what I am pledging to you today is that in the near future we intend to rank in the top 100 in every one of those ten categories and I think that is an achievable objective.

Finally, I would simply say that we are having another great year in Washington. As it stands going into the final days of the session, there are approximately \$14 million in federal appropriations ear-marked for Texas Tech in the next federal budget and for that we need to thank the congressional leadership in Texas and the people who have supported us. Toward the end of this month we will have receptions here for Senator Hutchison—she continues to be a very big supporter

President's Report, TTU
August 11, 2000
Page 5

in this regard—and also for Mac Thornberry. And Mr. Bonilla, who was featured prominently at the convention, has also been a big support of Texas Tech in regards to our federal appropriations.

That concludes my report.

PRESIDENT'S REPORT, TTUHSC
BOARD OF REGENTS MEETING
AUGUST 11, 2000

Dr. David R. Smith presented the president's report for Texas Tech University Health Sciences Center:

The Board was most generous with their time yesterday. I just have one item and that has to do with something I am particularly proud of. Our School of Nursing and School of Medicine have come together to do some interdisciplinary health care for the poor folks that we see without health insurance, predominantly adults, as well as some children in east Lubbock. This is done at the Wellness Center that one of our founding deans, Dr. Teddy Jones, has put in place. It is located on Avenue A and to date we have seen almost 1,000 patients there. We also use the site for teaching. I'll just give you one quote from one of the patients here. A 77-year old man arrived last week and only spoke Spanish. To the coordinator of the program he said, "I heard from my friends at the Senior Citizens' hangout that the people here try to talk to you in Spanish and they will try to help you. Can you give me something for the infection on my foot?" Most of the patients don't pay. Most individuals try to give \$10.00 but as you might guess, a lot of people can't. Part of our mission is in fact about instilling these kind of values to our professionals at the Health Sciences Center. We are proud of doing this kind of work and this has been an effort by both the School of Nursing and the School of Medicine and the President's Office to make sure that we don't lose sight of what is important.

That concludes my report.

CHANCELLOR'S REPORT
BOARD OF REGENTS MEETING
AUGUST 11, 2000

Thank you, Mr. Chairman and members, and thank you for extending my contract.

Debbie and I are in the most exciting part of our career. It's a great place to be and I cannot tell you how excited I am about all the changes and the level of enthusiasm that we are seeing across the campus as we start, hopefully, a glorious fall at Texas Tech.

Four years ago we set four goals: one, to become a Carnegie I Research university; two, we wanted to raise \$300 million; three, we wanted to develop a comprehensive master plan for our campus which is one of the most attractive in the nation; and four, we wanted to become a partner in the community and the region for economic development ambitions. I am pleased to report to you that we have succeeded on all of those fronts. In fact, if you look at economic development as a for instance and I want to underscore why this is important, this is what a research university can do for a town and a region. The documentation of these statistics is included in the *Wall Street Journal* and if you look at a window of June 1999 to June 2000, as a for instance, there were 4,000 new jobs created in Lubbock alone and we feel like Texas Tech is a partner with our community and our region in these economic development initiatives. Another telling statistic, I believe, if you look at the three cities in Texas that have the lowest unemployment rate, they are not surprisingly College Station, Austin and Lubbock, Texas.

I think that these are exciting times and I would be remiss if I didn't stir a little controversy. So, at my four-year anniversary, I want to throw out these challenges. I

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think we should continue to look at increasing our admission standards. No matter how controversial that debate is, I think Texas Tech is a better university today for those increases. So, we are prepared to debate. I just want you to think about that as we formulate these strategic plans for this decade and this millennium. I want to challenge us to look at expanding even more the Honors College. Arizona State, as a for instance, has an occupancy of about 2,500 in their Honors College. I see no reason why we can't replicate, if not exceed, that goal. I think in terms of a 20-year plan, we should no longer think in terms of \$300 million for an endowment. I think we should look at and think in terms of creating a billion dollar endowment for Texas Tech. You may think I need a psychiatric evaluation but the one thing we did do was underestimate our ability to raise money and underestimate the depth and breadth of support for this university nationwide in our alumni, in successful graduates and in all of our graduates. I think that is a very doable goal and I just ask you to think about this. What if this would have been the subject of conversation 20 years ago before this board? Where would we be today? I think there are ways to get us there and you are right, I may be throwing out numbers that are unfathomable, but having had this experience for four years, I will tell you I believe these are attainable goals if we will keep our focus. If we will keep our focus on excellence, stay ambitious and we are becoming more competitive.

So I thank you for giving my wife and me the opportunity to serve. I am excited about our future and I hope we win some football games this fall. Go Tech!

TEXAS TECH UNIVERSITY SYSTEM
Lubbock, Texas

FOR BOARD INFORMATION

TEXAS TECH UNIVERSITY AND TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER

1. Summary of Revenues and Expenditures by Budget Category, FY 2000 per *Board of Regents Policy 01.01.8.c(3)(f)*

TEXAS TECH UNIVERSITY

1. Budget Adjustments per *Board of Regents Policy 04.04.4.c(3)* for the period April 1, 2000 through June 30, 2000
2. Faculty Workload Report, Spring 2000, per *Board of Regents Policy 06.06.5*

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER

1. School of Medicine Faculty Employment Contracts per *Board of Regents Policy 04.05.4.b*

[The above referenced information items are on file in the Board of Regents office.]