

TEXAS TECH UNIVERSITY SYSTEM

MINUTES OF THE BOARD OF REGENTS  
OF  
TEXAS TECH UNIVERSITY

MAY 9-10, 2002

TEXAS TECH UNIVERSITY SYSTEM  
Lubbock, Texas

Minutes

Board of Regents  
May 9-10, 2002

Thursday, May 9, 2002.—The members of the Board of Regents of the Texas Tech University System convened at 1:00 p.m. on Thursday, May 9, 2002, in the Board Room, Second Floor, Room 201, Administration Building, Akron and Broadway Avenues, Lubbock, Texas, with the following in attendance:

ATTENDANCE.—

Regents present were J. Robert Brown, Chairman; Nancy E. Jones, Vice Chair; Carin Barth; C. Robert Black; E. R. "Dick" Brooks; John W. Jones; David R. Lopez; Brian C. Newby and J. Michael Weiss.

Also participating at the opening of the meeting were David R. Smith, Interim Chancellor and President, Texas Tech University Health Sciences Center; Elizabeth Haley, Deputy Chancellor for System Operations; David Schmidly, President, Texas Tech University; Pat Campbell, Vice Chancellor and General Counsel; Jim Brunjes, Chief Financial Officer; Mike Ellicott, Vice Chancellor for Facilities Planning and Construction, TTUS; Cindy Rugeley, Vice Chancellor for News and Publications, TTUS; Mike Sanders, Vice Chancellor for Governmental Relations, TTUS; Richard Butler, Vice President for Operations, TTUHSC; Elmo Cavin, Executive Vice President, TTUHSC; Lynda Gilbert, Vice President for Fiscal Affairs, TTU; John Burns, Provost, TTU; Robert Sweazy, Vice President for Research and Graduate Studies, TTU; Max Hinojosa, Vice President for Operations, TTU; Michael Heintze, Vice President for Enrollment Management, TTU; Michael Shonrock, Vice President for Student Affairs, TTU; John Anderson, associate director of athletics, TTU; Dr. John Griswold, chair of the Department of Surgery, TTUHSC; Dr. Donna Bacchi, TTUHSC; Cortney Youens, TTUHSC, chair of Student First Task Force Committee; Dana Hernes, TTU, vice chair of Student First Task Force Committee; Lynn Denton, member of the Texas Higher Education Coordinating Board; Ben Lock, Executive Assistant to the Chancellor and the Board of Regents; and Lucy Lanotte, Executive Secretary to the Board of Regents.

- I. CALL TO ORDER.—Chairman Brown announced a quorum present and called the meeting to order. At the direction of Chairman Brown, there was a moment of silence in respectful memory of William G. Wehner, interim vice chancellor for institutional advancement, who passed away on April 28, 2002.



- II.A. INTRODUCTION AND RECOGNITIONS.—Chairman Brown called on Dr. Smith and Dr. Schmidly for the introductions and recognitions. Dr. Schmidly introduced the new student government officers for 2002-2003: Kelli Stumbo, president; Jeremy Brown, internal vice president; and Leigh Mauer, external vice president. Dr. Schmidly introduced Mr. Shane Blum, associate professor, College of Human Sciences, who is the new faculty senate president and Mr. Maurice Welch, manager of Copy Services, who is the new president of the staff senate. Dr. Schmidly introduced Dr. Garry Owens who will serve as the first dean of the new College of Visual and Performing Arts; Dr. Mark Vaughn, assistant professor in the Department of Chemical Engineering, who received the Career Award from the National Science Foundation; and Dr. Kwami Alford, assistant professor in the History Department, who is a new Ford Foundation post-doctoral fellow.

Dr. Smith introduced the new student leaders for 2002-2003: James Fay, president of the Health Sciences Center student senate; Jason Huffman, senate vice president; Danielle Harston, senate treasurer; and Lesley Crowley, senate secretary. Dr. Smith introduced Dr. John Orem, chair, Department of Physiology, who is the new Murray professor. Dr. Smith introduced Dr. James Slauterbeck, vice chair of the Department of Orthopedic Surgery, who serves as the residency director, and recently received a UMC endowed chair. Dr. Smith acknowledged other UMC endowed chairs who were not present at the meeting including Dr. Cynthia Jumper, associate professor of internal medicine and physiology; Dr. Joe Cordero, assistant professor of Otolaryngology and Head and Neck Surgery; and Dr. Diana Contreras, division director of gynecologic oncology for the Department of Obstetrics and Gynecology. Dr. Smith also acknowledged Regent Brian Newby who recently received the outstanding alumnus award from the Department of History.

- II.B. PRESENTATION RELATING TO THE MARKETING OF THE 'CLOSING THE GAPS' PLAN.—Chairman Brown introduced Ms. Lynn Denton of the Texas Higher Education Coordinating Board who presented a report relating to the marketing of the "Closing the Gaps" plan.

- III. RECESS TO EXECUTIVE SESSION.—At approximately 1:23 p.m., at the direction of Chairman Brown, the board recessed and convened in Executive Session as authorized by Chapter 551 of the *Texas Government Code*.

RECONVENING OF THE BOARD TO OPEN SESSION.—At approximately 2:10 p.m. on Thursday, May 9, the board reconvened in open session in the Board Room, Second Floor, Room 201, Administration Building, Akron and

Broadway Avenues, Lubbock, Texas. Chairman Brown called on Regent Nancy Jones to present motions regarding items discussed in Executive Session.

Vice Chair Jones moved to appoint Dr. David Smith as chancellor of the Texas Tech University System. The motion passed unanimously.

**RECONVENING OF THE BOARD TO EXECUTIVE SESSION.**—At approximately 2:12 p.m., at the direction of Chairman Brown, the board recessed and reconvened in Executive Session as authorized by Chapter 551 of the *Texas Government Code*.

- IV. **RECONVENING OF THE BOARD TO OPEN SESSION.**—At approximately 5:00 p.m. on Thursday, May 9, the board reconvened in open session in the Board Room, Second Floor, Room 201, Administration Building, Akron and Broadway Avenues, Lubbock, Texas, for the purpose of recessing into Standing Committee Meetings.
- V. **RECESS TO STANDING COMMITTEES.**—At approximately 5:00 p.m., at the direction of Chairman Brown, the board recessed into meetings of its standing committees, which were conducted sequentially in open session. The Academic, Clinical and Student Affairs Committee met from approximately 5:00 p.m. until approximately 5:58 p.m. in the Board Room, Second Floor, Room 201, Administration Building, Akron and Broadway Avenues, Lubbock, Texas.

**RECONVENING OF THE BOARD TO OPEN SESSION.**—At approximately 5:58 p.m. on Thursday, May 9, the board reconvened in open session in the Board Room, Second Floor, Room 201, Administration Building, Akron and Broadway Avenues, Lubbock, Texas, for the purpose of recessing to the morning of Friday, May 10. At the direction of Chairman Brown, the board recessed from open session at approximately 6:00 p.m. on Thursday, May 9.

**RECONVENING OF THE BOARD TO OPEN SESSION.**—At approximately 9:00 a.m. on Friday, May 10, the board reconvened in open session in the Board Room, Second Floor, Room 201, Administration Building, Akron and Broadway Avenues, Lubbock, Texas.

**ATTENDANCE.**—

Regents present were J. Robert Brown, Chairman; Nancy E. Jones, Vice Chair; Carin Barth; C. Robert Black; E. R. "Dick" Brooks; John W. Jones; David R. Lopez, Brian C. Newby and J. Michael Weiss.

RECESS TO STANDING COMMITTEES.—At approximately 9:05 a.m., at the direction of Chairman Brown, the board continued the meetings of its standing committees, which were conducted sequentially in open session. The Finance and Administration Committee met from approximately 9:00 a.m. until approximately 11:10 a.m. in the Board Room, Second Floor, Room 201, Administration Building, Akron and Broadway Avenues, Lubbock, Texas; and the Facilities Committee met from approximately 11:10 a.m. until approximately 12:02 p.m. in the Board Room, Second Floor, Room 201, Administration Building, Akron and Broadway Avenues, Lubbock, Texas.

- VI. RECONVENING OF THE BOARD TO OPEN SESSION.—At approximately 12:02 p.m. on Friday, May 10, the board reconvened in open session in the Board Room, Second Floor, Room 201, Administration Building, Akron and Broadway Avenues, Lubbock, Texas, to consider items as a board and in the Committee of the Whole.

ATTENDANCE.—

Regents present were J. Robert Brown, Chairman; Nancy E. Jones, Vice Chair; Carin Barth; C. Robert Black; E. R. "Dick" Brooks; John W. Jones; David R. Lopez, and J. Michael Weiss. Regent Brian C. Newby was absent for the remainder of the meeting.

- VI.A. APPROVAL OF MINUTES.—Regent Brooks moved that the minutes of the board meetings held on February 28-March 1, 2002 and April 3, 2002 be approved. Regent Black seconded the motion, and the motion passed unanimously.

VI.B. COMMITTEE OF THE WHOLE

Chairman Brown announced that for the purpose of facilitating action on the items to be considered, Vice Chair Nancy Jones would preside over the Committee of the Whole.

- VI.B.1. Regent Nancy Jones presented the item regarding approval of the Consent Agenda and acknowledgment of review of the Information Agenda. Regent Nancy Jones moved that the Consent Agenda be approved. The motion was seconded and passed unanimously. The following are the Minute Orders approved by this motion:

- VI.B.1.a. TTU: Approve conferral of degrees for the May 1, 2002 commencement.—The board approved the conferral of degrees for the May 11, 2002 commencement upon all candidates who

had completed requirements for degrees since the last official commencement and who were certified by the appropriate faculties, deans and registrar of Texas Tech University as having met all degree requirements of the degree as indicated by the official printed commencement program of May 11, 2002, as approved administratively by the president and the chancellor.

- VI.B.1.b. TTUHSC: Approve conferral of degrees for the May 18 and 19, 2002 commencements.—The board approved the conferral of degrees for the May 18 and 19, 2002 commencements upon all candidates who had completed requirements for degrees since the last official commencement and who were certified by the faculties, deans and registrar of Texas Tech University Health Sciences Center as having met all requirements of the degree as indicated by the official printed commencement program of May 18 and 19, 2002, as approved administratively by the president and the chancellor.
- VI.B.1.c. TTUHSC: Approve establishment of the Center for Tobacco Prevention and Control.—The board approved the establishment of the Center for Tobacco Prevention and Control, as approved administratively by the president and the chancellor. Funding for the Center for Tobacco Prevention and Control will come from grants and state monies, including tobacco settlement dollars.
- VI.B.1.d. TTUHSC: Approve employee appointment to non-elective position.—The board approved the following employee appointment to a non-elective position, as approved administratively by the president and the chancellor:

Maria Christina Esperat, RN, Ph.D., professor and associate dean for Research and Practice, appointed to the National Advisory Council on Nurse Education and Practice of the Health Resources and Services Administration by the Honorable Tommy G. Thompson, U.S. Secretary of Health and Human Services, for a four-year term beginning immediately and ending January 31, 2005, subject to prescribed appointment procedures and to periodic review of the Council's functions.

Further, the board found with respect to the appointee that her service is of benefit to the nation and Texas Tech University Health Sciences Center and that her service does not conflict

with her position of employment at Texas Tech University Health Sciences Center.

- VI.B.1.e. TTU: Accept major gift-in-kind to the College of Engineering.—The board approved the acceptance a gift-in-kind of automated assembly cells and supporting infrastructure valued at \$1,300,000 from Nokia, Inc. of Fort Worth, Texas, for the benefit of the College of Engineering, as approved administratively by the president and the chancellor.
- VI.B.1.f. TTU: Accept major gift-in-kind to the College of Human Sciences.—The board approved the acceptance of a gift-in-kind of 40 copies of Hospitality Suite software valued at \$1,095,640 from Computriton of Chatsworth, California, for the benefit of the College of Human Sciences, as approved administratively by the president and the chancellor.

Regent Nancy Jones then moved that the board acknowledge its review of the Information Agenda as follows:

#### Information Agenda

Information is provided as required by Section 01.01.6.b(2)(c), *Regents' Rules*.

- (1) TTU and TTUHSC: Summary of Revenues and Expenditures by Budget Category, FY 2002, per Section 01.01.8.c(3)(f), *Regents' Rules*: All actual expenditures will be reviewed by the Finance and Administration Committee annually and provided as information.
  - (a) TTU: Report dated February 28, 2002 for fiscal year 2002; and
  - (b) TTUHSC: Report dated February 28, 2002 for fiscal year 2002.
- (2) TTU: Small Class Report, Spring 2002, per Section 04.10, *Regents' Rules*: "A report on small classes offered shall be reported to the board as information."
  - TTU: Report dated Spring 2002
- (3) TTU and TTUHSC: Contract Approvals per Section 07.12.2.e., *Regents' Rules*: "Emergency approval may be given for a contract by individual verbal approval of the chair of the board or the chair of the Finance and Administration Committee and four other board members. Contracts approved in this manner will be presented to the board as an information item at the next board meeting."
  - (a) TTU: State Office of Risk Management; Interagency Contract for Workers' Compensation Coverage for FY 2002 (approved by Mr.



- Dick Brooks, Chair of the Board of Regents Finance and Administration Committee and four other board members on 4/3/02); 4/1/02-8/31/02; \$1,564,524; and
- (b) TTUHSC: State Office of Risk Management; Interagency Contract for Workers' Compensation Coverage for FY 2002 (approved by Mr. Dick Brooks, Chair of the Board of Regents Finance and Administration Committee and four other board members on 4/3/02); 4/1/02-8/31/02; \$1,866,385.
- (4) TTUHSC: Contract Renewals per Section 07.12.4.b., *Regents' Rules*: "Approval of the president is required for all contract renewals. A list of those renewal contracts greater than \$1,000,000 per annum, including the amount of the contract, will be provided to the board as an information item at the next regular board meeting."
- Texas Department of Health; Amend agreement for various family and community health services; 11/1/01-6/30/02; \$1,060,397.
- (5) TTUHSC: School of Medicine Faculty Employment Contracts per Section 07.12.4.c., *Regents' Rules*: "Approval of the president is required for faculty employment contracts greater than \$100,000 per annum. A list of all faculty employment contracts greater than \$100,000 per annum will be provided to the board as an information item at the next board meeting."
- (a) Banister, Ronald E., M.D., Instructor/NTT; Anesthesiology, Lubbock; 7/1/02-8/31/03; \$150,000;
  - (b) \*Cox, Randall Bruce, O.D., Faculty Associate/NTT; Ophthalmology, El Paso; 4/1/02-8/31/02; \$100,000;
  - (c) \*Hashimoto, Luis A., M.D., Associate Professor/NTT; Surgery, El Paso; 6/1/02-8/31/02; \$190,000;
  - (d) Huang, Shoen K. Stephen, M.D., Professor and Chief, Division of Cardiology/TT; Internal Medicine, Lubbock; 8/1/02-8/31/03; \$325,000;
  - (e) \*Llerena, Jose M., M.D., Associate Professor/NTT; Radiology, El Paso; 1/18/02-8/31/02; \$204,000;
  - (f) \*Nuwayhid, Bahij, M.D., Professor and Regional Chair/TT; Obstetrics and Gynecology, El Paso; 2/15/02-8/31/02; \$323,000;
  - (g) \*Oltermann, Mark, M.D., Assistant Professor/NTT; Internal Medicine, Amarillo; 4/29/02-8/31/02; \$160,000;
  - (h) \*Tomita, Tatsuo, M.D., Professor/TT; Pathology, El Paso; 4/1/02-8/31/02; \$153,000; and
  - (i) Van, Thanh Thi, M.D., Assistant Professor/NTT; Radiology, Lubbock; 4/15/02-8/31/02; \$193,375.
- \* Faculty contracts may be automatically renewed in FY 2003.
- (6) TTUHSC: UMC Endowed Chairs Report. As required annually under the Trust Agreement between the Lubbock County Hospital District (University Medical Center – UMC) and Plains National Bank, Trust Division, the Texas Tech University Health Sciences Center reports the following information concerning the UMC Endowed Chairs and selected candidates:

<u>Endowed Chair</u>	<u>Selected Candidate</u>
UMC (No. 1)	David C. Waagner, M.D., Chief of Infectious Disease, Department of Pediatrics
UMC (No. 2)	Kenneth M. Nugent, M.D., Associate Chairman, Professor and Chief of Pulmonology, Department of Internal Medicine
UMC (No. 3)	Laura K. Baker, M.D., Associate Professor, Department of Family and Community Medicine
UMC (No. 4)	Donald E. Wesson, M.D., Professor and Chair, Department of Internal Medicine
UMC (No. 5)	Everardo Cobos, M.D., Associate Professor of Oncology/Hematology, Department of Internal Medicine
UMC (No. 6)	Gabor B. Racz, M.D., Professor and Chairman Emeritus, Department of Anesthesiology
UMC (No. 7)*	Vacant
UMC (No. 8)*	Cynthia Jumper, M.D., Associate Professor of Pulmonology, Department of Internal Medicine
UMC (No. 9)*	James Slauterbeck, M.D., Associate Professor of Orthopaedic Surgery, Department of Orthopaedic Surgery
UMC (No. 10)*	Joehassin Cordero, M.D., Assistant Professor, Department of Surgery
UMC (No. 11)	Unfilled
UMC Professorship*	Diana Contreras, M.D., Assistant Professor, Department of Obstetrics and Gynecology

\*New since last report 2001

The motion was seconded and passed unanimously.

- VI.B.2. TTUS: Presentation by the System's investment advisor, Dennis Hammond of Hammond Associates.—Regent Nancy Jones called on Regent Barth who presented the item and introduced the presentation by the System's investment advisor.

Vice Chair Nancy Jones stated that consideration of items by the Committee of the Whole was concluded.

## MEETING OF THE BOARD.—

### VI.C. REPORT OF EXECUTIVE SESSION

Chairman Brown called on Vice Chair Nancy Jones to present motions regarding items discussed in Executive Session.

Vice Chair Jones moved approval of the recommendations and findings of the Hearing Committee denying the appeal of Dr. Keith Johnson, and that the president notify Dr.

Johnson and the Hearing Committee of this decision. The motion was seconded, and passed unanimously.

Vice Chair Jones announced that the discussions with representatives of the Burnett Foundation and the owner of the 6666 Ranch, and representatives of the Pitchfork Land and Cattle Company had been concluded, resulting in two generous gifts to the University. Regent Jones moved that the board accept the gift from the Burnett Foundation in the amount of \$215,000 and name the 2.25 acre landscaped park north of the National Ranching Heritage Center's DeVitt Mallet Museum Building the "J.J. Gipson Memorial Park." The motion was seconded, and passed unanimously.

Vice Chair Jones also moved that the board accept the \$100,000 gift from the Pitchfork Land and Cattle Company and name the open-air pavilion located east of the DeVitt Mallet Building the "Pitchfork Ranch Pavilion." The motion was seconded, and passed unanimously.

#### VI.D. REPORT OF THE ACADEMIC, CLINICAL AND STUDENT AFFAIRS COMMITTEE

Chairman Brown called on Regent Nancy Jones, committee member, to give the report of the Academic, Clinical and Student Affairs Committee in the absence of Regent Newby, the committee's chair.

Committee member Nancy Jones reported that the Academic, Clinical and Student Affairs Committee met in open session to consider those matters on its agenda and to formulate recommendations to the Board of Regents of the Texas Tech University System. Unless otherwise indicated, the actions set forth in the Minute Orders that follow were recommended by the Academic, Clinical and Student Affairs Committee and approved in open session and without objection by the Board of Regents of the Texas Tech University System.

- VI.D.1. TTU: Approve appointment with tenure.—The board approved the granting of tenure to the following faculty of Texas Tech University contemporaneously with their appointments:

Donald Wink, MFA, new professor and Director of the School of Art, College of Arts and Sciences. Professor Wink is currently a tenured professor at Stephen F. Austin State University.

Thanh Tran-Cong, Ph.D., new professor in the Department of Chemical Engineering, College of Engineering. Dr. Tran-Cong is currently tenured as a professor at the University of Southern Queensland.



Louis Chiodo, Ph.D., tenured professor in the Department of Pharmacology in the School of Medicine requests transfer of tenure into the Department of Environmental Toxicology, College of Arts and Sciences. Dr. Chiodo is currently tenured as a professor at the Texas Tech University Health Sciences Center. Dr. Chiodo agrees to terminate his tenure at the Texas Tech University Health Sciences Center.

Douglas Simpson, Ph.D., new professor in the College of Education and the Helen Jones Endowed Chair of Teacher Educators. Dr. Simpson is currently tenured as a full professor and Dean, College of Education, at the University of Louisville.

- VI.D.1a. TTU: Approve emeritus appointment.—The board approved granting the title of “dean emeritus” to Dr. Elizabeth Haley.
- VI.D.2. TTU: Approve addition of delivery at a distance for three degree programs.—The board (i) approved the delivery of the three degree programs listed below, previously approved and currently being offered in a traditional format, to be offered through distance delivery modes, and (ii) authorized the chancellor to cause a proposal to be submitted to the Texas Higher Education Coordinating Board seeking approval for delivery of such programs at a distance:
- Master of Education in Special Education – College of Education;  
Master of Science in Chemical Engineering – College of Engineering; and  
Master of Science in Manufacturing Systems and Engineering – College of Engineering.
- VI.D.3. TTU: Approve a Master of Science degree in biological informatics in the College of Arts and Sciences.—The board (i) approved a new degree program for a Master of Science in biological informatics in the College of Arts and Sciences, and (ii) authorized the chancellor to cause a proposal to be submitted to the Texas Higher Education Coordinating Board seeking approval for such a program.

Implementation of this new program will require reallocation of existing resources to account for time spent with new students for faculty and staff. In addition, approximately \$30,000 per year for the first five years will be reallocated to graduate assistants in this program from other programs in Biological Sciences. It is projected that the formula funding generated over the first five years of the

program will be \$906,358 and that it will cover the expenses associated with the program.

- VI.D.4. TTU: Approve an International Master of Business Administration degree in the Jerry S. Rawls College of Business Administration.—The board (i) approved a new degree program for an International Master of Business Administration in the Jerry S. Rawls College of Business Administration, and (ii) authorized the chancellor to cause a proposal to be submitted to the Texas Higher Education Coordinating Board seeking approval for such a program.

Implementation of this new program will require reallocation of resources from the operating budget of the Jerry S. Rawls College of Business Administration, to be applied for clerical and staff assistance (\$172,547 over first five years). It is projected that the formula funding generated and graduate tuition collected over the first five years of the program will be \$506,438 and, therefore, cover the cost of administering the program.

- VI.D.5. TTU: Approve concept for the establishment of off-campus instructional units to serve Amarillo and Abilene.—The board approved the submission of a plan to the Texas Higher Education Coordinating Board to establish off-campus instructional sites in Amarillo and Abilene to provide graduate engineering education to residents of those cities and surrounding areas.
- VI.D.6. TTU: Approve revisions to Part IX. Code of Student Conduct of the Student Affairs Handbook to be effective August 1, 2002.—The board approved the revisions to Part IX. *Code of Student Conduct* of the *Student Affairs Handbook 2002-2003* as amended and included herewith as Attachment No. 1 to be effective August 1, 2002.
- VI.D.7. TTUHSC: Approve appointment with tenure.—The board approved the granting of tenure to Stephen Huang, M.D., newly selected Chief of Cardiology with the Department of Internal Medicine in the School of Medicine, contemporaneously with his appointment as professor at Texas Tech University Health Sciences Center. Dr. Huang was previously tenured as a professor at the University of Massachusetts Medical School in Worcester, Massachusetts.
- VI.D.8. TTUHSC: Approve conferral of an honorary degree.—The board approved the conferring of an honorary degree of Doctor of Humane Letters upon F. Marie Hall at the School of Medicine's commencement ceremony on May 18, 2002.

- VI.D.9. TTUHSC: Approve new agreement between TTUHSC and Texas Woman's University.—The board approved a new agreement between Texas Tech University Health Sciences Center and Texas Woman's University for a School of Nursing collaborative Ph.D. program in Nursing.
- The TTUHSC School of Nursing is in the process of transitioning its collaborative Ph.D. program in Nursing from the University of Texas Health Science Center at San Antonio ("UTHSCSA") to the Texas Woman's University ("TWU"). The memorandum of understanding for this transition allows TTUHSC students who are currently pursuing their doctorates from UTHSCSA to complete their studies. TTUHSC students seeking a Ph.D. in Nursing will hereafter enroll in the collaborative program with TWU. Since TWU will grant the doctorate under the new collaborative agreement, TTUHSC need not seek new or expanded degree authority from the Texas Higher Education Coordinating Board. The Coordinating Board will update each institution's Table of Programs to reflect the new agreement.
- VI.D.10. TTU: Report on housing and residence life master plan.—The board accepted a report by Dr. Shonrock; Kelli Stumbo, TTU, president of the Student Government Association, and Gina Webber, TTU, past president of the Student Residence Hall Association, on the housing and residence life master plan.
- VI.D.11. TTU and TTUHSC: Report on Student First Task Force.—The board accepted a report by Cortney Youens, TTUHSC, chair of the Student First Task Force Committee, and Dana Hernes, TTU, vice chair of the Committee, on the Student First Task Force, included herewith as Attachment No. 2.
- VI.D.12. TTU: Report on academic performance of student athletes.—The board accepted a report by Mr. John Anderson, associate director of athletics, on academic performance of student athletes, included herewith as Attachment No. 3.
- VI.D.13. TTUHSC and TTU: Report on research project related to medical student teaching using haptics.—The board accepted a report by Dr. John Griswold, chair of the Department of Surgery, and Dr. Bharti Temkin, Department of Computer Sciences, TTU, on an ongoing research project related to medical student teaching using haptics, which is the ability to provide tactile sense via computers to complete a virtual environment for procedural based medicine. This project has been an interdisciplinary educational and research endeavor involving Sammy Deeb, M.D. and John Thomas, M.D.,

both with the Department of Surgery, and Bharti Temkin, Ph.D., with the Texas Tech University Department of Computer Sciences.

The board had an opportunity to participate in a hands-on demonstration.

#### VI.E. REPORT OF THE FINANCE AND ADMINISTRATION COMMITTEE

Chairman Brown called on Regent Brooks, Chair, to give the report of the Finance and Administration Committee.

Committee Chairman Brooks reported that the Finance and Administration Committee met in open session to consider those matters on its agenda and to formulate recommendations to the Board of Regents of the Texas Tech University System. Unless otherwise indicated, the actions set forth in the Minute Orders that follow were recommended by the Finance and Administration Committee and approved in open session and without objection by the Board of Regents of the Texas Tech University System.

##### VI.E.1. TTUS: Approve FY 2003 operating budgets.

##### a. TTU System Administration: Approve FY 2003 operating budget.

The board approved the Texas Tech University System Administration FY 2003 operating budget, a summary of which is included herewith as Attachment No. 4, and appropriated the fund balances of income generating accounts for specific activities usually supported by the accounts unless otherwise appropriated by the board.

##### b. TTU: Approve FY 2003 operating budget.

The board approved the Texas Tech University FY 2003 operating budget, a summary of which is included herewith as Attachment No. 5, and appropriated the fund balances of income generating accounts for specific activities usually supported by the accounts unless otherwise appropriated by the board.

##### c. TTUHSC: Approve FY 2003 operating budget.

The board approved the Texas Tech University Health Sciences Center FY 2003 operating budget, a summary of which is included herewith as Attachment No. 6, and appropriated the fund balances of income generating accounts for specific activities usually

supported by the accounts unless otherwise appropriated by the board.

- VI.E.2. TTUHSC: Approve FY 2003 premium rates for the professional medical self-insurance plan.—The board approved the findings and recommendations contained in the March 22, 2002 funding study and rate review of the Plan prepared by Fred R. White Companies, Fred R. White, C.P.C.U. for the period September 1, 2002 through August 31, 2003. The recommendations are (i) premiums are established as set forth in the annual rate schedule enumerated below; and (ii) premiums will be increased 10% for FY03.

Annual Premium Rate Schedule  
(September 1, 2002 through August 31, 2003)

<u>Risk Class</u>	<u>Faculty</u>	<u>Resident</u>
1	\$3,060	\$1,932
2	3,876	3,240
3	8,580	5,448
4	14,112	8,964
5	19,644	15,480

Further, the board approved the recommendation that premiums be abated for FY2003 for Correctional Health Care physicians and faculty members of the academic clinical departments who are members of the Medical Practice Income Plan and who contribute to the educational mission of the School of Medicine as part of their regular duties. Residents and all other physicians insured by the Plan shall pay premiums for the FY2003 Plan year. An actuarial mid-year review of the Plan will be conducted.

A summary of annual premiums is included herewith as Attachment No. 7.

- VI.E.3. TTUS: Approve adopting official vendors of the Texas Tech University System Optional Retirement Program.—The board approved the adoption of the following recommended list of 403(b) Providers as the Official Vendors of the Texas Tech University System Optional Retirement Program:

Citistreet Associates LLC

Fidelity Investments

ING Aetna Life Insurance and Annuity Company

EducatorsMoney



Lincoln National Life Insurance Company  
Metlife Resources  
QUADS Trust Company  
TIAA- CREF  
VALIC Retirement Services  
Zurich Life

- VI.E.4. TTUS: Report on the Legislative Appropriations Request process.—The board accepted a report by Mr. Jim Brunjes on the Legislative Appropriations Request process, included herewith as Attachment No. 8.
- VI.E.5. TTUS: Report on HUB purchasing and contracting.—The board accepted a report by Mr. Jim Brunjes, Dr. Lynda Gilbert, and Mr. Elmo Cavin on HUB purchasing and contracting, included herewith as Attachment No. 9.

#### VI.F. REPORT OF THE FACILITIES COMMITTEE

Chairman Brown called on Regent John Jones, Chair, to give the report of the Facilities Committee.

Committee Chairman John Jones reported that the Facilities Committee met in open session to consider those matters on its agenda and to formulate recommendations to the Board of Regents of the Texas Tech University System. Unless otherwise indicated, the actions set forth in the Minute Orders that follow were recommended by the Facilities Committee and approved in open session and without objection by the Board of Regents of the Texas Tech University System.

- VI.F.1. TTU: Approve construction of the Marsha Sharp Center for Student Athletes.—The board authorized the Office of Facilities Planning and Construction to proceed with a project to construct the Marsha Sharp Center for Student Athletes with a project budget of \$4,100,000. The board also authorized (i) completion of the design; (ii) obtaining project approval from the Texas Higher Education Coordinating Board; and (iii) the awarding of a construction contract. The project will be funded through Gifts-in-Hand of \$944,574; Revenue Financing System funds to be repaid by pledges of \$175,000; Institutional Designated Funds of \$2,450,000; Institutional Tuition of \$100,000; and Revenue Financing System funds to be repaid with future gifts and pledges of \$430,426.

The board also acknowledged that the Texas Tech University System expects to pay expenditures in connection with the construction of the Marsha Sharp Center for Student Athletes prior to the issuance of obligations to finance the project; and (i) in that respect, the board found that the reimbursement for the payments of such expenditures will be appropriate and consistent with the lawful objectives of Texas Tech University System; and (ii) as such, the board declared the intention of the Texas Tech University System, in accordance with the provisions of *Treasury Regulations*, Section 1.150-2, to reimburse itself for original expenditures, advanced in connection with the design, planning and construction of a new Marsha Sharp Center for Student Athletes an aggregate maximum principal amount expected to be \$605,426.

- VI.F.2. TTUHSC: Approve construction of the El Paso Research Facility I.—The board authorized the Office of Facilities Planning and Construction to proceed with a project to construct the El Paso Research Facility I with a project budget of \$38,500,000. The board also authorized (i) completion of the design; (ii) obtaining project approval from the Texas Higher Education Coordinating Board; and (iii) the awarding of a construction contract. The project will be funded by Tuition Revenue Bonds.

The board also acknowledged that the Texas Tech University System expects to pay expenditures in connection with the construction of the El Paso Research Facility I building prior to the issuance of obligations to finance the project; and (i) in that respect, the board found that the reimbursement for the payments of such expenditures will be appropriate and consistent with the lawful objectives of Texas Tech University System; and (ii) as such, the board declared the intention of the Texas Tech University System, in accordance with the provisions of *Treasury Regulations*, Section 1.150-2, to reimburse itself for original expenditures, advanced in connection with the design, planning and construction of a new El Paso Research Facility I an aggregate maximum principal amount expected to be \$38,500,000.

- VI.F.3. TTUHSC: Approve construction of the Lubbock Clinical Tower/Research Center.—The board authorized the Office of Facilities Planning and Construction to proceed with a project to construct the Lubbock Clinical Tower/Research Center with a project budget of \$33,747,550. The board also authorized (i) completion of the design; (ii) obtaining project approval from the Texas Higher Education Coordinating Board; and (iii) the awarding of a construction contract. The project will be funded with \$26,882,525 in Tuition Revenue Bonds, \$2,832,525 in HEAF

reallocated from the Ophthalmology relocation project, and \$4,032,500 in Revenue Finance System supported by local funds.

The board also acknowledged that the Texas Tech University System expects to pay expenditures in connection with the construction of a new Clinical Tower/Research Center building prior to the issuance of obligations to finance the project; and (i) in that respect, the board found that the reimbursement for the payments of such expenditures will be appropriate and consistent with the lawful objectives of Texas Tech System, and (ii) as such, the board declared the intention of the Texas Tech University System, in accordance with the provisions of *Treasury Regulations*, Section 1.150-2, to reimburse itself for original expenditures, advanced in connection with the design, planning and construction of a new Clinical Tower/Research Center building an aggregate maximum principal amount expected to be \$30,915,025.

- VI.F.4. TTUS: Approve inter-local agreement between Texas Tech University System and Lubbock County Hospital District d/b/a University Medical Center.—The board approved an inter-local agreement between the Texas Tech University System and Lubbock County Hospital District d/b/a University Medical Center. The agreement provides for the University Medical Center to pay Texas Tech University System a one-time amount of \$600,000 for the design, construction and shared use of an extension of 10th Street to the west to connect to the Texas Tech Parkway.
- VI.F.5. TTU: Approve naming the James E. Sowell Intercollegiate Athletics Complex.—The board approved naming the area consisting of the R. P. Fuller Track, Dan Law Field, Frazier Pavilion, Frazier Plaza, and the future Marsha Sharp Center for Student Athletes the “James E. Sowell Intercollegiate Athletics Complex” in recognition of Mr. James E. Sowell’s significant contribution to this area.
- VI.F.6. TTU: Approve naming the Hall of Honor areas in the United Spirit Arena in honor of Polk Robinson and Jeannine McHaney.—The board approved naming the Hall of Honor areas located inside the west entrance of the United Spirit Arena “The Polk Robison Hall of Honor” and “The Jeannine McHaney Hall of Honor” to recognize Polk Robison and Jeannine McHaney for their years of service to Texas Tech University Athletics.
- VI.F.7. TTU: Accept major gift-in-kind from the Texas Tech University System Research and Economic Development Foundation.—The board accepted a gift-in-kind of a 57,348 square foot building located at 1125 E. Loop 289 from the Texas Tech University



System Research and Economic Development Foundation. The building will be donated. Texas Tech University estimates that it will cost \$500,000 to modernize the building to meet current needs. This work will be funded with \$400,000 in Texas Tech University HEAF Funds and \$100,000 from the College of Engineering.

- VI.F.8. TTUS: Approve increase to Office of Facilities Planning and Construction surcharge.—The board approved increasing the surcharge funding the Office of Facilities Planning and Construction by 0.20 percentage points (0.002) to provide for increased operating and salary costs. This represents a 6.06 percent increase over the current average fee of 3.30 percent.

Tables of the current and the approved revised schedule of fees are included herewith as Attachment No. 10.

- VI.F.9. TTUS: Approve the Five-Year Capital Projects Plan and authorize submission of the MP1 Report.—The board approved the updated Five-Year Capital Projects Plan, included herewith as Attachment No. 11, and authorized the submission of the Texas Tech University System MP1 Report to the Texas Higher Education Coordinating Board.

- VI.F.10. TTUS: Report on deferred maintenance.—The board accepted a report by Mr. Mike Ellicott, vice chancellor for facilities planning and construction; Mr. Max Hinojosa, vice president for operations, TTU; and Mr. Bill Ward, assistant vice president for physical plan and support services, TTUHSC, on deferred maintenance, included herewith as Attachment No. 12.

- VI.F.11. TTUS: Report on Office of Facilities Planning and Construction projects.—The board accepted a report by Mr. Ellicott on the facilities planning and construction projects, included herewith as Attachment No. 13.

- VI.G. **REPORT ON INVESTMENT ADVISORY COMMITTEE.**—Chairman Brown called on Regent Barth to present the report of the Investment Advisory Committee. Regent Barth stated that the presentation by Dennis Hammond, the System's investment advisor (see Item VI.B.2. above), constituted the report of the committee.

- VI.H. **REPORT ON STAFF PROGRESS IN STRATEGIC PLANNING.**—Dr. Smith presented the report on staff progress in strategic planning, included herewith as Attachment No. 14.

- VI.I. REPORT OF THE UNIVERSITY ART COMMITTEE.—Dr. Donna Bacchi presented the report of the University Art Committee, included herewith as Attachment No. 15.
- VI.J. SCHEDULE FOR BOARD MEETINGS.—Mr. Ben Lock presented the proposed schedule for future board meetings and asked for comments. The schedule was adopted as follows: August 8-9, 2002, Lubbock (at TTUHSC); November 14 (workshop/retreat)-15, 2002, Lubbock; December 12-13, 2002, Lubbock; February 27-28, 2003, Junction; May 15-16, 2003, Lubbock; August 7-8, 2003, Lubbock (at TTUHSC); October 2 (workshop/retreat)-3, 2003, Lubbock; December 18-19, 2003, Lubbock; and February 19-20, 2004, Amarillo.
- VI.K. THE PRESIDENT'S REPORT, TTU.—Chairman Brown called on President Schmidly who presented the President's Report, included herewith as Attachment No. 16.
- VI.L. THE PRESIDENT'S REPORT, TTUHSC.—Chairman Brown called on President Smith who presented the President's Report, included herewith as Attachment No. 17.
- VI.M. CHANCELLOR'S REPORT.—Chairman Brown called on Chancellor Smith who presented the Chancellor's Report, included herewith as Attachment No. 17.
- VI.N. CHAIRMAN'S ANNOUNCEMENTS.—There were no Chairman's announcements at this meeting.
- VI.O. ADJOURNMENT.—Vice Chair Nancy Jones moved that the meeting be adjourned in memory of Bill Wehner. Chairman Brown adjourned the meeting at approximately 1:07 p.m.

## INDEX OF ATTACHMENTS

Attachment 1	TTU Revisions to Part IX. <i>Code of Student Conduct</i>
Attachment 2	TTU and TTUHSC Student First Task Force report
Attachment 3	TTU Report on academic performance of student athletes
Attachment 4	TTUS FY 2003 Summary Operating Budget
Attachment 5	TTU FY 2003 Summary Operating Budget
Attachment 6	TTUHSC FY 2003 Summary Operating Budget
Attachment 7	TTUHSC Professional Malpractice Self-Insurance Plan Annual Premiums, 1986-2002
Attachment 8	TTUS Legislative Appropriations Request process report
Attachment 9	TTUS Report on HUB purchasing and contracting
Attachment 10	TTUS Tables of increase to Office of Facilities Planning and Construction surcharge fees
Attachment 11	TTUS Five Year Capital Projects Plan and MP1 report
Attachment 12	TTUS Report on deferred maintenance
Attachment 13	TTUS Report on the Office of Facilities Planning and Construction projects
Attachment 14	TTUS Strategic Planning report
Attachment 15	TTUS University Art Committee report
Attachment 16	TTU President's report
Attachment 17	TTUHSC President's report and TTUS Chancellor's report

I, Ben Lock, the duly appointed and qualified Secretary of the Board of Regents, hereby certify that the above and foregoing is a true and correct copy of the Minutes of the Texas Tech University System Board of Regents meeting on May 9-10, 2002.



Ben Lock  
Secretary

Seal

## EXECUTIVE SUMMARY

Proposed revisions to Part IX. *Code of Student Conduct* of the Student Affairs Handbook 2002-2003 are noted in the attached document. The recommended revisions include primarily editorial changes, some substantive changes, and clarification of judicial procedures.

### Part IX. *Code of Student Conduct*

Part IX: Editorial changes to first paragraph: change "A" to "The" at the beginning of the second sentence.

Editorial changes to second paragraph: change "discipline" to "judicial" in the second sentence.

Section A: Editorial changes to paragraph four: change "discipline" to "judicial" on the second sentence.

Add "(See appendix X – Parent/Guardian Substance Abuse Notification Policy)" at the end of the fourth paragraph."

Section B: Editorial changes to "Misconduct" include:

- 1.a. Add "manufacture,"
- 2.a. Add "manufacture," and remove "or drug related paraphernalia"
- 2.b. Change to "Possession of drug-related paraphernalia except as expressly permitted by law."
- 2.c. Add/change "Being under the influence of narcotics or drugs, except as expressly permitted by law."
- 3.a. Add "but not limited to,"
- 4.a. Add "or services"
- 4.d. Add "student identification card,"
- 5.d. Remove "including sexual harassment,"
6. Add "gaming," and "or with University equipment, as defined by federal, state, and/or local law is prohibited"
8. Add "filing false police reports,"
9. Add "or components owned or operated by the University,"
10. Add "University Identification Card,"
11. Add "(See appendix – Traffic and Parking Rules & Regulations)"
12. Add "(See appendix – Housing & Residence Life Policies)"

13. Add "(See appendix – Student Recreation and Aquatic Center Regulations)"

Substantive Changes include:

- 5.e. Add new code item "Sexual Harassment, including unwelcome sexual advances, requests for sexual favors, or submissions to which a condition of any person's rights or privileges are placed upon contingency either implicitly or explicitly."
21. Add as a new code item, "Federal, State, and/or Local Law(s)" and "Misconduct that constitutes a violation of any provision of federal, state, and/or local law."

Section C: Editorial changes to "Disciplinary Procedures" include:

- 2.a. Add "written notification will be delivered to the student's or student organization student representative's last known official, local address as provided by the student or student organization to the Registrar or the Center for Campus Life, respectively. If mailed, notification is to be mailed by return receipt requested. Failure of a student or student organization's student representative to receive certified mail does not prevent the disciplinary process from proceeding."
- 2.d. Add "respectively" and change "Campus Activities and Involvement" to "Center for Campus Life"
- 3.a. Add "and/or the student chooses to participate in an administrative hearing,"
- 3.c. Remove entire item. Reassign code items respectively.
- 3.d. (Formerly 3.e.) Add "(See Section E, Disciplinary Appeals Procedure)"
4. Opening paragraph – change "rejects" to "chooses" and "administrative" to "committee" respectively.
- 4.d. Add "a" reasonable opportunity...
- 4.f. Add "(See Section E, Disciplinary Appeals Procedure)"

Substantive changes include:

- 2.e. Add "The Judicial Officer may refer the alleged violation to alternative resolution through non-binding mediation in place of the administrative or committee hearing. If alternative dispute resolution is not successful, then the allegation is again referred to either the administrative or committee hearing process."
- 2.c. Change "may request" to "shall choose between"

Section D: Editorial Change:  
(See proposed reformatting/rearrangement of section D in  
the new section immediately following the former section D)

Section E: Editorial changes to Disciplinary Appeal Procedures include:

- 3.a. Add "Appeal" after Administrative Hearing
- 3.b. Change three (3) to five (5) days and add ", if any," to the  
second to the last sentence of this section.

Substantive change includes:

- 3. Add "The student or student organization's representative  
may choose to appeal a hearing outcome to either an  
administrative hearing appeal or the University Discipline  
Appeals Committee.

Section F: Substantive changes made to Emotionally Disturbed Students  
include:

- 2.c. Add "Temporary suspension will not be longer than  
fourteen (14) days."

Section G: Editorial changes include:  
Change the title of this section from "Code Committees" to  
"Code of Student Conduct University Committees"

- 1.c. Add ", or designee,"
- 2.c. Add ", or designee,"
- 3.c. Add ", or designee,"

## Part IX

### Code of Student Conduct

The *Code of Student Conduct* at Texas Tech University is administered through the Student Mediation Center and is based on promoting education and a tradition of excellence regarding student behavior. The A goal of the *Code of Student Conduct* is that acceptable standards of behavior are communicated to, understood, and upheld by the students of Texas Tech University.

The Student Mediation Center encourages and facilitates a university environment where students and student organizations take responsibility for their actions. Through a well-defined student judicial discipline process and the *Code of Student Conduct*, the Student Mediation Center educates students about their rights and responsibilities as members of the Texas Tech University community. In addition, the Student Mediation Center promotes the importance of intellectual development, self-worth, mutual respect, and how we, as members of the University community, interact with each other on a daily basis.

Questions of interpretation regarding the *Code of Student Conduct* are referred to the Student Mediation Center. The *Code of Student Conduct* is reviewed every year by the Code of Student Conduct Committee in conjunction with the Student Mediation Center and the Vice President for Student Affairs. Definitions for terms used throughout the *Code of Student Conduct* are outlined on pages 4 and 5 of the Student Affairs Handbook 2002-2003.

#### A. General Policy

Freedom of discussion, inquiry, and expression is fostered by an environment in which the privileges of citizenship are protected and the obligations of citizenship are understood. Accordingly, the University community has developed standards of behavior pertaining to students and to student organizations. Students and student organizations are subject to disciplinary action according to the provisions of the *Code of Student Conduct* and/or Student Affairs Handbook 2002-2003.

Student and student organization conduct on or off University premises is subject to University judicial disciplinary jurisdiction. The University may enforce its own judicial disciplinary policies and procedures when a student's or a student organization's conduct directly, seriously, or adversely impairs, interferes with, or disrupts the overall mission, programs, or other functions of the University.

University judicial disciplinary proceedings may be instituted against a student or student organization alleged to have violated the *Code of Student Conduct* and/or Student Affairs Handbook 2002-2003. Proceedings under the *Code of Student Conduct* may be carried out prior to, concurrent with, or following civil or



criminal proceedings. The proceedings are conducted in a manner, which ensures that substantial justice is done and are not restricted by the rules of evidence governing criminal and civil proceedings.

The Office of the Vice President for Student Affairs in conjunction with the Student Mediation Center and the Department of Housing and Residence Life respects the rights and responsibilities of students and shall consider each violation of the University's substance abuse policy and each violation of federal, state, and/or local law on a "case-by-case" situation and shall further attempt to initially use educational options and subsequent intervention and/or prevention options to assist students. Violations of the substance abuse policy shall result in appropriate judicial disciplinary action through the Student Mediation Center and/or Department of Housing and Residence Life, which may include required attendance and active participation in substance abuse education and/or intervention programs and may result in notification to the parents/guardians of dependent students under twenty-one (21) years of age.

**NOTE: See Appendix A: Parent Notification Policy**

## **B. Misconduct**

Any student or student organization found to have committed the following misconduct is subject to disciplinary sanction(s), condition(s), and/or restriction(s). For definitions, please refer to Student Affairs Handbook 2002-2003 Part IX, Section D, Disciplinary Sanctions, Conditions and/or Restrictions. Misconduct or prohibited behavior includes, but is not limited to:

1. Alcoholic Beverages
  - a. Use, possession, sale, delivery, manufacture, or distribution of alcoholic beverages, except as expressly permitted by University policy;
  - b. Being under the influence of alcohol and/or intoxication.
2. Narcotics or Drugs
  - a. Use, possession, sale, delivery, manufacture, or distribution of any narcotic, drug, medicine prescribed to someone else, chemical compound or other controlled substance ~~or drug-related paraphernalia~~, except as expressly permitted by law.
  - b. Possession of drug-related paraphernalia, except as expressly permitted by law.
  - c. Being under the influence of narcotics or drugs, except as permitted by law.



3. Firearms, Weapons, and Explosives
  - a. Use or possession of any weapons, including, but not limited to, handguns, firearms, ammunition, fireworks, explosives, noxious materials, incendiary devices, or other dangerous substances on University premises;
  - b. Attempting to ignite and/or the action of igniting University and/or personal property on fire either by intent or as a result of reckless behavior which results in damage on University premises.

***NOTE: Possession of weapons by licensed holders of concealed handguns is prohibited on University premises. The Texas Tech Police Department provides storage for weapons and firearms.***

4. Theft, Damage, or Unauthorized Use
  - a. Attempted or actual theft of property or services of the University, of other University students, of other members of the University community, or of campus visitors;
  - b. Possession of property known to be stolen or belonging to another person without the owner's permission is considered as theft;
  - c. Attempted or actual damage to property of the University, of other University students, of other members of the University community, or of campus visitors;
  - d. Attempted or actual unauthorized use of a credit card, debit card, automated teller machine card, telephone card, student identification card, and/or personal check;
  - e. Alteration, forgery, or misrepresentation of any form of identification.
5. Actions Against Members of the University Community
  - a. Physical harm or threat of harm to any person;
  - b. Intentional or reckless conduct which endangers the health or safety of any person;
  - c. Disruptive behavior;
  - d. Harassment, ~~including sexual harassment~~, acts, or communications that are intended to intimidate or humiliate any person;
  - e. Sexual Harassment, including unwelcome sexual advances, requests for sexual favors, or submission to which a condition of any person's rights or privileges are placed upon contingency either explicitly or implicitly.
  - f. Sexual misconduct or unwanted sexual behavior, including but not limited to any attempted or actual physical contact of a sexual nature, regardless of gender;
  - g. Excessive pressure, threats, or any form of conduct, coercive tactics or mind control techniques used to retain or recruit a student for membership in an organization;
  - h. Participation in a campus demonstration which disrupts the normal operations of the University and infringes on the rights of other

members of the University community; leading or inciting others to disrupt scheduled and/or normal activities within any campus building or area; intentional obstruction which unreasonably interferes with freedom of movement, either pedestrian or vehicular.

6. Gambling, Wagering, or Bookmaking  
Gambling, wagering, gaming, or bookmaking on University premises, or with University equipment, as defined by federal, state, and/or local law is prohibited.
7. Hazing  
Hazing, which is defined as any intentional, knowing, or reckless act directed against a student, occurring on or off the campus, by one person alone or acting with others, directed against a student, that endangers the mental or physical health, or safety of a student for the purpose of pledging or associating, being initiated into, affiliating with, holding office in, seeking, and/or maintaining membership in any organization whose members are/or include students. Consent and/or acquiescence by a student or students subjected to hazing is not a reasonable defense in a disciplinary proceeding. The terms includes but is not limited to:
  - a. Any type of physical brutality, such as whipping, beating, striking, branding, electronic shocking, placing of a harmful substance on the body, or similar activity;
  - b. Any type of physical activity, such as sleep deprivation, exposure to the elements, confinement in a small space, calisthenics, or other activity that subjects the student to an unreasonable risk of harm or that adversely affects the mental or physical health or safety of a student;
  - c. Any activity involving consumption of a food, liquid, alcoholic beverage, liquor, drug, or other substance which subjects a student to an unreasonable risk or harm, or which adversely affects the mental, physical health, or safety of a student;
  - d. Any activity that intimidates or threatens a student with ostracism, that subjects a student to extreme mental stress, shame, or humiliation, or that adversely affects the mental health or dignity of a student, or that discourages a student from entering or remaining registered at this educational institution, or that may reasonably be expected to cause a student to leave the organization or the institution rather than submit to acts described above;
  - e. Any activity in which a person engages in hazing; solicits, encourages, directs, aids, or attempts to aid another in engaging in hazing; intentionally, knowingly, or recklessly permits hazing to occur; has firsthand knowledge of the planning of a specific hazing incident which has occurred, and knowingly fails to report the incident in writing to the Student Mediation Center;

- f. Any activity in which hazing is either condoned or encouraged or actions of any officer or combination of members, pledges, associates, or alumni of the organization in committing or assisting in the commission of hazing.

***NOTE: Texas Education Code, Sections 37.151-37.157 and Section 51.936***

- 8. False Alarms or Terroristic Threats  
Intentional sounding of a false fire alarm, making a false emergency call or terroristic threat, issuing a bomb threat, constructing mock explosive devices, filing false police reports, improperly possessing, tampering with, or destroying fire equipment or emergency signs on University premises.
- 9. Financial Irresponsibility  
Failure to meet financial obligations owed to the University, or components owned or operated by the University, including, but not limited to, the writing of checks on accounts with insufficient funds.
- 10. Unauthorized Entry, Possession or Use
  - a. Unauthorized entry into or use of University premises;
  - b. Unauthorized possession or duplication, processing, production, or manufacture of any key or unlocking device, University Identification Card, or access code for use in University premises;
  - c. Unauthorized use of the University name, logotype, or symbols of the University;
  - d. Use of the University's name to advertise or promote events or activities in a manner which suggests sponsorship and/or recognition by the University.

***NOTE: Registered student organizations are permitted to use the word "Tech" as a part of their organizational names or to use the complete statement "a student organization at Texas Tech University".***

- 11. Traffic and Parking
  - a. Violation of University Traffic and Parking regulations;
  - b. Obstruction of the free flow of pedestrian traffic on University premises.

***NOTE: See Appendix B: Traffic & Parking Policy***

12. Department of Residence Life Regulations  
Violation of rules that govern behavior in the campus residence and/or dining halls as stated in the Residence Hall Handbook and/or other notifications or publications provided by the Department of Housing and Residence Life.

**NOTE:** See Appendix C: Department of Housing and Residence Life Policy

13. Student Recreation and Aquatic Center Regulations  
Violation of rules which govern behavior in the Student Recreation Center and Aquatic Center.

**NOTE:** See Appendix D: Student Recreation and Aquatic Center Regulations

14. Failure to Comply with Reasonable Directions or Requests of University Officials  
Failure to comply with the reasonable directions or requests of a University official acting in the performance of his or her duties.
15. Failure to Present Student Identification  
Failure to present student identification on request to any University official and identify himself/herself to any University official acting in the performance of his/her duties.
16. Abuse, Misuse, or Theft of University Computer Data, Programs, Time, Computer or Network Equipment
  - a. Unauthorized use of computing and/or networking resources;
  - b. Use of computing and/or networking resources for unauthorized or nonacademic purposes;
  - c. Unauthorized accessing or copying of programs, records, or data belonging to the University or another user, or copyrighted software, without permission;
  - d. Attempted or actual breach of the security of another user's account and/or computing system, depriving another user of access to University computing and/or networking resources, compromising the privacy of another user, or disrupting the intended use of computing or network resources;
  - e. Attempted or actual use of the University's computing and/or networking resources for personal or financial gain;
  - f. Attempted or actual transport of copies of University programs, records, or data to another person or computer without written authorization;
  - g. Attempted or actual destruction or modification of programs, records, or data belonging to the University or another user or destruction of the integrity of computer-based information;

- h. Attempted or actual use of the computing and/or networking facilities to interfere with the normal operation of the University's computing and/or networking systems; or through such actions, causing a waste of such resources (people, capacity, computer);
  - i. Allowing another person, either through one's personal computer account, or by other means, to accomplish any of the above;
  - j. "Spamming" defined as the sending of unsolicited e-mails to parties with whom you have no existing business, professional, or personal acquaintance.
- 17. Providing False Information or Misuse of Records  
Knowingly furnishing false information to the University, or to a University official in the performance of his/her duties, either verbally, or through forgery, alteration, or misuse of any University document, record, or instrument of identification.

**NOTE:** *The Director of Admissions and School Relations or Director's representative is responsible for resolving issues involving falsification on admission forms.*

- 18. Skateboard, Rollerblades, Scooters or Similar Devices  
Use of skateboards, rollerblades, scooters or other similar devices in University buildings or on University premises in such a manner as to constitute a safety hazard or cause damage to University or personal property.

**NOTE:** *Also refer to Board of Regents Policy 03.11 "Traffic and Parking".*

- 19. Academic Dishonesty
  - a. Cheating, including but not limited to:
    - 1) Use of any unauthorized aid, sources, and/or assistance or assisting others in taking a course, quiz, test, or examination, writing papers, preparing reports, solving problems, or carrying out assignments;
    - 2) The acquisition, without permission, of tests or other academic material belonging to a member of the University community;
    - 3) Alteration of grade records;
    - 4) Bribing or attempting to bribe a member of the University community or any other individual to alter a grade.
  - b. Plagiarism, including but not limited to:
    - 1) The use, by paraphrase or direct quotation, of the published or unpublished work of another person without full and clear acknowledgment;
    - 2) The unacknowledged use of materials prepared by another person or agency engaged in the selling of term papers or other academic materials.



**NOTE:** Refer to Student Affairs Handbook, Part II, B and Part V, A4, as well as University Operating Policy 34.03 and/or 34.12.

20. Violation of published University Policies, Rules, or Regulations  
Violation of any published University policies, rules, or regulations that govern student or student organization behavior. Including, but not limited to, violations of University Operating Policy and Board of Regents Policy of Texas Tech University.
21. Violation of Federal, State, and/or Local Law  
Misconduct which constitutes a violation of any provisions of federal, state, and/or local laws.
22. Abuse of the Discipline System
  - a. Failure by a student or student organization to respond to a notification to appear before the Judicial Officer during any stage of a disciplinary proceeding. Failure to comply with or respond to a notice issued as part of a disciplinary procedure and/or failure to appear will not prevent the Judicial Officer from proceeding with disciplinary action;
  - b. Falsification, distortion, or misrepresentation of information in disciplinary proceedings;
  - c. Disruption or interference with the orderly conduct of a disciplinary proceeding;
  - d. Filing an allegation known to be without merit or cause;
  - e. Discouraging or attempting to discourage an individual's proper participation in, or use of, the discipline system;
  - f. Influencing or attempting to influence the impartiality of a member of a disciplinary body prior to, and/or during the disciplinary proceeding;
  - g. Harm, threat of harm, or intimidation either verbally, physically or written of a member of a disciplinary body prior to, during, and/or after disciplinary proceeding;
  - h. Failure to comply with the sanction(s), condition(s), and/or restriction(s) imposed under the *Code of Student Conduct*;
  - i. Influencing or attempting to influence another person to commit an abuse of the discipline system;
  - j. Retaliation against any person or group who files grievances or allegations in accordance with the Student Affairs Handbook 2002-2003.

### **C. Disciplinary Procedures**

1. To file an allegation, including an incident report from the Department of Housing and Residence Life, the Texas Tech Police Department, or members of the University community, against a student or student organization, individuals may meet with a University official and shall

deliver a written allegation describing the action or behavior to the Student Mediation Center. The written allegation should be received by the Student Mediation Center within twenty (20) University working days of the alleged incident to initiate disciplinary procedures.

2. General Procedure

The Judicial Officer will inquire, gather, and review information about the reported student or student organization misconduct and will evaluate the accuracy, credibility, and sufficiency of this information. The Judicial Officer will ensure that the requirements of due process are fulfilled in accordance with the following procedures:

- a. When a written allegation is filed, the student or student organization named in the allegation will be notified in writing to appear before the Judicial Officer to discuss the alleged violation(s). The student or student organization's student representative will have five (5) University working days from the date of the written notification to respond by contacting the Judicial Officer. Written notification will be delivered to the student's or student organization student representative's last known official, local address as provided by the student or student organization to the Registrar's Office or the Center for Campus Life, respectively. If mailed, notification is to be mailed by return receipt requested. Failure of a student or student organization's student representative to receive certified mail does not prevent the disciplinary process from proceeding.
- b. In any disciplinary proceeding, the student or student organization's student representative has the right to:
  - 1) notification of the alleged misconduct;
  - 2) know the source of the allegation(s);
  - 3) know the specific alleged violation(s);
  - 4) know the sanctions, conditions and/or restrictions which may be imposed because of the alleged misconduct;
  - 5) be accompanied by an advisor at any disciplinary hearing (for advisory purposes only, not for representation);
  - 6) refrain from making any statement relevant to the allegation(s);
  - 7) know that any statements made by the student or student organization's student representative can be used during the proceeding.
- c. After the student or student organization's student representative has been advised of the allegation(s), the student or student organization's student representative shall choose between ~~may request~~ to have the case resolved either through an administrative or a committee hearing. However, the Judicial Officer reserves the right in all cases to designate a committee hearing of the case.
- d. After notice has been given to the student or student organization's student representative, the University may proceed to conduct either an administrative or a discipline committee hearing and deliver a

decision or recommendation respectively. The administrative or committee hearing may be held and a decision or recommendation made, regardless of whether the student or registered student organization's student representative responds, fails to respond, attends the hearing, or fails to attend the hearing. In the absence of the student or student organization's student representative the Judicial Officer or the University Discipline Committee will consider the information and render an appropriate administrative decision or committee recommendation. Notice is deemed to have been given when written notification is placed in United States Mail, campus mail, or personally delivered. The written notification is given when the notice is addressed to the student's or student organization student representative's last officially known address as provided by the student to the Registrar's Office or student organization to the Center for Campus Life Campus Activities and Involvement Office.

- e. The Judicial Officer may refer the alleged violation to alternative dispute resolution through non-binding mediation in place of the administrative or committee hearing. If alternative dispute resolution is not successful, then the allegation is again referred to either the administrative or committee hearing process.
- f. In addition to other possible sanctions, conditions, and/or restrictions, and in the event that a student or a student organization's student representative fails to respond to written notification, an administrative flag may be placed on the student's or student organization's records to prevent further registration. The administrative flag will remain until such time as Judicial Officer receives an appropriate response.
- g. All records of disciplinary action taken against a student or student organization will remain on file in the Student Mediation Center for seven (7) years from the date the case is completed through administrative or committee hearing and/or disciplinary appeal procedures.

### 3. Administrative Hearing

- a. In cases in which the student or student organization's student representative does not dispute the information and findings provided to the Judicial Officer leading to the allegation(s) and/or the student chooses to participate in an administrative hearing, a committee hearing or further proceeding may not be required.
- b. The administrative hearing process usually is completed within twenty (20) University working days from the time the written allegation is filed.
- c. ~~If the Judicial Officer, after conferring with a student or student organization's student representative, or reviewing information pertaining to the case, determines that, time-limited disciplinary suspension or disciplinary expulsion is a possible sanction, the Judicial~~



~~Officer will refer the case directly to the University Discipline Committee for a hearing and recommendations, if any.~~

- c. After making a determination, the Judicial Officer will provide written notification to the student or student organization's representative of the decision and, if any, the sanction(s), condition(s), and/or restriction(s) to be imposed.
- d. The student or student organization's student representative may appeal based upon the disciplinary appeal process. (See Section E, Disciplinary Appeals Procedure)

4. Committee Hearing

If the student or student organization's student representative disputes the information and findings that a violation has been committed, or chooses ~~rejects a committee administrative~~ hearing, the case may be resolved through committee hearing procedures as follows:

- a. A "Notification of Violation and Pending Disciplinary Hearing" will be delivered in person, or sent to the student's or student organization student representative's last known official, local address as provided by the student or student organization to the Registrar's Office or the Campus Activities and Involvement Office, respectively. If mailed, notification is to be mailed by return receipt requested. Failure of a student or student organization's student representative to receive certified mail does not prevent the University Discipline Committee from proceeding.
- b. The Judicial Officer and the student or student organization's student representative will inform each other of documents to be introduced, witnesses to be examined, and the anticipated testimony of the witnesses. This exchange must be completed within five (5) University working days prior to the scheduled committee hearing. Information and/or supporting materials may not be considered unless the student or student organization's student representative and the University's representative have been advised of the source and content at least five (5) University working days in advance of the committee hearing.
- c. The student or student organization's student representative has a maximum of five (5) University working days from the date of notification of alleged violation(s) to prepare a response prior to this exchange of information and/or supporting materials and scheduled committee hearing. The case will then be heard by the University Discipline Committee.
- d. The University Discipline Committee, Judicial Officers, and the student or student organization's student representative will have a reasonable opportunity to question witnesses. Hearing proceedings, excluding the deliberations of the University Discipline Committee, will be tape recorded. The confidential hearing will be closed unless the student or student organization's student representative requests to the Judicial Officer, in writing within five (5) University working days from the date

of the "Notification of Violation and Pending Disciplinary Hearing" that the hearing be open to the public. The University Discipline Committee chair is responsible for conducting an orderly hearing and will determine the procedure to be used for both open and closed hearings. Prospective witnesses will be excluded from the hearing room until they are given the opportunity to present their information, knowledge and/or perception of the alleged incident. The student or student organization's student representative may have an advisor present during the committee hearing process; however, this advisor cannot also serve as a witness. The chair may deny admission into or remove from the hearing anyone due to space limitations or disruptive behavior.

- e. Following the hearing, the University Discipline Committee in writing, by consensus, will recommend to the Judicial Officer whether or not the violation(s), as alleged, has occurred.
    - 1) If the finding is that the alleged violation(s) has not occurred, the Judicial Officer will be notified in writing of the recommendation by the University Discipline Committee chair. The Judicial Officer will review the recommendation and then determine the sanction(s), condition(s), and/or restriction(s), if any, to be imposed then notify the student or student organization's student representative in writing of the Judicial Officer's final disciplinary decision within five (5) university working days.
    - 2) If the finding is that the alleged violation(s) has occurred, the University Discipline Committee will recommend disciplinary sanction(s), condition(s), and/or restriction(s) and the University Discipline Committee chair will notify the Judicial Officer in writing. The Judicial Officer, will review the recommendation and then determine the sanction(s), condition(s), and/or restriction(s), if any, to be imposed and notify the student or student organization's student representative in writing of the Judicial Officer's final disciplinary decision within five (5) university working days.
  - f. The student or student organization's student representative may appeal based upon the disciplinary appeal process. (See Section E, Disciplinary Appeals Procedure)
5. If in the judgment of the Vice President for Student Affairs or the Vice President's representative, or on recommendation of the Judicial Officer or other University officials, the physical or emotional well-being of a student, or other students, or members of the University community could be endangered, or if the presence of the student or student organization would seriously disrupt the University, a student or student organization may be temporarily suspended pending completion of disciplinary procedures. The Vice President for Student Affairs or Vice President's representative will notify the Judicial Officer to initiate appropriate

disciplinary procedures within five (5) University working days from the date of temporary suspension.

**D. Disciplinary Sanctions, Conditions, and/or Restrictions**

The Judicial Officer may impose sanctions, conditions, and/or restrictions including disciplinary reprimands to deferred disciplinary suspension as a result of an administrative hearing. If, as a result of an administrative hearing, a student or student organization is placed on deferred disciplinary suspension and is subsequently alleged to have violated the *Code of Student Conduct* during this period, the student or student organization may be referred directly to the University Discipline Committee for a hearing and recommendation. For cases involving possible, time-limited disciplinary suspension or disciplinary expulsion, the Judicial Officer will refer cases to the University Discipline Committee for recommendations.

Through the administrative hearing or committee hearing, the following educational sanction(s), condition(s) and/or restriction(s) may be assessed when a student or student organization is found to have committed misconduct.

1. **Disciplinary Reprimand, with or without Conditions or Restrictions**  
A disciplinary reprimand is an official written notification to the student or registered student organization that the action in question was misconduct. In the case of a student organization, a copy of the notification may be sent to the organization's advisor(s) and international or national organization headquarters.

A disciplinary reprimand may include, but not limited to, conditions and/or restrictions:

- a. Restitution or compensation for loss, damage, or injury, which may take the form of appropriate service and/or monetary or material replacement;
- b. Residence Hall relocation or cancellation of residence hall contract and/or use of dining facilities;
- c. Personal and/or academic counseling;
- d. Revocation of parking and/or building-use privileges;
- e. Denial of eligibility for holding office in registered student organizations;
- f. Denial of participation in extracurricular activities;
- g. Discretionary educational sanctions and/or service to the University and/or community;
- h. Prohibited access to University facilities and/or prohibited direct or indirect contact with members of the University community;
- i. Loss of privileges on a temporary or permanent basis.
- j. Monetary assessments owed to the university.

2. **Disciplinary Probation with or without Conditions or Restrictions**  
Disciplinary probation is a designated period of time during which a student's or student organization's conduct will be observed and reviewed. The student or student organization must demonstrate the ability to comply with University policies, rules and/or standards and any other requirements stipulated for the probationary period. Further instances of misconduct under the *Code of Student Conduct* and/or Student Affairs Handbook during this period may result in additional sanctions, conditions, and/or restrictions.
  
3. **Disciplinary Suspension/Expulsion with or without Conditions or Restrictions**  
Disciplinary suspension is a period of time in which a student may not attend classes or participate in any University related activity. The status of disciplinary suspension will be shown on the student's academic record, including the transcript or student organization's registration, during the period of disciplinary suspension. During disciplinary suspension of a student organization, the registration and privileges of the organization are suspended. Upon the written request by the student to the University Registrar or by the student organization's student representative to the Campus Activities and Involvement Office, the notation of disciplinary suspension will be removed from the transcript or registration upon readmission or the completion of the disciplinary suspension period. Notification of disciplinary suspension of a student or student organization will indicate the date on which the disciplinary suspension begins, the earliest date, if any, upon which application for student readmission or student organization re-registration will be considered. Questions related to academic course work, tuition, or fees during the period of disciplinary suspension/expulsion should be directed to the Director of the Student Mediation Center.

#### Types of Disciplinary Suspension

##### a. Deferred Disciplinary Suspension:

The disciplinary suspension may be deferred for a period of observation and review, but in no case will the deferred suspension be less than the remainder of the semester. Additional misconduct or a failure to comply may result in additional sanction(s), condition(s), and/or restriction(s).

##### b. Time-Limited Disciplinary Suspension:

Under the time-limited disciplinary suspension, the student or student organization is withdrawn and separated from the University for a designated length of time, but in no case less than the remainder of the semester. When the designated length of time has been completed, the student can apply for readmission through the regular admission procedures of the University. Similarly a student organization's student



representative can apply to be re-registered through the regular student organization registration process of the University.

c. **Disciplinary Expulsion:**

Disciplinary Expulsion is when the student or student organization is permanently withdrawn and separated from the University.

The Judicial Officer may deny a student's or student organization's request for readmission or registration, respectively, if the student's or student organization's misconduct during a period of disciplinary suspension would have warranted additional disciplinary action. Similarly, if the student or student organization has failed to satisfy any sanctions, conditions, and/or restrictions that have been imposed prior to application for readmission or registration, the Judicial Officer may deny readmission to a student or registration to a student organization. On denial of a student's or student organization's application for readmission or registration, the Vice President for Student Affairs will set a date when another application for readmission or registration may again be made. A student or student organization may appeal denial of readmission or registration based upon the disciplinary appeal process.

Implementation of the disciplinary sanctions, conditions, and/or restrictions will not begin until either the time for a disciplinary appeal has expired without receiving a disciplinary appeal, or until the disciplinary appeal process is exhausted. Exceptions are made only in cases for which, in the judgment of the Vice President for Student Affairs, the physical or emotional well being of the student, the student organization, other students, or other members of the University community may be endangered.

*Proposed reformatting of Section D: Disciplinary Sanction(s), Condition(s), and/or Restriction(s)*

**D. Disciplinary Sanctions, Conditions, and/or Restrictions**

The Judicial Officer may impose sanctions, conditions, and/or restrictions as a result of an administrative hearing. Additionally, the University Discipline Committee may recommend sanctions, conditions, and/or restrictions to the Director of the Student Mediation Center as a result of a University Discipline Committee hearing.

Through the administrative hearing or University Discipline Committee hearing, the following educational sanctions, conditions, and/or restrictions may be assessed when a student or student organization is found to have been responsible for misconduct.

1. Sanctions are defined as the primary outcome or decision of the alleged violation. If found responsible, the range of sanctions includes the following outcomes:

- a. **Disciplinary Reprimand:**  
The disciplinary reprimand is an official written notification to the student or student organization that the action in question was misconduct. In the case of a student organization, a copy of the notification may be sent to the organization's advisor(s) and international or national organization headquarters.
- b. **Disciplinary Probation:**  
Disciplinary probation is a period of time during which a student or student organization's conduct will be observed and reviewed. The student or student organization must demonstrate the ability to comply with University policies, rules, and/or standards and any other requirement stipulated for the probationary period. Further instances of misconduct under the *Code of Student Conduct* and/or Student Affairs Handbook during this period may result in additional sanctions, conditions, and/or restrictions.
- c. **Deferred Disciplinary Suspension:**  
Deferred disciplinary suspension is a period of time where a disciplinary suspension may be deferred for a period of observation and review, but in no case will the deferred suspension be less than the remainder of the semester. Additional misconduct or failure to comply may result in additional sanctions, conditions, and/or restrictions.
- d. **Time-Limited Disciplinary Suspension:**  
Time-limited disciplinary suspension is a period of time in which a student may not attend classes or participate in University related activity. The status of disciplinary suspension will be shown on the student's academic record, including the transcript or student organization's registration, during the period of disciplinary suspension. During disciplinary suspension of a student organization, the registration and privileges of the organization are suspended. Upon written request by the student to the University Registrar or by the student organization's representative to the Center for Campus Life, the notation of disciplinary suspension will be removed from the transcript or registration upon readmission or the completion of the disciplinary suspension period. Notification of disciplinary suspension of a student or student organization will indicate the date on which the disciplinary suspension begins, the earliest date, if any, upon which the application for student readmission or student organization re-registration will be considered. Questions related to academic course work, tuition, or fees during the period of disciplinary suspension should be directed to the Director of the Student Mediation Center. The Judicial Officer may deny a student's or student organization's request for readmission or registration, respectively, if the student's or student organization's misconduct during a period of disciplinary suspension would have warranted additional disciplinary action. Similarly, if the student or student organization has failed to satisfy any



sanctions, conditions, and/or restrictions that have been imposed prior to application for readmission or registration, the Judicial Officer may deny readmission to a student or registration to a student organization. On denial of a student's readmission or of a student organization's re-registration, the Vice President for Student Affairs will set a date when another application for readmission or registration may again be made. A student or student organization may appeal denial or readmission or re-registration based upon the disciplinary appeals process. (See Section E, Disciplinary Appeals Procedure)

e. Disciplinary Expulsion:

Disciplinary expulsion occurs when the student or student organization is permanently withdrawn and separated from the University. The status of expulsion will be shown on the student's academic record, including the transcript or student organization's registration.

These sanctions may or may not be accompanied by conditions and/or restrictions.

2. A Condition is defined as a secondary component of a disciplinary sanction. A condition is usually an educational or personal element that is to occur in conjunction with the assigned sanction. Some examples of conditions include, but are not limited to:
  - a. Personal and/or academic counseling;
  - b. Discretionary educational conditions and/or programs of educational service to the University and/or community;
  - c. Residence Hall relocation and/or contract review/cancellation of residence hall contract and/or use of dining facilities;
  - d. Restitution or compensation for loss, damage, or injury, which may take the form of appropriate service and/or monetary or material replacement;
  - e. Monetary assessment owed to the University;
  - f. Completion of online alcohol education program;
  - g. Referral to Raider Assistance Program for assessment.
3. A Restriction is defined as a secondary component of a disciplinary sanction. A restriction is usually an educational component that is usually to occur in conjunction with the sanction and will usually be time specific. Some examples of restrictions include, but are not limited to:
  - a. Revocation of parking and/or building-use privileges;
  - b. Denial of eligibility for holding office in registered student organizations;
  - c. Denial of participation in extracurricular activities;
  - d. Prohibited access to University facilities and/or prohibited direct or indirect contact with members of the University community;
  - e. Loss of privileges on a temporary or permanent basis.

Implementation of the disciplinary sanction(s), condition(s), and/or restriction(s) will not begin until either the time for a disciplinary appeal has expired, or until the disciplinary appeal process is exhausted. Exceptions are made only in cases for which, in the judgment of the Vice President for Student Affairs, the physical or emotional well being of the student, the student organization, other students, or other members of the University community may be endangered.

## **E. Disciplinary Appeal Procedures**

### **1. Right to Appeal**

Any student or student organization's student representative has the right to one appeal the final disciplinary decision assessed by the Judicial Officer. Students or student organization's student representative may also appeal a decision denying readmission to the University or re-registration of a student organization. Failure to file a written request for an appeal within the allotted time will render the original decision final and conclusive.

### **2. Grounds for Appeal**

#### **a. Appeals must be based on:**

- 1) Issues of substantive or procedural errors which were prejudicial and which were committed during the disciplinary process;
- 2) Relevant information which was not available at the hearing.

#### **b. The specific questions to be addressed on appeal are:**

- 1) Were the procedures of the *Code of Student Conduct* followed?
- 2) If a procedural error was committed, were the rights of the student or student organization materially violated so as to effectively deny the student or student organization a fair hearing?
- 3) Was the hearing conducted in a way that permitted the student or student organization's student representative adequate notice and the opportunity to present information?
- 4) Was the information presented at the hearing sufficient to justify the decision reached?

### **3. Notification of Appeal**

Appeals must be made in writing in sufficient detail to inform the Judicial Officer or the University Discipline Appeals Committee of the grounds for appeal. The appeal is not intended to afford a full rehearing of the case but to serve as a method of reviewing the written content and validity of the appeal submitted by the student or student organization's student representative and the record of the case and the procedures followed in decision-making. Therefore, the student or student organization's student representative will not be given the opportunity to appear before the Judicial Officer or the University Discipline Appeals Committee for an oral hearing. The student or student organization's representative may choose

to appeal a hearing outcome to either an administrative hearing appeal or the University Discipline Appeals Committee.

a. Administrative Hearing Appeal

The student or student organization's student representative desiring to appeal an administrative disposition has five (5) University working days from the date of the disposition letter to prepare and submit the written appeal to the Judicial Officer. The Judicial Officer will review materials relevant to the case in the written appeal of an administrative hearing of the student or student organization's student representative. The disciplinary decision of the Judicial Officer is final. The Judicial Officer may:

- 1) Find that no substantive and/or procedural error has occurred and that the decision be affirmed.
- 2) Find that the written appeal submitted is not sufficient to establish the grounds for appeal and that the decision be affirmed.
- 3) Find that the information presented was sufficient to justify the decision rendered and that the decision be affirmed.
- 4) Find that the relevant materials and written appeal submitted were not sufficient to establish that the alleged misconduct had occurred; in this event, the decision may be amended by the Judicial Officer may refer the matter for a new hearing.
- 5) Find substantive and/or procedural errors that effectively denied the student or student organization due process; in this event, the decision may be amended by the Judicial Officer will refer the matter for a new hearing.

b. University Discipline Appeals Committee Hearing

The student or student organization's student representative desiring to appeal a University Discipline Committee hearing will notify the Judicial Officer in writing of intent to appeal within five (5) three (3) University working days from the date of the disposition letter. The student or student organization's student representative will have five (5) University working days from the date of the written notice of intent to appeal to prepare and submit the written appeal to the Judicial Officer. The Judicial Officer will forward to the University Discipline Appeals Committee the materials relevant to the case and the written appeal of the student or student organization's student representative. On written request, and facilitated by the Judicial Officer, the student or student organization's student representative will be given an opportunity to review the tape recorded proceedings of the hearing, if any, and to review other relevant information. The University Discipline Appeals Committee will make recommendations to the Judicial Officer.

The committee may:

- 1) Find that no substantive and/or procedural error has occurred and recommend the decision be affirmed.

- 2) Find that the written appeal submitted was not sufficient to establish the grounds for appeal and recommend that the decision be affirmed;
- 3) Find that the information presented at the hearing was sufficient to justify the decision rendered and recommend that the decision be affirmed.
- 4) Find that the relevant materials submitted at the hearing and written appeal were not sufficient to establish that the alleged misconduct had occurred; in this event, the decision may be amended by the Judicial Officer may refer the matter for a new hearing.
- 5) Find substantive and/or procedural errors that effectively denied the student or student organization due process; in this event, the decision may be amended by the Judicial Officer may refer the matter for a new hearing.
- 6) In cases where a student is seeking re-admittance or a student organization is seeking re-registration, the University Discipline Appeals Committee may affirm the decision or recommend that the student be readmitted or the organization be re-registered.

4. **Final Decision**

The Judicial Officer will provide written notification of the final disciplinary decision to the student or student organization's student representative of the administrative or committee hearing appeal. If referred to the University Discipline Appeals Committee the chair will communicate in writing the committee's recommendation to the Judicial Officer. After review of the recommendations, the Judicial Officer will determine the final disciplinary decision within five (5) University working days.

**F. Emotionally Disturbed Students**

Texas Tech University provides evaluation, referral and limited treatment (within the parameters of available University resources) for a student displaying emotional problems, but a student's treatment needs may be beyond the scope of these services.

1. **Definitions**

- a. "Abnormal behavior" means overt actions, omissions of actions, or verbal or written statements which are inconsistent with the actions or statements of a reasonable, prudent person under similar circumstances.
- b. "Emotional problems" include, but are not limited to, behaviors resulting from possible physical, drug related, or psychological disorders which may pose potential harm to the physical well-being of the student or members of the University Community, or cause significant disruption to the normal functions of the University.

- c. "An emotionally disturbed student" includes, but is not limited to, a student exhibiting any of the behaviors described above.

2. Temporary Suspension

- a. If in the judgment of the Judicial Officer, it is determined that:
  - 1) A student's behavior is disruptive to University functions or;
  - 2) Presents a threat of harm or bodily injury to himself/herself or members of the University community.
- b. The Judicial Officer may recommend to the Vice President for Student Affairs that the student be temporarily suspended from the University.
- c. If the Vice President for Student Affairs concurs with the Judicial Officer's recommendation, the student will be temporarily suspended until a hearing can be held. Temporary suspension will not be longer than fourteen (14) days.

3. Registration Flag Following Temporary Suspension

When a student is suspended or withdrawn under this section, a registration flag will be placed on the student's readmission to the University. This registration flag will remain in the student's records until the student is readmitted.

4. Readmission Procedures and Appeals

- a. A student who has had a registration flag placed on his or her records under this section must request readmission from the Judicial Officer at least three (3) weeks prior to the first day of classes of the semester or summer session in which the student wishes to re-enroll. The student may be required by the Judicial Officer to submit evidence in writing supportive of his or her present ability to function properly and effectively in the University community. The University will evaluate the student's request and supporting evidence with primary consideration given to satisfying all conditions specified at the time of suspension or withdrawal. If approval is granted by the Judicial Officer for the removal of the registration flag, the student must then complete the regular University readmission procedures.
- b. If approval for readmission is denied by the Judicial Officer, the student may appeal that decision to the Vice President for Student Affairs. The appeal to the Vice President for Student Affairs must be made, in writing, within five (5) University working days from the date the student is notified in writing by the Judicial Officer that the student's request has been denied.
- c. The Vice President for Student Affairs, upon receiving an appeal request, must inform the student, in writing, that he or she is entitled to a hearing. This hearing should be held within five (5) University



working days from the date that the Vice President for Student Affairs informs the student of the hearing. The hearing will be conducted by the Vice President for Student Affairs or the Vice President's representative. Following the hearing, the Vice President for Student Affairs will either sustain the decision of the Judicial Officer or reverse the decision and allow the student to re-enroll in the University. The Vice President for Student Affairs will notify all parties of this decision, in writing, within three (3) University working days following the completion of the hearing.

**NOTE:** Texas Education Code, Sections 51.233-51.244

**G. Code of Student Conduct University Committees ~~Code Committees~~**

**1. University Discipline Committee**

**a. Committee Composition**

The University Discipline Committee will conduct disciplinary hearings in referred cases. The Committee will be composed of five (5) full-time faculty members, five (5) full-time undergraduate students, and five (5) full-time staff members. Each member will be appointed for a single one-year term by the Vice President for Student Affairs. Committee members may be re-appointed for consecutive one-year terms.

**b. Committee Appointments**

University Discipline Committee appointments will be made as follows:

- 1) The chair will be appointed by the Vice President for Student Affairs and will be a member of the faculty or staff. If the chair is absent, an acting chair may be named by the Director of the Student Mediation Center.
- 2) Five (5) full-time undergraduate student members will be appointed by the Vice President for Student Affairs who will invite a recommendation by the President of the Student Government Association, with the advice of the Student Senate.
- 3) Five (5) full-time faculty members will be appointed by the Vice President for Student Affairs who will invite a recommendation by the President of the Faculty Senate, with the advice of the Faculty Senate.
- 4) Five (5) full-time staff members will be appointed by the Vice President for Student Affairs who will invite a recommendation by the President of the Staff Senate, with the advice of the Staff Senate.

**c. Committee Recorder**

The Director of the Student Mediation Center, or designee, will serve as a non-voting resource persons for the committee.

**d. Committee Meetings**

The committee will establish regular meeting dates and times during which cases will be heard and will also provide for scheduling special



meetings as needed. Committee hearings will be conducted by a subgroup of the minimum fifteen (15) committee members.

e. Committee Quorum

A quorum for the committee is four (4) members, so long as at least one (1) member is present from each of the three (3) representative categories.

f. Committee Deliberation

When deliberating a case, the committee will meet in closed session with only voting members and the resource persons present.

g. Committee Removals

The Vice President for Student Affairs may remove a member from this committee when, in his or her judgment, the member has failed or refused to serve and perform the duties and functions of this committee.

h. Additional Committee Sections and/or Members

The Vice President for Student Affairs may appoint additional sections and/or members of the University Discipline Committee to expedite the orderly disposition of cases and/or to aid in the administration of disciplinary action within the University. The additional sections and/or members of the University Discipline Committee will have the same composition of membership, the same duties and the same authority as original University Discipline Committee, and additional sections will be alphabetically designated. Each section will function as a separate and independent unit in helping dispose of the caseload in the University disciplinary process. Cases will be assigned by the Director of the Student Mediation Center.

i. Committee Orientation

Members of the University Discipline Committee shall be required to participate in an orientation facilitated by the Student Mediation Center prior to serving as a member of any committee hearing.

2. University Discipline Appeals Committee

a. Committee Referrals

The University Discipline Appeals Committee will review cases appealed from the University Discipline Committee.

b. Committee Composition

The Committee will be comprised of three (3) full-time faculty members, three (3) full-time staff members, and three (3) full-time undergraduate students. All members will be appointed for a single one-year term by the Vice President for Student Affairs. Committee members may be reappointed to consecutive one-year terms. The Vice President for Student Affairs will invite recommendations from the President of the Student Government Association, the President of the Faculty Senate and the President of the Staff Senate for members of the student body, faculty and staff, respectively, to serve as committee members. Recommendations come from the advice of the

respective senates. The chair will be appointed by the Vice President for Student Affairs and will be a member of the faculty or staff. If the chair is absent, an acting chair may be named by the Director of the Student Mediation Center.

c. Committee Recorder

The Director of the Student Mediation Center, or designee, will serve as a. non-voting resource persons for the committee.

d. Committee Deliberation

When deliberating a case, the committee will meet in closed session with only voting members and the resource persons present.

e. Committee Removals

The Vice President for Student Affairs may remove a member from this committee when, in his or her judgment, the member has failed or refused to serve and perform the duties and functions of this committee.

f. Committee Meetings

The committee will establish regular meeting dates and times during which cases will be reviewed and will provide for scheduling special meetings as needed. Appeals hearings will be conducted by a sub-group of the minimum nine (9) members from the committee.

g. Committee Quorum

A quorum for the committee is four (4) members, so long as at least one (1) member is present from each of the three (3) representative categories.

h. Additional Committee Sections and/or Members

The Vice President for Student Affairs may appoint additional sections and/or members of the University Discipline Appeals Committee to expedite the orderly disposition of cases and/or to aid in the administration of disciplinary action within the University. The additional sections and/or members of the University Discipline Appeals Committee will have the same composition of membership, the same duties and the same authority as the original University Discipline Appeals Committee, and the additional sections will be alphabetically designated. Each section will function as a separate and independent unit in helping dispose of the appeal caseload in the University disciplinary process. Cases will be assigned by the Director of the Student Mediation Center.

i. Committee Orientation

Members of the University Discipline Appeals Committee will be required to participate in an orientation facilitated by the Mediation Center prior to serving as a member of any disciplinary appeal hearing.

3. Code of Student Conduct Committee

a. Code of Student Conduct Review

The Code of Student Conduct Committee will conduct an annual review of the *Code of Student Conduct* and make recommendations to

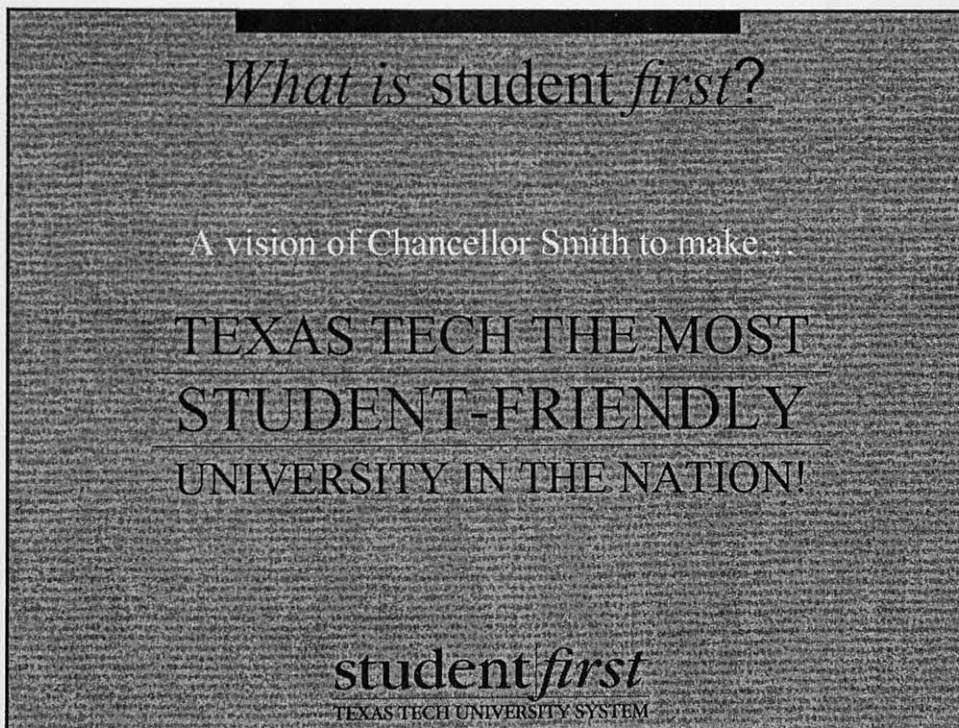
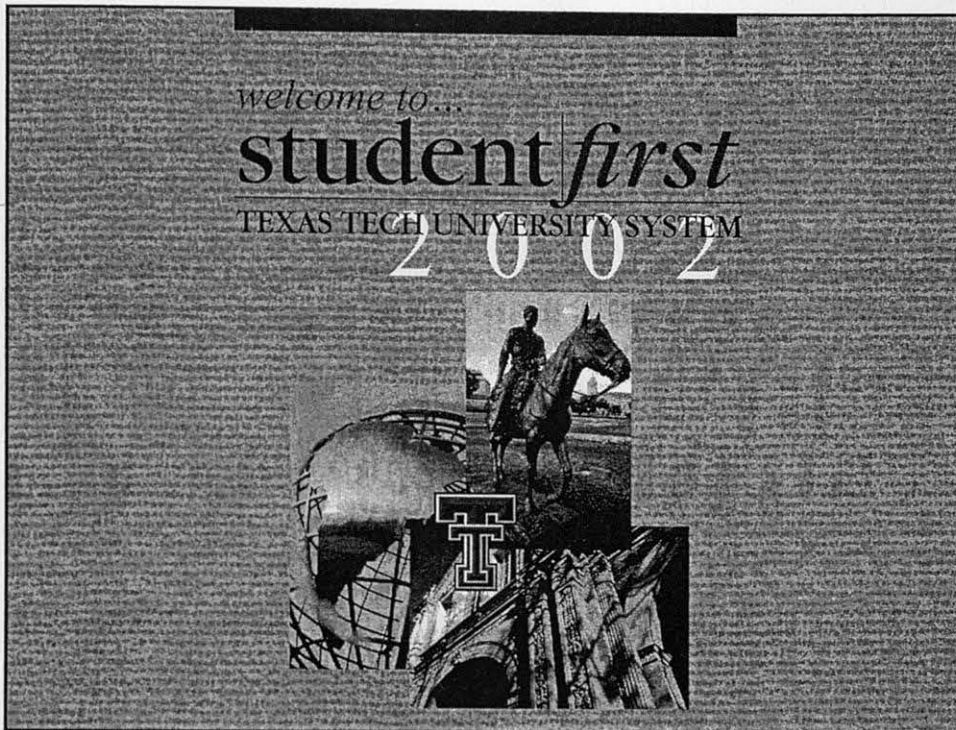
- the Vice President for Student Affairs regarding omissions, clarifications, constructive changes, and other matters relevant to the proper interpretation and operation of the *Code of Student Conduct*.
- b. Committee Composition
- 1) The chair will be appointed by the Vice President for Student Affairs and will be a full-time member of the faculty or staff.
  - 2) The Code of Student Conduct Committee will consist of three (3) full-time faculty members, two (2) full-time staff members, two (2) full-time undergraduate students and one (1) full-time graduate student.
  - 3) Faculty and staff will be appointed for one three (3) year term, and undergraduate/graduate student(s) will be appointed for a single one-year term.
  - 4) Committee appointments will be made by the Vice President for Student Affairs after inviting recommendations by the President of the Student Government Association, the President of the Faculty Senate and the President of the Staff Senate with the advice of the Student Senate for undergraduate/graduate student members, the Faculty Senate for faculty members and Staff Senate for staff members.
- c. Committee Recorder  
The Director of the Student Mediation Center, or designee, will serve as a resource persons for the committee.
- d. Committee Removals  
The Vice President for Student Affairs may remove a member from this committee when, in his or her judgment, the member has failed or refused to serve and perform the duties and functions of this committee.
- e. Code of Student Conduct Committee Orientation  
Members of the Code of Student Conduct Committee will be required to participate in an orientation facilitated by the Director of the Student Mediation Center prior to review of the *Code of Student Conduct*.

**Part IX Code of Student Conduct**  
**Student Affairs Handbook 2002-2003**

Approved by the Board of Regents

May 10, 2002

Effective August 1, 2002





## *Who makes up student first?*



### 15 Students

One from each school and college in the Texas Tech System.

Students were nominated by their Deans.

**student first**  
TEXAS TECH UNIVERSITY SYSTEM

## *TTU Schools and Colleges*

### TTUHSC Schools

- Allied Health
- Graduate School of Biomedical Sciences
- Medicine
- Nursing
- Pharmacy

### TTU Colleges/Schools

- Agricultural Sciences & Natural Resources
- Architecture
- Arts & Sciences
- Education
- Engineering
- Graduate
- Honors
- Human Sciences
- Law
- Rawls Business Administration

**student first**  
TEXAS TECH UNIVERSITY SYSTEM

## *student first goals:*

- ✓ Assess student satisfaction
  - *Verbally, Surveys, Focus groups, Interviews*
- ✓ Examine & research areas of concern
- ✓ Develop recommendations
- ✓ Provide implementation strategies
- ✓ Create an evaluation methodology
- ✓ Design campaign to publicize the Student First message

**student first**  
TEXAS TECH UNIVERSITY SYSTEM

## *What have we done?*

- ✓ Rec All-Nighter
  - *500 free t-shirts*
  - *2 autographed footballs*
- ✓ USA Basketball Game
  - *Surveys and candy*
  - *Coach Knight autographed basketball*
- ✓ Student Union Building
  - *Surveys and candy*
  - *Free Drink Coupons*
- ✓ University Day
  - *Surveyed potential students*
- ✓ Schools/Colleges/Departments
  - *Surveys*

**student first**  
TEXAS TECH UNIVERSITY SYSTEM



## *Networking*

- Lubbock Chamber of Commerce
- City of Lubbock, Small Business Development
- Athletics
- Lubbock International Airport
- Traffic and Parking
- Housing and Residence Life
- Dining Services
- Operations
- International Cultural Center
- Academic Recruiting Departments
- Student Government Association
- Bates-Wells, Inc. (specialty marketing)
- Recruiting personnel, campus wide

**student first**  
TEXAS TECH UNIVERSITY SYSTEM

## *student first sub-committees:*

- ✓ Academics
- ✓ Communication / Education
- ✓ External Expansion
- ✓ Internal Expansion
- ✓ Transportation & Safety

**student first**  
TEXAS TECH UNIVERSITY SYSTEM

## *Regional Campuses*

Town hall meetings were conducted on three regional campuses:

- ✓ Amarillo
- ✓ Odessa
- ✓ El Paso

**student|first**  
TEXAS TECH UNIVERSITY SYSTEM

## *Top 10 Recommendations*

- The Barn
- Students Recruiting Students
- Graduation Lapel Pins
- Recruitment of diverse students and faculty
- Creation of Multicultural Center
- More Local Internships/Co-ops
- Dinner with the Dean/Pizza with the Pres.
- More Available Commuter Parking
- Texas Tech System Billboards
- Inform Students about Fees

**student|first**  
TEXAS TECH UNIVERSITY SYSTEM



What is **YOUR** role in the  
student *first* taskforce?

student *first*  
TEXAS TECH UNIVERSITY SYSTEM

student *first*  
TEXAS TECH UNIVERSITY SYSTEM

[www.studentfirst.ttu.edu](http://www.studentfirst.ttu.edu)

Student Services Office—Lubbock, TX  
(806) 743-2300

Texas Tech System Chancellor's Office  
(806) 742-2011

# RED RAIDER STUDENT-ATHLETE ACADEMIC EXCELLENCE

HIGHLIGHTS OF 2001-2002 ACADEMIC ACCOMPLISHMENTS OF TEXAS TECH STUDENT-ATHLETES AS OF MAY 9, 2002

**#1** IN VERIZON ACADEMIC ALL-DISTRICT VI SELECTIONS

TEXAS TECH	6
TEXAS A&M	4
ARKANSAS	3
OKLAHOMA	3
OLE MISS	3
RICE	3
LSU	2
TCU	2
TULSA	2
TEXAS	1

District VI covers the following states:  
Arkansas, Louisiana, Mississippi, New Mexico, Oklahoma, and Texas

## • FALL 2001 HIGHLIGHTS •

94.11% - More student-athletes are above a 2.00 cumulative GPA than ever before

2.935 - Highest student-athlete average GPA ever  
(compared to 2.823 for the student body)

3.157 - Highest female student-athlete average GPA ever  
(compared to 2.983 for the female student body)

2.822 - Highest male student-athlete average GPA ever  
(compared to 2.690 for the male student body)

10 of 15 - More team GPA's were above a 3.00 than ever before!  
Baseball, Women's Basketball, Women's Cross-Country, Men's Golf, Women's Golf, Women's Soccer, Men's Tennis, Women's Tennis, Women's Track, Volleyball

7 - Verizon Academic All-District VI honorees  
Football (6), Volleyball (1)

46 - Academic All-Big XII honorees  
Men's Cross Country (2), Women's Cross Country (6), Football (23), Women's Soccer (10), Volleyball (5)

25 - Fall 2001 Graduates

168 - Big XII Commissioner's Honor Roll selections

21 - President's List honorees

43 - Dean's List Honorees

175 - Student-athletes honored at the 2002 academic awards banquet

**#2** IN ACADEMIC ALL-BIG 12 SELECTIONS

NEBRASKA	30
TEXAS TECH	23
MISSOURI	15
TEXAS	15
BAYLOR	14
KANSAS STATE	11
OKLAHOMA	11
OKLAHOMA STATE	10
IOWA STATE	9
TEXAS A&M	9
KANSAS	8
COLORADO	7

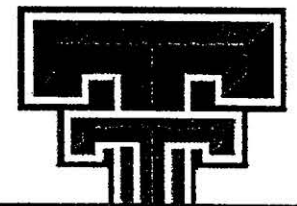
Since the Big 12 Conference started play in 1996, Texas Tech has never been lower than #2 in academic all-conference selections.

**2002 NCAA Graduation Rates**

**73%**

For the 1995-96 entering class, 73% of football student-athletes have graduated. Overall, 63% of student-athletes have graduated. An 18% increase over the last four years.

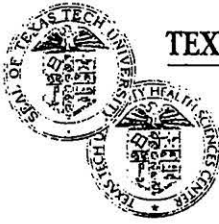
ENTERING CLASS	Student-Athletes	Student Body
1992-93	45%	49%
1993-94	48%	46%
1994-95	51%	48%
1995-96	63%	52%



RECOGNIZED AS HAVING ONE OF THE **TOP 30** FOOTBALL GRADUATION RATES BY THE AFCA

1 OF 3 TEAMS IN THE BIG 12 TO BE RECOGNIZED (BAYLOR & NEBRASKA)

1 OF 30 DIVISION I-A TEAMS TO HAVE A 70% GRADUATION RATE



TEXAS TECH UNIVERSITY

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER

JIM BRUNJES  
Chief Financial Officer

Box 42016  
Lubbock, Texas 79409-2016  
(806) 742-9000  
(806) 742-2195 (FAX)

May 1, 2002

Members of the Board of Regents and Interim Chancellor  
Texas Tech University System  
Lubbock, Texas 79409

Dear Interim Chancellor Smith and Members of the Board:


The Texas Tech University Board of Regents Policy 07.04 (4b) requires a written certification by the chief fiscal officer, which states that funds are available for any budget prior to approval by the Board of Regents.

I certify that the Fiscal Year 2003 Texas Tech University System Administration Operating Budget is within the total funds available to Texas Tech University System Administration for operations.

The total estimated operating income is \$ 14,221,845 and use of prior year fund balance of \$2,703,600. The estimated expenditures total is \$16,925,445.

In conclusion, I recommend approval of the Fiscal Year 2003 Operating Budget for Texas Tech University System Administration.

Sincerely,

  
Jim Brunjes  
Chief Financial Officer

# TEXAS TECH UNIVERSITY SYSTEM ADMINISTRATION



## SUMMARY OPERATING BUDGET FISCAL YEAR 2003



**TEXAS TECH UNIVERSITY  
SYSTEM ADMINISTRATION  
FISCAL YEAR 2003  
SUMMARY OPERATING BUDGET**

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**TEXAS TECH UNIVERSITY  
SYSTEM ADMINISTRATION  
FISCAL YEAR 2003  
SUMMARY OPERATING BUDGET**

**DEFINITIONS**

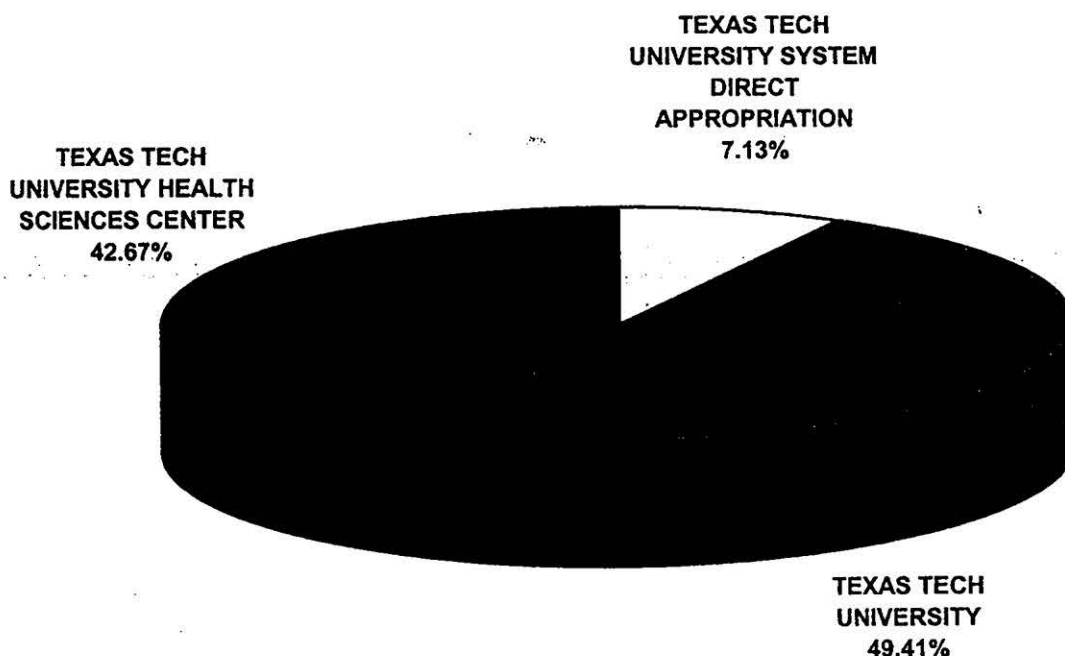
**EDUCATION AND GENERAL FUNDS:**

Funds provided by the State Of Texas through its General Appropriations Bill. These funds are provided directly to the Texas Tech University System Administration or to the component institutions, Texas Tech University and Texas Tech University Health Sciences Center. These funds are used to support administrative functions of the Chancellor and those services provided for both institutions.

**DESIGNATED FUNDS:**

These funds are provided through decisions of the Texas Tech University Board of Regents. These funds are provided directly by the component institutions, Texas Tech University and Texas Tech University Health Sciences Center, or through the assessment of a fee, e.g. Facilities Planning and Construction. These funds support the administrative functions of the Chancellor and those services provided for both institutions.

**TEXAS TECH UNIVERSITY  
SYSTEM ADMINISTRATION  
FY 2003  
EDUCATION AND GENERAL BUDGET  
SOURCE OF FUNDS  
APPROPRIATIONS**



TEXAS TECH UNIVERSITY  
SYSTEM ADMINISTRATION

COMPARISON OF EDUCATION AND GENERAL FUNDS

INCOME BUDGET

<u>ITEM</u>	<u>FY 2002</u>	<u>FY 2003</u>
GENERAL REVENUE APPROPRIATIONS:		
GENERAL REVENUE - ARTICLE III	\$ 500,000	\$ 500,000
STATUTORY APPROPRIATION (PROPORTIONAL)	<u>140,613</u>	<u>140,613</u>
<b>SUB-TOTAL</b>	<u>\$ 640,613</u>	<u>\$ 640,613</u>
TRANSFERS		
TEXAS TECH UNIVERSITY	4,496,039	3,995,797
TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER	<u>3,882,387</u>	<u>3,450,715</u>
<b>SUB-TOTAL</b>	<u>\$ 8,378,426</u>	<u>\$ 7,446,512</u>
<b>TOTAL</b>	<u><u>\$ 9,019,039</u></u>	<u><u>\$ 8,087,125</u></u>
DOLLAR CHANGE		\$ (931,914)
PERCENT CHANGE		-10.33%

TEXAS TECH UNIVERSITY  
SYSTEM ADMINISTRATION

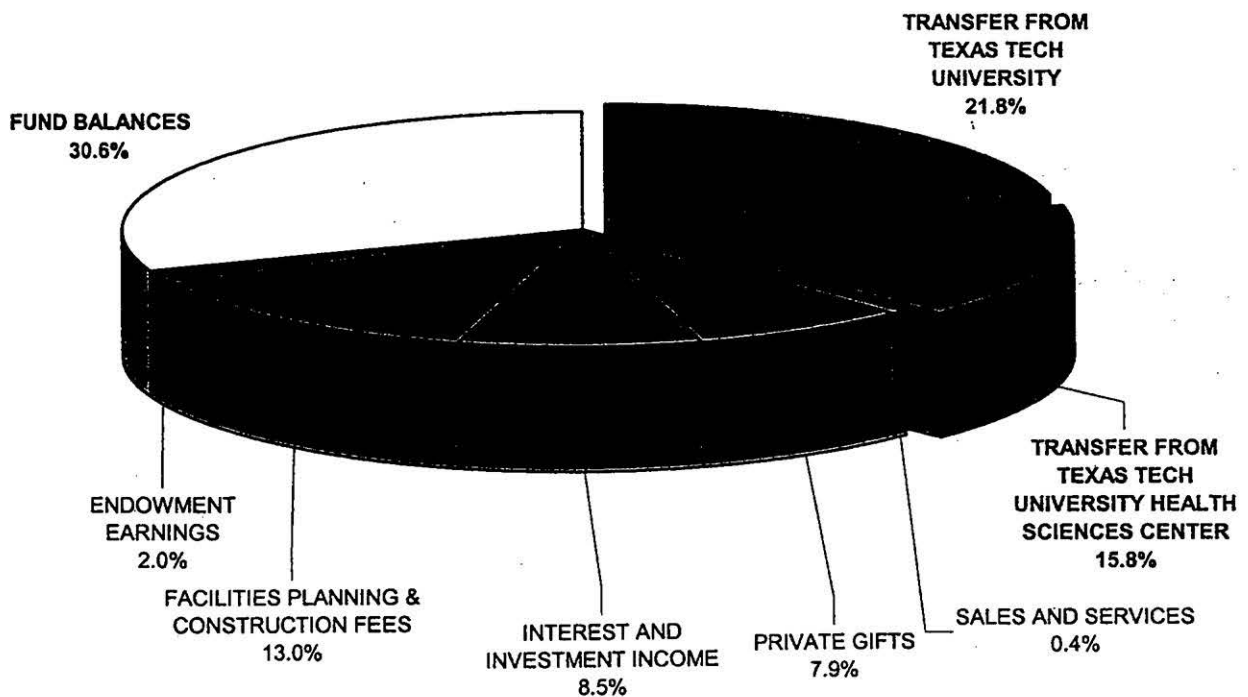
COMPARISON OF EDUCATION AND GENERAL FUNDS

EXPENSE BUDGET

<u>ITEM</u>	<u>FY 2002</u>	<u>FY 2003</u>
GENERAL ADMINISTRATION	\$ 5,235,549	\$ 4,410,596
INSTITUTIONAL ADVANCEMENT	1,080,782	1,058,273
TEXAS TECH POLICE	\$ 2,602,589	\$ 2,602,589
FACILITIES PLANNING AND CONSTRUCTION	\$ 84,452	\$ -
FRINGE BENEFITS	\$ 15,667	\$ 15,667
<b>TOTAL</b>	<b><u>\$ 9,019,039</u></b>	<b><u>\$ 8,087,125</u></b>
DOLLAR CHANGE		\$ (931,914)
PERCENT CHANGE		-10.33%



**TEXAS TECH UNIVERSITY  
SYSTEM ADMINISTRATION  
FY 2003  
DESIGNATED FUNDS BUDGET  
SOURCE OF FUNDS**



TEXAS TECH UNIVERSITY  
SYSTEM ADMINISTRATION

COMPARISON OF DESIGNATED FUNDS

INCOME BUDGET

<u>ITEM</u>	<u>FY 2002</u>	<u>FY 2003</u>
TRANSFERS:		
TEXAS TECH UNIVERSITY	\$ 2,407,278	\$ 1,925,029
TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER	<u>1,861,179</u>	<u>1,394,391</u>
<b>SUB-TOTAL</b>	<b><u>\$ 4,268,457</u></b>	<b><u>\$ 3,319,420</u></b>
ADDITIONAL REVENUES:		
SALES AND SERVICES	\$ 35,000	\$ 36,500
PRIVATE GIFTS	700,000	700,000
INTEREST AND INVESTMENT INCOME	750,000	750,000
FACILITIES PLANNING & CONSTRUCTION FEES	1,796,963	1,148,800
ENDOWMENT EARNINGS	<u>180,000</u>	<u>180,000</u>
<b>SUB-TOTAL</b>	<b><u>\$ 3,461,963</u></b>	<b><u>\$ 2,815,300</u></b>
<b>CURRENT YEAR REVENUE</b>	<b>\$ 7,730,420</b>	<b>\$ 6,134,720</b>
OTHER:		
FUND BALANCES	<u>2,178,436</u>	<u>2,703,600</u>
<b>TOTAL</b>	<b><u>\$ 9,908,856</u></b>	<b><u>\$ 8,838,320</u></b>
DOLLAR CHANGE		\$ (1,070,536)
PERCENT CHANGE		-10.80%

TEXAS TECH UNIVERSITY  
SYSTEM ADMINISTRATION

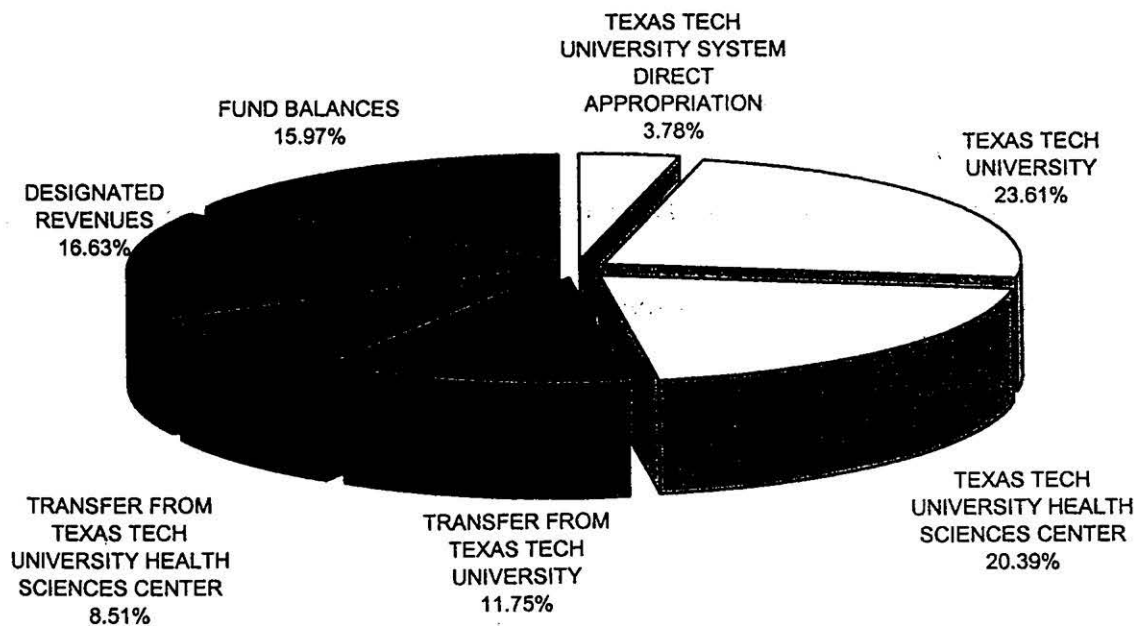
COMPARISON OF DESIGNATED FUNDS

EXPENSE BUDGET

<u>ITEM</u>	<u>FY 2002</u>	<u>FY 2003</u>
GENERAL ADMINISTRATION	\$ 4,044,122	\$ 3,816,555
INSTITUTIONAL ADVANCEMENT	3,336,524	2,509,287
FACILITIES PLANNING AND CONSTRUCTION	<u>\$ 2,528,210</u>	<u>\$ 2,512,478</u>
TOTAL	<u><u>\$ 9,908,856</u></u>	<u><u>\$ 8,838,320</u></u>
DOLLAR CHANGE		\$ (1,070,536)
PERCENT CHANGE		-10.80%

**TEXAS TECH UNIVERSITY  
SYSTEM ADMINISTRATION  
FY 2003  
SUMMARY OPERATING BUDGET  
SOURCE OF FUNDS**

**Education and General  
47.78% Total**



**Designated  
52.22% Total**

TEXAS TECH UNIVERSITY  
SYSTEM ADMINISTRATION

SUMMARY OPERATING BUDGET

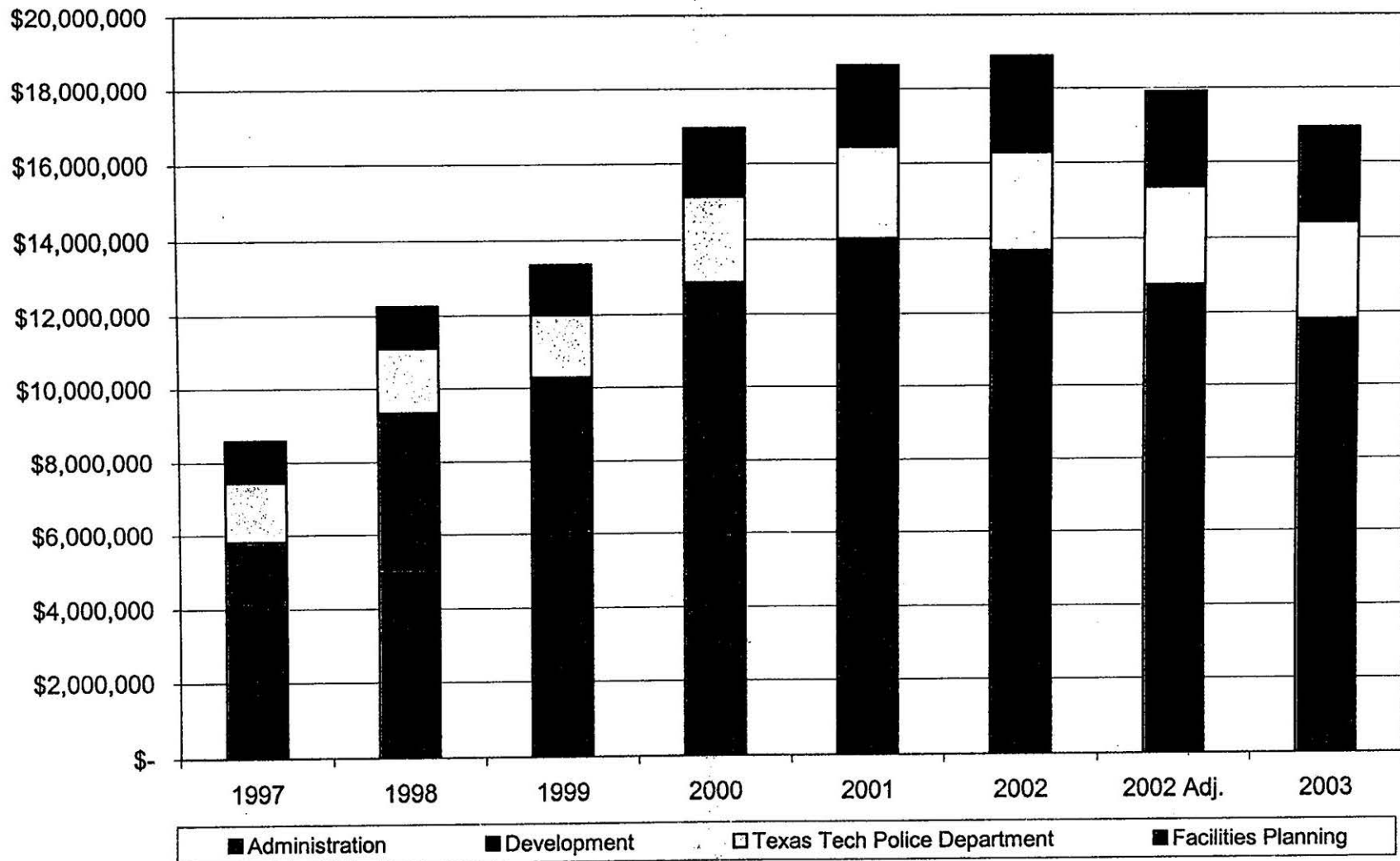
FOR FISCAL YEAR 2003

FUND	PROJECTED 09/01/2002 BALANCE	ESTIMATED INCOME	ESTIMATED EXPENSE	PROJECTED 09/01/2003 BALANCE
EDUCATIONAL AND GENERAL	\$ -	\$ 8,087,125	\$ 8,087,125	\$ -
DESIGNATED	3,667,000	6,134,720	8,838,320	\$ 963,400
TOTAL	<u>\$ 3,667,000</u>	<u>\$ 14,221,845</u>	<u>\$ 16,925,445</u>	<u>\$ 963,400</u>



**Texas Tech University  
System Administration**

**Total Budgeted Expenditures**



**TEXAS TECH UNIVERSITY**

**SUMMARY OPERATING BUDGET**

**FISCAL YEAR 2003**

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## DEFINITIONS

### EDUCATIONAL AND GENERAL FUNDS:

UNRESTRICTED FUNDS FOR ADMINISTRATION, INSTITUTIONAL EXPENSE, INSTRUCTION AND DEPARTMENTAL RESEARCH, PHYSICAL PLANT OPERATION, LIBRARIES AND OTHER ITEMS RELATED TO INSTRUCTION.

### DESIGNATED FUNDS:

UNRESTRICTED FUNDS WHICH HAVE BEEN INTERNALLY ALLOCATED FOR SPECIFIC PURPOSES (E.G., STUDENT SERVICES FEES, INSTITUTIONAL TUITION, ETC...).

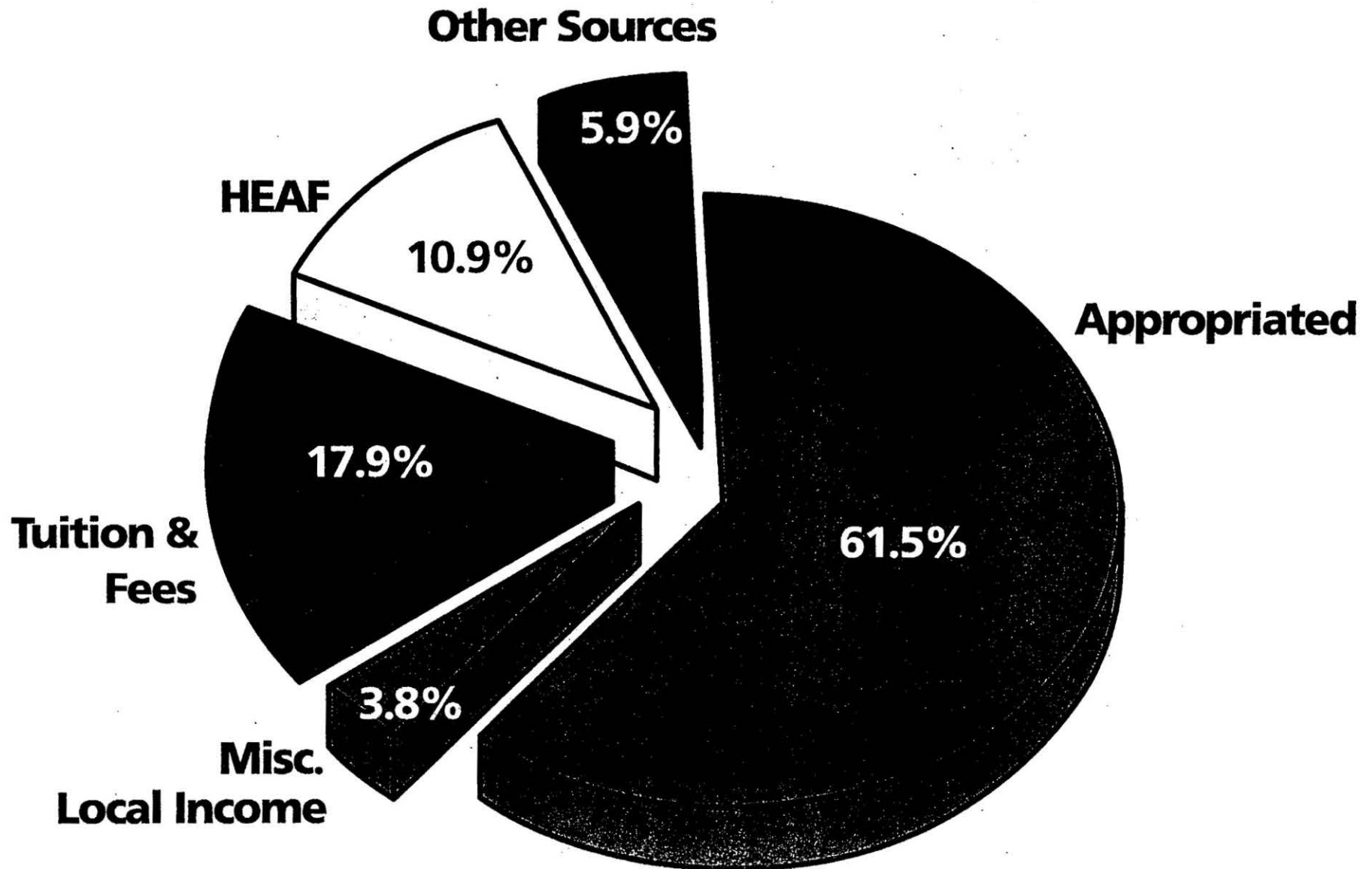
### AUXILIARY FUNDS:

THOSE FUNDS USED TO PROVIDE SERVICES FOR STUDENTS, FACULTY, AND STAFF WHICH GENERALLY CHARGE A FEE DIRECTLY RELATED TO THE COST OF THE SERVICE PROVIDED (E.G., ATHLETICS, RESIDENCE HALLS, FOOD SERVICE, UNIVERSITY CENTER, AND BOOKSTORE, ETC...). NO STATE FUNDS CAN BE USED TO SUPPORT AUXILIARY OPERATIONS.

### CURRENT RESTRICTED FUNDS:

FUNDS AVAILABLE FOR CURRENT PURPOSES, THE USE OF WHICH IS RESTRICTED BY THE DONOR/GRANTOR TO BE UTILIZED AS STIPULATED BY THE FUNDING SOURCE (E.G., RESEARCH GRANTS, SCHOLARSHIPS, EARNINGS FROM ENDOWMENTS, ETC...).

**FY 2003**  
**EDUCATIONAL AND GENERAL BUDGET**  
**SOURCE OF FUNDS**





# TEXAS TECH UNIVERSITY

## COMPARISON OF EDUCATIONAL AND GENERAL FUNDS

### INCOME BUDGET

<u>ITEM</u>	<u>FY 2002</u>	<u>FY 2003</u>
<b>GENERAL REVENUE APPROPRIATIONS:</b>		
GENERAL REVENUE - ARTICLE III	\$107,970,101	\$108,780,101
TASP FUNDING FROM COORDINATING BOARD	100,000	100,000
RESEARCH AND EXCELLENCE FUNDING	4,769,654	5,733,724
HB 658, TUITION REVENUE BONDS	0	1,760,092
NON-FACULTY SALARY INCREASE (2% PROPORTIONAL)	1,100,000	1,100,000
LONGEVITY	500,000	500,000
<b>NET GENERAL REVENUE</b>	<b>\$114,439,755</b>	<b>\$117,973,917</b>
HIGHER EDUCATION ASSISTANCE FUND	20,961,881	20,961,881
<b>SUB-TOTAL APPROPRIATED</b>	<b>\$135,401,636</b>	<b>\$138,935,798</b>
<b>OTHER EDUCATIONAL AND GENERAL FUNDS:</b>		
TUITION, NET	\$30,542,320	\$33,579,529
FEES	900,000	880,000
INDIRECT COST	5,474,000	5,754,000
INTEREST EARNINGS	3,125,195	3,100,000
MISCELLANEOUS	325,377	317,500
LESS: OASI	(1,852,000)	(1,852,000)
<b>SUB-TOTAL OTHER E&amp;G</b>	<b>\$38,514,892</b>	<b>\$41,779,029</b>
<b>TOTAL INCOME</b>	<b>\$173,916,528</b>	<b>\$180,714,827</b>
<b>OTHER SOURCES</b>		
TRANSFER FROM INSTITUTIONAL TUITION - DESIGNATED	7,555,540	8,555,540
UTILIZATION OF FUND BALANCE	2,853,828	2,823,810
RESERVE FOR UTILITIES	2,700,000	0
FROM CAPITAL RESERVES	1,089,067	0
<b>TOTAL FUNDING</b>	<b>\$188,114,963</b>	<b>\$192,094,177</b>

PERCENT CHANGE

2.1%

## COMPARISON OF EDUCATIONAL AND GENERAL FUNDS

## EXPENSE BUDGET

ITEM	FY 2002	FY 2003
TEXAS TECH UNIVERSITY SYSTEM	\$4,476,844	\$3,995,797
ADMINISTRATIVE SUPPORT		
INSTITUTIONAL SUPPORT	6,180,565	6,212,255
STUDENT SERVICES	2,884,262	3,134,028
ACADEMIC OPERATIONS SUPPORT	1,120,509	1,108,275
STAFF BENEFITS	5,320,508	5,658,291
FACULTY SALARIES	71,911,338	71,576,587
DEPARTMENTAL OPERATING EXPENSE	14,632,641	14,621,525
INSTRUCTIONAL ADMINISTRATION	5,284,935	6,246,988
LIBRARY	9,163,940	9,179,705
PHYSICAL PLANT	11,833,330	11,806,404
UTILITIES	13,068,987	11,868,987
ORGANIZED RESEARCH SERVICES AND ENHANCEMENT	4,666,923	5,017,106
RESEARCH AND EXCELLENCE FUNDING	4,769,654	5,733,724
GRADUATE TUITION	2,284,206	3,501,583
OTHER	2,636,776	2,533,294
SPECIAL ITEMS - EXISTING	9,490,829	10,002,434
SPECIAL ITEMS - NEW	500,000	0
DEBT SERVICE	4,982,464	4,887,130
HB 658, TUITION REVENUE BONDS	0	1,760,092
MAJOR REPAIRS AND REHABILITATION	2,250,000	2,250,000
NEW CONSTRUCTION	8,730,000	8,730,000
SCHOLARSHIPS	1,926,252	2,269,972
<b>TOTAL</b>	<b>\$188,114,963</b>	<b>\$192,094,177</b>
PERCENT CHANGE		2.1%

## TEXAS TECH UNIVERSITY

### COMPARISON OF EDUCATIONAL AND GENERAL FUNDS

#### SPECIAL ITEMS BUDGET

<u>ITEM</u>	<u>FY 2002</u>	<u>FY 2003</u>
MASTERS OF SOCIAL WORK	\$50,000	\$50,000
AGRICULTURAL RESEARCH	\$2,725,133	2,725,259
ENGINEERING RESEARCH	1,162,648	1,163,687
EMERGING TECHNOLOGIES	317,180	312,895
JUNCTION	413,257	412,033
SMALL BUSINESS	1,006,950	1,008,389
MUSEUMS AND CENTERS	2,934,104	2,944,020
INTERNATIONAL TRADE CENTER	638,020	638,517
FINANCIAL RESPONSIBILITY	243,537	243,537
MITC-FREDERICKSBURG	500,000	503,797
<b>TOTAL</b>	<b>\$9,990,829</b>	<b>\$10,002,134</b>
PERCENT CHANGE		0.1%

# TTU E&G CAPITAL FINANCING PLAN

April 25, 2002  
FY 1998 - 2005

25-Apr-02

	FY 1998	FY 1999	Tot Rev	Account Number	FY 2000	FY 2001	Tot Rev	FY 2002	FY 2003	FY 2004	FY 2005	TOTALS
LIBRARY	2,812,257	2,952,870		0231-44-3504	3,100,513	3,255,539	77th leg. Approp	3,418,318	3,669,231	3,768,693	3,957,128	30,601,808
SOUTHWEST COLLECTION	19,051	20,004		0231-44-3627	21,004	22,054		23,157	24,315	25,530	26,807	181,822
LAW LIBRARY	552,290	579,904		0240-44-0895	606,900	639,345		671,312	704,877	740,121	777,127	5,273,876
SUPPLEMENTAL LAW LIBRARY				0240-44-0895	120,000	120,000		120,000	120,000	120,000	120,000	720,000
ENGLISH/PHILOSOPHY/EDUCATION	6,000,000	3,000,000	24,900,000	0225-42-1642	3,822,543	4,055,629		1,471,828	500,000			43,800,000
ANIMAL SCIENCE (PLANNED)				0225-42-1750	160,000	0		1,071,715	5,943,543	5,590,242	4,234,500	17,000,000
EXP SCIENCE - Phase I	250,000	0	1,800,000	0225-42-1684	690,000	2,230,396		2,037,396	2,037,396	2,037,396	1,917,416	13,000,000
EXP SCIENCE - Phase II					\$350,000		23,847,000				0	23,897,000
ANIMAL SCIENCE (Furnishing)								463,781				463,781
ENGINEERING (STAGE I)		590,840			284,360							875,000
ENGINEERING (PLANNED)				0236-44-3804		725,000		900,000				1,625,000
ART (PLANNED)	343,543	525,000		225-42-1696	428,543	1,140,914		1,000,000	500,000	500,000	969,899	5,407,899
COBA Planning				0225-42-1749	200,000			125,000				325,000
ENGLISH/PHILOSOPHY/EDUCATION- furnishings						0		1,000,000	0			1,000,000
IRRIGATION WELLS		235,000										235,000
IRRIGATION WELLS - PHSE I, II		65,000										65,000
FINE ARTS COMPLEX		50,000		0225-42-1710		(50,000)						0
WOMEN'S GYM RELOCATION		50,000		0225-42-1709								50,000
WEST HALL ADDITION			3,300,000									3,300,000
COMPUTER SCIENCE RENOVATION				0225-49-8449		60,000						60,000
HYPER FACILITY				0225-42-1768		200,000						200,000
PERFORMING ARTS CENTER				0225-42-1763		120,000		0				120,000
LAW LIBRARY SHELVING				0240-44-0895		250,000						250,000
LAW LOW INCOME CLINIC					0	100,000					0	100,000
OTHER MAJOR PROJECTS										353,301	1,289,043	11,842,344
MINOR AND INTERMEDIATE		500,000		0225-49-8245	500,000	500,000		500,000	500,000	500,000	500,000	3,500,000
VISITOR'S CENTER RELOCATION	50,000	1,925,000		0225-42-1685	725,000							2,700,000
RELOCATION OF ASTRONOMY FAC	50,000			0225-42-1686								50,000
RELOCATION OF PLANT SCIENCES				NEW				200,000				200,000
CENTRAL CAMPUS LANDSCAPING		100,000		0225-49-2428	300,000	0						400,000
DEFERRED MAINTENANCE	1,775,000	2,000,000		0225-42-8310	(152,000)	1,879,261		1,750,000	1,500,000	1,500,000	1,500,000	16,652,261
PARKING IMPROVEMENTS					167,000							167,000
ADMINISTRATION BLDG	0	0		0225-42-1689	1,600,000							1,600,000
ADMINISTRATION BLDG	200,000			0225-42-1623								200,000
VISITOR'S CENTER RELOCATION	0	0										0
BA BLDG PAINT SUP		380,100										380,100
RESERVE (MR&R PROJECTS, ETC.)												754,479
DEBT SERVICE												0
ADMINISTRATIVE UNITS	135,000	135,000			182,847	398,484		135,000	135,000	135,000	135,000	1,567,809
PHYSICAL PLANT	458,200	458,200		0243-45-2245	458,200	458,200		458,200	458,200	458,200	458,200	4,582,000
COMPUTING	400,000	400,000		0228-45-6004	400,000	400,000		400,000	400,000	400,000	400,000	4,000,000
ACADEMIC UNITS	2,844,000	2,844,000		0242-44-3618	2,844,000	2,844,000		3,380,219	2,844,000	2,844,000	2,844,000	29,132,219
ACADEMIC AFFAIRS				0242-44-3618		181,000						181,000
EDUCATION				0235-44-3603		30,000						30,000
BIOLOGY REMODEL				0225-49-8448		53,000						53,000
ARTS AND SCIENCES				0233-44-3581		90,000						90,000
TECHNOLOGY	548,151	493,338			444,003	399,502		359,842	323,670	275,120	233,857	4,363,068
MISCELLANEOUS PROJ						12,721						716,484
MADDOX CHAIR	400,000											1,000,000
MUSEUM - CHATTERJEE	175,000	175,000										500,000
TECHNOLOGY CLASSROOMS		200,000		0225-44-0796	200,000							400,000
TASP RENOVATION	32,000											32,000
POLICE	25,000											25,000
UNALLOCATED NEW HEAF - RESERVE						0		451,315	517,309	517,309	517,309	2,003,242
TO BE ALLOCATED						0		500,000	500,000	500,000	500,000	2,000,000
COMMITTED TO PRIOR YEARS					791,989	0		0	0	0	0	791,989
UNALLOCATED	(182,407)	(791,969)			(1,129,796)	848,837		625,000	364,340	696,968	681,795	4,536,688
TOTALS	16,887,085	16,887,085	30,000,000		16,887,085	20,961,881	23,847,000	20,961,881	20,961,881	20,961,881	20,961,881	242,891,830

Major Projects

Board Minutes  
May 9-10, 2002  
Attachment 5, page 8

# TEXAS TECH UNIVERSITY

## COMPARISON OF AUXILIARY FUNDS

### INCOME BUDGET

<u>ITEM</u>	<u>FY 2002</u>	<u>FY 2003</u>
INTERCOLLEGIATE ATHLETICS	\$24,763,393	\$27,604,020
UNIVERSITY BOOKSTORE	400,000	653,355
UNIVERSITY CENTER	6,716,200	5,873,366
HIGH TECH - COMPUTER STORE	4,000,000	3,991,000
RESIDENCE HALLS	32,461,425	34,130,000
HOUSING & DINING - U.C. FOOD	<u>1,800,000</u>	<u>1,850,000</u>
<b>SUB-TOTAL</b>	<b><u>\$70,141,018</u></b>	<b><u>\$74,101,741</u></b>
OTHER:		
SALES AND SERVICE	\$3,745,792	\$3,900,021
INVESTMENT REVENUES	3,229,463	3,500,829
VARIOUS	<u>4,230,830</u>	<u>5,387,161</u>
<b>SUB-TOTAL</b>	<b><u>\$11,206,085</u></b>	<b><u>\$12,788,011</u></b>
<b>TOTAL</b>	<b><u>\$81,347,103</u></b>	<b><u>\$86,889,752</u></b>
PERCENT CHANGE		6.8%



**TEXAS TECH UNIVERSITY**  
**COMPARISON OF AUXILIARY FUNDS**  
**EXPENSE BUDGET**

<u>ITEM</u>	<u>FY 2002</u>	<u>FY 2003</u>
INTERCOLLEGIATE ATHLETICS	\$24,763,393	\$27,604,020
UNIVERSITY BOOKSTORE	558,355	653,355
UNIVERSITY CENTER	6,500,676	5,787,883
HIGH TECH - COMPUTER STORE	4,000,000	3,959,403
RESIDENCE HALLS	32,473,229	34,017,160
HOUSING AND DINING - U.C. FOOD	1,800,000	1,850,000
OTHER:		
VARIOUS	<u>11,579,811</u>	<u>12,611,181</u>
<b>TOTAL</b>	<b><u>\$81,675,464</u></b>	<b><u>\$86,483,002</u></b>
PERCENT CHANGE		5.89%

# TEXAS TECH UNIVERSITY

## COMPARISON OF CURRENT RESTRICTED FUNDS

### INCOME AND EXPENSE BUDGETS

<u>ITEM</u>	<u>FY 2002</u>	<u>FY 2003</u>
<b>SOURCES OF FUNDS</b>		
FEDERAL PROGRAMS	\$35,138,800	\$37,542,800
STATE PROGRAMS	3,282,700	3,397,000
PRIVATE	21,567,700	23,280,700
ALL OTHERS	1,886,000	1,858,800
<b>TOTAL FUNDS FROM ALL SOURCES</b>	<b>\$61,875,200</b>	<b>\$66,079,300</b>

#### DISTRIBUTION BY COLLEGE OR AREA:

AGRICULTURAL SCIENCES	\$5,363,900	\$5,850,000
ARTS AND SCIENCES	7,753,000	8,515,000
BUSINESS ADMINISTRATION	2,309,500	2,344,100
EDUCATION	1,573,600	1,669,900
ENGINEERING	12,027,500	12,139,000
ARCHITECTURE	77,000	76,500
GRADUATE SCHOOL	37,100	36,300
HUMAN SCIENCES	4,205,400	4,850,000
SCHOOL OF LAW	110,800	121,800
CONTINUING EDUCATION	800,000	850,000
INTERDISCIPLINARY STUDIES	6,924,100	7,650,000
MUSEUM	708,800	771,800
LIBRARIES	315,700	331,700
FEDERAL FINANCIAL AID	10,500,000	10,600,000
SCHOLARSHIPS AND FELLOWSHIPS	5,650,000	6,500,000
TEXTILE RESEARCH CENTER	1,095,200	1,121,200
ADMINISTRATIVE AND OTHER	2,423,600	2,652,000
<b>TOTAL DISTRIBUTION TO ALL COLLEGES AND AREAS</b>	<b>\$61,875,200</b>	<b>\$66,079,300</b>

PERCENT CHANGE 6.8%

# TEXAS TECH UNIVERSITY

## COMPARISON OF DESIGNATED FUNDS

### INCOME BUDGET

<u>ITEM</u>	<u>FY 2002</u>	<u>FY 2003</u>
<b>STUDENT FEES:</b>		
STUDENT SERVICE FEES	\$5,440,925	\$5,300,000
STUDENT HEALTH SERVICES	2,700,000	3,100,000
COURSE FEE	2,634,704	3,358,977
INSTITUTIONAL TUITION	27,507,508	28,807,508
INFORMATION TECHNOLOGY FEES	4,706,779	6,558,970
OTHER FEES	8,746,024	9,990,523
<b>ADDITIONAL REVENUES:</b>		
INTEREST AND INVESTMENT INCOME	5,312,180	5,187,180
SALES AND SERVICES	38,179,128	36,988,019
OTHER	2,719,897	3,275,844
OTHER PROGRAM FEES	<u>10,021,775</u>	<u>11,876,923</u>
<b>SUB-TOTAL</b>	<b><u>\$107,968,920</u></b>	<b><u>\$114,443,944</u></b>
LESS: SALES TO OTHER DEPARTMENTS	(31,396,193)	(30,204,615)
<b>TOTAL</b>	<b><u>\$76,572,727</u></b>	<b><u>\$84,239,329</u></b>
PERCENT CHANGE		10.01%

# TEXAS TECH UNIVERSITY

## COMPARISON OF DESIGNATED FUNDS

### EXPENSE BUDGET

<u>ITEM</u>	<u>FY 2002</u>	<u>FY 2003</u>
STUDENT SERVICES ACTIVITIES	\$5,344,059	\$5,325,000
INFORMATION TECHNOLOGY FEE	1,979,708	3,461,374
COURSE FEE	2,662,704	3,358,977
<b>SUB-TOTAL</b>	<b>\$9,986,471</b>	<b>\$12,145,351</b>
<b>INSTITUTIONAL SUPPORT</b>		
INSTITUTIONAL TUITION ACTIVITIES	\$27,507,508	\$28,807,508
COMMUNICATION SERVICES	9,257,269	9,140,542
CENTRAL HEATING & COOLING PLANT #1	11,760,073	10,758,015
CENTRAL HEATING & COOLING PLANT #2	4,730,705	4,299,454
ALL OTHER	14,690,881	17,305,669
<b>SUB-TOTAL</b>	<b>\$67,946,436</b>	<b>\$70,311,188</b>
<b>INSTRUCTIONAL RESEARCH &amp; PUBLIC SERVICE:</b>		
CENTER FOR PROFESSIONAL DEVELOPMENT	\$975,910	\$979,799
ALL OTHER	15,983,566	16,220,332
<b>SUB-TOTAL</b>	<b>\$16,959,476</b>	<b>\$17,200,131</b>
<b>OTHER SUPPORT:</b>		
STUDENT HEALTH SERVICES	\$2,675,000	\$3,050,000
TEXAS PUBLIC EDUCATIONAL GRANTS	4,237,961	4,289,015
ALL OTHER	9,112,170	10,900,696
<b>SUB-TOTAL</b>	<b>\$16,025,131</b>	<b>\$18,239,711</b>
<b>TOTAL</b>	<b>\$110,917,514</b>	<b>\$117,896,381</b>
LESS: SALES TO OTHER DEPARTMENTS	(31,396,193)	(30,204,615)
<b>TOTAL</b>	<b>\$79,521,321</b>	<b>\$87,691,766</b>
PERCENT CHANGE		10.3%

**TEXAS TECH UNIVERSITY**  
**COMPARISON OF SERVICE DEPARTMENTS**

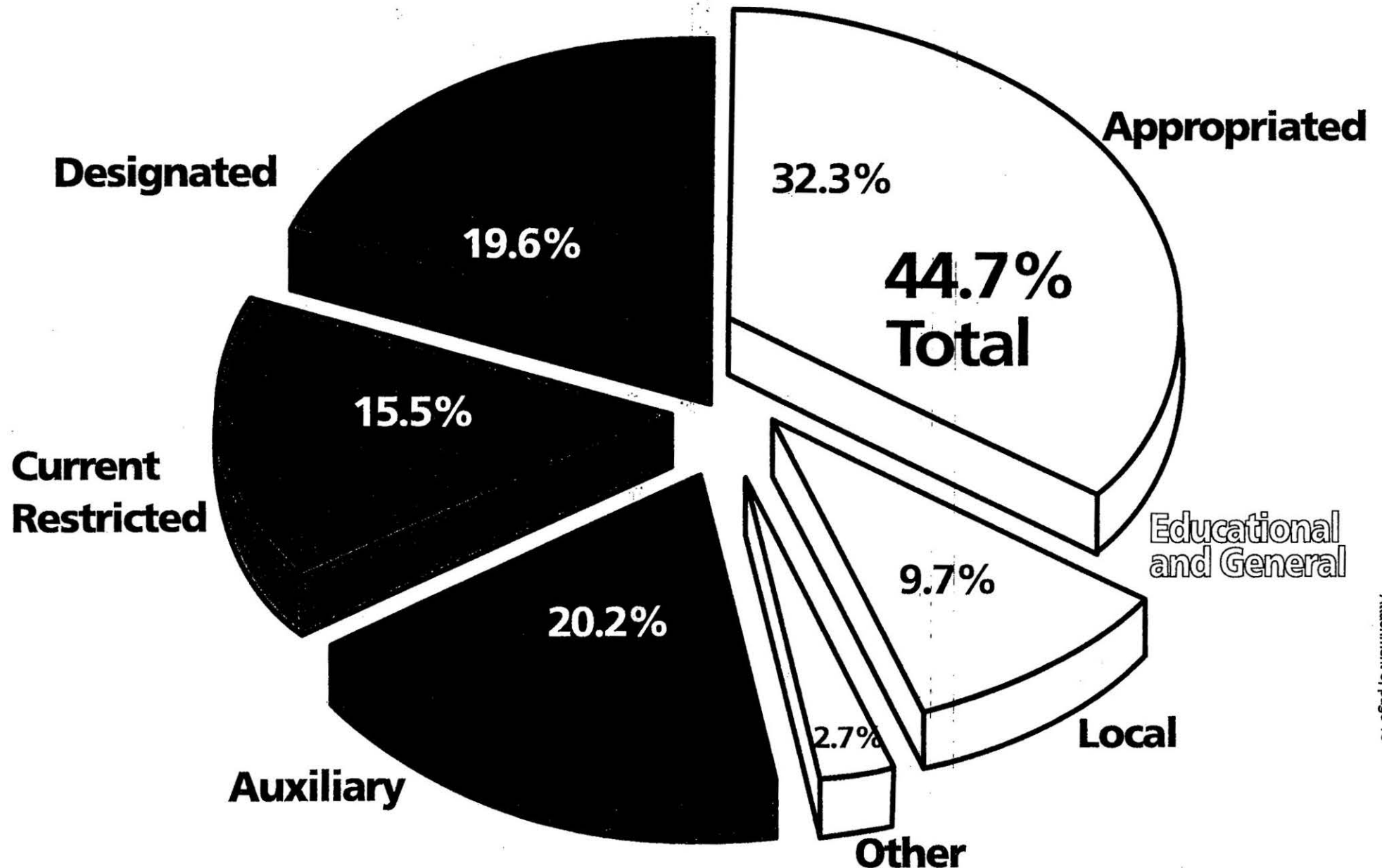
<u>ITEM</u>	<u>FY 2002</u>	<u>FY 2003</u>
ACADEMIC AND ADMINISTRATIVE COMPUTING	8,448,118	8,811,224
PHYSICAL PLANT SERVICES	9,461,578	9,461,578
PRINTECH	1,887,954	1,887,954
ALL OTHER	<u>1,579,130</u>	<u>1,587,030</u>
<b>TOTAL</b>	<b><u>\$21,376,780</u></b>	<b><u>\$21,747,786</u></b>
PERCENT CHANGE		1.7%



FY 2003

# SUMMARY OPERATING BUDGET

## SOURCE OF FUNDS



**TEXAS TECH UNIVERSITY**  
**SUMMARY OPERATING BUDGET**  
**FOR FISCAL YEAR 2003**

FUND	PROJECTED	ESTIMATED INCOME	ESTIMATED EXPENSE	PROJECTED
	9/1/2002 BALANCE			8/31/2003 BALANCE
EDUCATIONAL & GENERAL	\$9,986,657	\$189,270,367	\$192,094,177	\$7,162,847
AUXILIARY	8,762,117	86,889,752	86,483,002	9,168,867
CURRENT RESTRICTED	700,000	66,079,300	66,079,300	700,000
DESIGNATED	55,823,598	84,239,329	87,691,766	52,371,161
<b>TOTAL</b>	<b>\$75,272,372</b>	<b>\$426,478,748</b>	<b>\$432,348,245</b>	<b>\$69,402,875</b>

SERVICE DEPARTMENTS	\$1,127,316	\$21,747,786	\$21,747,786	\$1,127,316
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## TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER

Office of the Executive Vice President

Lubbock, Texas 79430  
(806) 743-3080  
Fax (806) 743-2910

May 1, 2002

Members of the Board of Regents and Chancellor  
Texas Tech University Health Sciences Center  
Lubbock, Texas 79430

Dear Members of the Board and Chancellor Smith,

Pursuant to Section 07.04.4(b), *Regents' Rules*, a written certification by the chief fiscal officer that funds are available is required for any budget prior to approval by the Board of Regents.

I certify that the Fiscal Year 2003 Health Sciences Center Operating Budget is within the total funds available to the Health Sciences Center for operations.

The total estimated operating income is \$394,508,715 with reappropriations of \$1,104,342 and use of prior year fund balance of \$3,472,357. The estimated expenditures total \$399,085,414.

In conclusion, I recommend approval of the Fiscal Year 2003 Operating Budget for the Texas Tech University Health Sciences Center.

Sincerely,

A handwritten signature in cursive script that reads "Elmo M. Cavin".

Elmo M. Cavin  
Executive Vice President

**TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER**

*Fiscal Year 2003  
Operating Budget Summary*

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## DEFINITION OF FUNDS

### **EDUCATIONAL AND GENERAL FUNDS (E & G)**

Educational and general funds are comprised of tax (General Revenue) funds in the state treasury and funds collected locally (Local E & G) by the Health Sciences Center from students and other sources for specific purposes. An example of Local E & G is tuition and student fees collected for educational purposes. General revenue is appropriated to the Health Sciences Center by the State Legislature for each year of the biennium.

### **DESIGNATED FUNDS**

Designated funds represent resources internally allocated by the Board of Regents and budgeted for purposes so designated by the Board. These funds provide support for current operations and compliment state appropriations in fulfilling the educational missions of the institution. The clinics operated by the Health Sciences Center are examples of designated funds.

### **CURRENT RESTRICTED FUNDS**

Current restricted funds include all government, public, state and private grants, contracts, and cooperative agreements for the furnishing of goods and services of an instructional, research, or public service nature. These funds are restricted to the stipulations agreed upon when made available to the Health Sciences Center. An example of current restricted funds is resident support agreements with the teaching hospitals at each campus.

### **AUXILIARY FUNDS**

An auxiliary enterprise is an entity that exists predominantly to furnish goods or services to students, faculty, or staff, and charges a fee directly related to, although not necessarily equal to, the cost of goods and services. An example of an auxiliary enterprise for the Health Sciences Center is the Traffic and Parking operation.

### **SERVICE DEPARTMENT FUNDS**

Service departments are activities which are operated primarily to provide services to other departments and which operate as revolving funds to cover their costs. Printing and copying services are examples of service departments.

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER  
EDUCATIONAL AND GENERAL FUNDS  
BUDGET SUMMARY

	FY 2002	FY 2003	% CHANGE
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SOURCES OF FUNDS

GENERAL REVENUE

General Appropriations Act	\$ 87,365,123	\$ 86,987,769
Higher Education Assistance Funds (HEAF)	7,735,000	7,735,000
House Bill 658, Tuition Revenue Bonds		4,978,195
Non-Faculty Salary Increase	600,000	720,000
Longevity Increase	250,000	174,008

**TOTAL GENERAL REVENUE** 95,950,123 100,594,972 4.84%

LOCAL EDUCATIONAL AND GENERAL INCOME

Tuition and Fees	5,343,537	6,343,954
Sales and Service	15,000	15,000
Indirect Cost Recovery	1,600,000	2,000,000
Interest Earnings	800,000	650,000
Miscellaneous Income	15,000	15,000

**TOTAL LOCAL EDUCATIONAL AND GENERAL INCOME** 7,773,537 9,023,954 16.09%

OTHER SOURCES

Advanced Technology / Advanced Research Program	29,000	365,000
Salary Lapses	417,625	420,000
Transfer from Institutional Tuition	600,000	250,000

**TOTAL OTHER SOURCES** 1,046,625 1,035,000

**TOTAL SOURCES OF FUNDS** \$ 104,770,285 \$ 110,653,926 5.62%

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER  
EDUCATIONAL AND GENERAL FUNDS  
BUDGET SUMMARY

	FY 2002	FY 2003	% CHANGE
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ESTIMATED EXPENDITURES

Texas Tech University System Administrative Support	3,882,387	3,450,715	
Institutional Support	6,617,173	7,240,305	
Student Services	518,445	521,699	
Library	2,539,154	2,547,922	
Information Technology	3,049,679	3,067,715	
Medical Education			
Lubbock			
Basic Sciences	5,393,656	5,396,056	
Clinical	12,656,083	12,701,174	
Amarillo	4,180,298	4,189,149	
Odessa	1,946,287	1,951,502	
El Paso	7,028,686	7,052,491	
Nursing Education	3,404,332	3,444,560	
Allied Health Education			
Lubbock	3,128,797	3,481,794	
Amarillo	863,851	701,083	
Odessa	1,394,602	1,246,563	
Graduate School for Biomedical Education	1,008,600	1,025,228	
Pharmacy Education	7,239,761	7,944,909	
Research	3,225,073	3,233,815	
Infrastructure Support	12,075,059	12,177,798	
Staff Benefits	1,590,000	1,330,000	

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER  
EDUCATIONAL AND GENERAL FUNDS  
BUDGET SUMMARY

	FY 2002	FY 2003	% CHANGE
Special Items			
TPEG	708,635	747,000	
Medical Loans	61,805	62,400	
Tuition Revenue Bond	2,490,502	7,113,239	
S. Texas Border Region Health Professional Education	1,011,661	901,042	
Border Health Academic Expansion	4,549,106	4,557,917	
Border Health Academic Operations Support	449,620	451,346	
Border Health Resident Support	413,579	413,579	
Integrated Health Network	1,570,779	1,589,773	
Family and Community Medicine Residency Program	645,201	645,201	
Midland Surgical Residency Program	143,964	143,964	
Midland Cardiology Residency Program	335,468	337,846	
Office of Rural Health	1,067,920	1,067,920	
Diabetes Research Center	300,000	300,000	
El Paso Medical	1,516,122	1,518,221	
Advanced Technology / Advanced Research Program	29,000	365,000	
Higher Education Assistance Funds	<u>7,735,000</u>	<u>7,735,000</u>	
<b>TOTAL ESTIMATED EXPENDITURES</b>	<b><u>\$ 104,770,285</u></b>	<b><u>\$ 110,653,926</u></b>	<b>5.62%</b>

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER  
HIGHER EDUCATION ASSISTANCE FUNDS  
FY 2003 - 2005

	FY 2003	FY 2004	FY 2005	Total
<b>REVENUE</b>	<b>\$ 7,735,000</b>	<b>\$ 7,735,000</b>	<b>\$ 7,735,000</b>	<b>\$ 23,205,000</b>
<b>PROJECTS</b>				
Library Acquisition Support	1,200,000	1,200,000	1,200,000	3,600,000
Deferred Maintenance	700,000	700,000	700,000	2,100,000
TTU Administrative Computing Support	200,000	200,000	200,000	600,000
Academic Classroom Building - Lubbock	2,817,940			2,817,940
Roof Replacement - Lubbock	738,735	1,261,265		2,000,000
3rd Floor - Clinical Education - El Paso	815,325			815,325
Renovate 5B - Lubbock	562,500	1,125,000		1,687,500
Renovate Clinic/Library & Student Svs - Ama.	600,000	2,300,000		2,900,000
Master Plan Infrastructure - Lubbock	100,500	948,735	3,950,765	5,000,000
Campus Master Plan-FPC - Lubbock			1,684,235	1,684,235
<b>TOTAL PROJECTS</b>	<b>\$ 7,735,000</b>	<b>\$ 7,735,000</b>	<b>\$ 7,735,000</b>	<b>\$ 23,205,000</b>

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER  
DESIGNATED FUNDS  
BUDGET SUMMARY

	FY 2002	FY 2003	% CHANGE
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SOURCES OF FUNDS

Medical Practice Income Plan			
Estimated Income	\$ 105,752,657	\$ 112,471,399	
Lapsed Salaries		319,342	
Use of Fund Balance	1,858,074	983,692	
<b>Total Medical Practice Income Plan</b>	<b>107,610,731</b>	<b>113,774,433</b>	
Nursing Income Plan			
Estimated Income	293,703	302,265	
<b>Total Nursing Income Plan</b>	<b>293,703</b>	<b>302,265</b>	
Allied Health Income Plan			
Estimated Income	195,000	195,000	
<b>Total Allied Health Income Plan</b>	<b>195,000</b>	<b>195,000</b>	
Pharmacy Income Plan			
Estimated Income	3,063,727	4,008,136	
Use of Fund Balance	242,218		
<b>Total Pharmacy Income Plan</b>	<b>3,305,945</b>	<b>4,008,136</b>	
General Designated Funds			
Estimated Income	16,305,316	17,167,056	
Use of Fund Balance	4,133,571	2,488,665	
<b>Total General Designated Funds</b>	<b>20,438,887</b>	<b>19,655,721</b>	
Self Insurance Fund			
Estimated Income	1,033,933	1,033,933	
<b>Total Self Insurance Fund</b>	<b>1,033,933</b>	<b>1,033,933</b>	
<b>TOTAL SOURCES OF FUNDS</b>	<b>\$ 132,878,199</b>	<b>\$ 138,969,488</b>	<b>4.58%</b>

ESTIMATED EXPENDITURES

Medical Practice Income Plan	\$ 107,610,731	\$ 113,774,433	
Nursing Income Plan	293,703	302,265	
Allied Health Income Plan	195,000	195,000	
Pharmacy Income Plan	3,305,945	4,008,136	
General Designated Funds	20,438,887	19,655,721	
Self Insurance Fund	1,033,933	1,033,933	
<b>TOTAL ESTIMATED EXPENDITURES</b>	<b>\$ 132,878,199</b>	<b>\$ 138,969,488</b>	<b>4.58%</b>



TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER  
CURRENT RESTRICTED FUNDS  
BUDGET SUMMARY

	FY 2002	FY 2003	% CHANGE
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SOURCES OF FUNDS

Federal	\$ 8,328,328	\$ 8,930,562	
State	88,210,879	87,624,593	
Local	30,581,299	36,210,823	
Private	14,738,044	16,234,022	

<b>TOTAL SOURCES OF FUNDS</b>	<b>\$ 141,858,550</b>	<b>\$ 149,000,000</b>	<b>5.03%</b>
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ESTIMATED EXPENDITURES

Health Care Systems	\$ 83,408,284	\$ 84,718,327	
Library	162,634	179,168	
School of Medicine	29,765,010	35,900,697	
Resident Support	23,938,945	24,255,806	
School of Allied Health	381,649	531,204	
School of Nursing	922,508	1,287,995	
School of Pharmacy	1,133,756	1,091,765	
Rural and Community Health	1,303,670	108,735	
Student Financial Aid	842,094	926,303	

<b>TOTAL ESTIMATED EXPENDITURES</b>	<b>\$ 141,858,550</b>	<b>\$ 149,000,000</b>	<b>5.03%</b>
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TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER  
AUXILIARY FUNDS  
BUDGET SUMMARY

	FY 2002	FY 2003	% CHANGE
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SOURCES OF FUNDS

Estimated Income	\$ 510,000	\$ 462,000	
<b>TOTAL SOURCES OF FUNDS</b>	<b>\$ 510,000</b>	<b>\$ 462,000</b>	<b>-9.41%</b>

ESTIMATED EXPENDITURES

Traffic and Parking	\$ 386,000	\$ 386,000	
Various Auxiliaries	124,000	76,000	
<b>TOTAL ESTIMATED EXPENDITURES</b>	<b>\$ 510,000</b>	<b>\$ 462,000</b>	<b>-9.41%</b>

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER  
SERVICE DEPARTMENTS  
BUDGET SUMMARY

	FY 2002	FY 2003	% CHANGE
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SOURCES OF FUNDS

Estimated Income	\$ 7,424,364	\$ 7,874,713	
Use of Fund Balance	74,833	475,174	

<b>TOTAL SOURCES OF FUNDS</b>	<b>\$ 7,499,197</b>	<b>\$ 8,349,887</b>	<b>11.34%</b>
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ESTIMATED EXPENDITURES

Printing and Copying Service	\$ 1,465,000	\$ 1,460,000	
Central Stores	919,848	1,006,689	
Staff Benefits	1,830,000	1,870,000	
Various Service Departments	3,284,349	4,013,198	

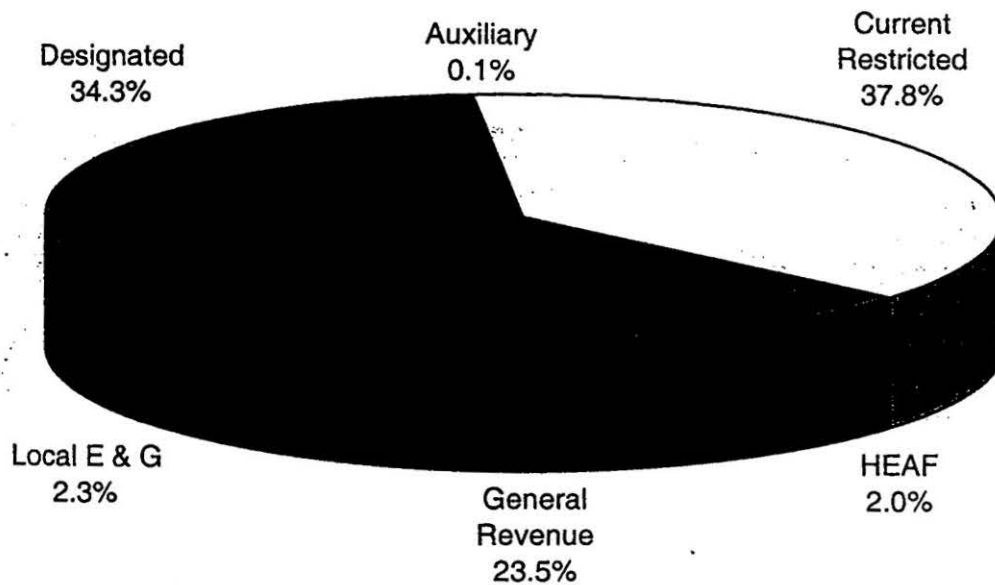
<b>TOTAL ESTIMATED EXPENDITURES</b>	<b>\$ 7,499,197</b>	<b>\$ 8,349,887</b>	<b>11.34%</b>
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TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER  
BUDGET SUMMARY  
FISCAL YEAR 2003

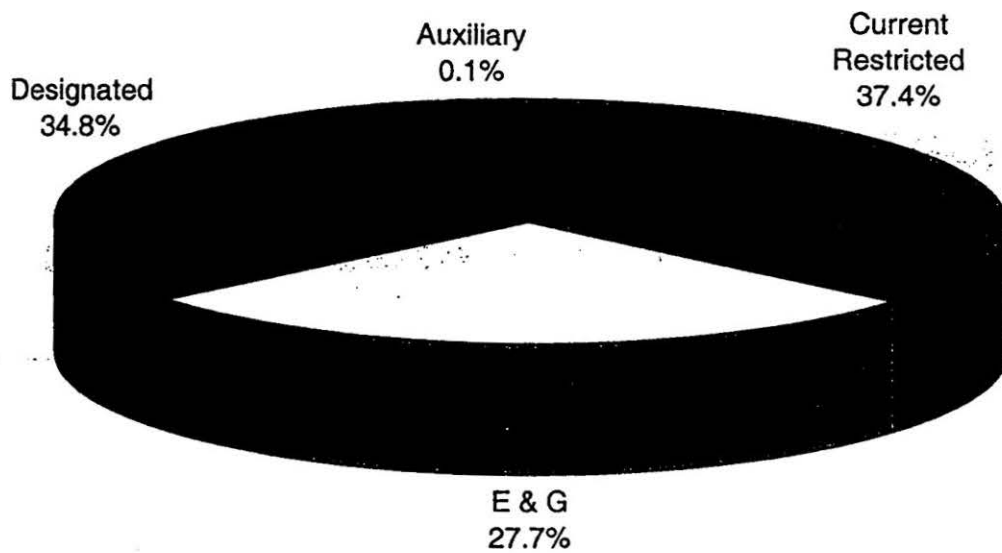
	Estimated 9/1/2002 Balance	Estimated Income	Estimated Expenditures	Reappropriations	Estimated 8/31/2003 Balance
Educational and General	\$ 900,000	\$ 109,868,926	\$ 110,653,926	\$ 785,000	\$ 900,000
Designated	49,000,000	135,177,789	138,969,488	319,342	45,527,643
Current Restricted	32,000,000	149,000,000	149,000,000		32,000,000
Auxiliary	600,000	462,000	462,000		600,000
<b>Total All Funds</b>	<b>\$ 82,500,000</b>	<b>\$ 394,508,715</b>	<b>\$ 399,085,414</b>	<b>\$ 1,104,342</b>	<b>\$ 79,027,643</b>

Service Departments \$ 8,000,000 \$ 7,874,713 \$ 8,349,887 \$ 7,524,826

# TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER FY 2003 REVENUE BUDGET



# TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER FY 2003 EXPENDITURE BUDGET





RISK CLASS	1985-87	1988	1989-90	1991	1992-93	1994	1995	1996
1 Faculty Residents	\$ 773.04 518.04	\$ 1,546.08 1,036.08	\$ 5,500.00 3,043.60	\$ 4,000.00 2,200.00	\$ 3,000.00 1,650.00	\$ 3,750.00 2,063.00	\$ 4,125.00 2,269.00	\$ 4,260.00 2,340.00
2 Faculty Residents	1,284.96 861.00	2,569.92 1,722.00	7,000.00 5,072.20	5,100.00 3,700.00	3,818.00 2,768.00	4,773.00 3,460.00	5,250.00 3,806.00	5,412.00 3,924.00
3 Faculty Residents	2,139.00 1,433.04	4,278.00 2,866.00	15,500.00 8,453.90	11,225.00 6,175.00	8,454.00 4,650.00	10,566.00 5,813.00	11,623.00 6,394.00	11,988.00 6,588.00
4 Faculty Residents	3,555.96 2,382.00	7,111.92 4,764.00	25,500.00 14,050.40	18,500.00 10,175.00	13,909.00 7,650.00	17,386.00 9,562.00	19,125.00 10,518.00	19,704.00 10,836.00
5 Faculty Resident	5,913.00 3,962.04	11,826.00 7,924.08	35,500.00 23,366.70	25,700.00 17,600.00	19,364.00 13,264.00	24,205.00 16,580.00	26,626.00 18,238.00	27,432.00 18,792.00
6 Faculty Residents			<sup>1</sup> 29,500.00 17,776.00					
Adjustments	0% 0%	100%	250% 0%	-27%	-25% 0%	25%	10%	3%

RISK CLASS	1997	1998	1999	2000	2001	2002	2003	
1 Faculty Residents	\$ 3,852.00 2,124.00	\$3,276.00 1,800.00	\$2,892.00 1,596.00	\$2,604.00 1,596.00	\$2,520.00 \$1,596.00	\$2,772.00 1,764.00	\$3,060.00 1,932.00	
2 Faculty Residents	4,896.00 3,552.00	4,176.00 3,024.00	3,672.00 2,664.00	3,312.00 2,664.00	\$3,204.00 \$2,664.00	3,516.00 2,940.00	3,876.00 3,240.00	
3 Faculty Residents	10,812.00 5,964.00	9,228.00 5,076.00	8,124.00 4,500.00	7,332.00 4,500.00	\$7,092.00 \$4,500.00	7,800.00 4,956.00	8,580.00 5,448.00	
4 Faculty Residents	17,784.00 9,792.00	15,180.00 8,352.00	13,368.00 7,380.00	12,072.00 7,380.00	\$11,664.00 \$7,380.00	12,828.00 8,124.00	14,112.00 8,964.00	
5 Faculty Resident	24,756.00 16,968.00	21,132.00 14,484.00	18,612.00 12,780.00	16,800.00 12,780.00	\$16,236.00 \$12,780.00	17,856.00 14,064.00	19,644.00 15,480.00	
6 Faculty Residents								
Adjustments	-10%	-15% <sup>2</sup>	-12% <sup>3</sup>	-10% <sup>4</sup>	-3% <sup>5</sup>	10%	10%	

<sup>1</sup>The premiums for risk class 6 began and ended in 1989.

<sup>2</sup>1998—6 month premium abatement amounting to \$3.5 million and \$2 million rebate for research.

<sup>3</sup>1999—Premiums abated for entire year amounting to \$5.5 million and \$5 million rebate for endowments.

<sup>4</sup>2000—Premiums abated for faculty abatement amounting to \$4 million and 10% reduction of faculty premiums.

<sup>5</sup>2001—Premiums abated for faculty amounting to \$3.8 million and 3% reduction of faculty premiums. \$2.5 million paid on DOJ settlement by Board of Regents.



# Legislative Appropriations Process

Board of Regents  
May 9-10, 2002

TEXAS TECH UNIVERSITY SYSTEM ADMINISTRATION

	For the Years Ending	
	August 31, 2002	August 31, 2003
Out of the General Revenue Fund:		
1. Educational and General State Support	\$ 500,000	\$ 500,000
Grand Total, TEXAS TECH UNIVERSITY SYSTEM ADMINISTRATION	<u>\$ 500,000</u>	<u>\$ 500,000</u>

1. Informational Listing of Appropriated Funds. The appropriations made above for Educational and General State Support are subject to the special and general provisions of this Act and include the following amounts for the purposes indicated.

Out of the General Revenue Fund:

A. Goal: INSTRUCTION/OPERATIONS

Provide instructional and operations support.

A.1.1. Strategy: SYSTEM OFFICE OPERATIONS \$ 500,000 \$ 500,000

Grand Total, TEXAS TECH UNIVERSITY  
SYSTEM ADMINISTRATION \$ 500,000 \$ 500,000

TEXAS TECH UNIVERSITY

	For the Years Ending	
	August 31, 2002	August 31, 2003
1. Educational and General State Support	\$ 146,559,547	\$ 147,406,765
Grand Total, TEXAS TECH UNIVERSITY	<u>\$ 146,559,547</u>	<u>\$ 147,406,765</u>
Method of Financing:		
General Revenue Fund	\$ 107,970,101	\$ 108,780,101
General Revenue Fund - Dedicated		
Estimated Board Authorized Tuition Increases Account No. 704	3,803,378	3,803,378
Estimated Other Educational and General Income Account No. 770	34,786,068	34,823,286
Subtotal, General Revenue Fund - Dedicated	<u>\$ 38,589,446</u>	<u>\$ 38,626,664</u>
Total, Method of Financing	<u>\$ 146,559,547</u>	<u>\$ 147,406,765</u>
Number of Full-Time-Equivalent Positions (FTE)- Appropriated Funds	3,240.0	3,240.0

TEXAS TECH UNIVERSITY  
(Continued)

Number of Full-Time-Equivalent Positions (FTE)-Total 5,298.1 5,298.1

Supplemental Appropriations Made in Riders: \$ 219,000 \$ 20,000

1. Informational Listing of Appropriated Funds. The appropriations made above for Educational and General State Support are subject to the special and general provisions of this Act and include the following amounts for the purposes indicated.

A. Goal: INSTRUCTION/OPERATIONS

Provide instructional and operations support.

Outcome (Results/Impact):

Percent of First-time, Full-time, Degree-seeking Freshmen Who Earn a Baccalaureate Degree within Six Academic Years	48%	48%
Retention Rate of First-time, Full-time, Degree-seeking Freshmen Students after One Academic Year	86.6%	88.1%
Administrative Cost as a Percent of Total Expenditures	11%	11%
State Pass Rate of Education EXCET Exam	76.4%	76.4%
Percent of Baccalaureate Graduates Who Are First Generation College Graduates	17.1%	17.1%
Percent of Lower Division Courses Taught by Tenured Faculty	34%	34%
State Licensure Pass Rate of Law Graduates	90.5%	90.5%
State Licensure Pass Rate of Engineering Graduates	89.6%	89.6%
Dollar Value of External or Sponsored Research Funds (in Millions)	27.6	29

A.1.1. Strategy: OPERATIONS SUPPORT	\$ 87,646,141	\$ 87,646,141
A.1.2. Strategy: TEACHING EXPERIENCE SUPPLEMENT	\$ 3,067,556	\$ 3,067,557
A.1.3. Strategy: STAFF GROUP INSURANCE PREMIUMS	\$ 3,608,498	\$ 4,056,674
A.1.4. Strategy: TEXAS PUBLIC EDUCATION GRANTS	\$ 3,971,790	\$ 3,976,631
A.1.5. Strategy: INDIRECT COST RECOVERY	\$ 2,386,966	\$ 2,386,966

Indirect cost recovery for research related activities.

A.1.6. Strategy: ORGANIZED ACTIVITIES	\$ 207,088	\$ 207,088
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A.1.7. Strategy: FORMULA HOLD HARMLESS	\$ 1,618,757	\$ 1,618,757
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A.1.8. Strategy: CAPITAL EQUITY & EXCELLENCE FUNDING	\$ 2,191,263	\$ 3,880,797
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Capital Equity and Excellence funding.

Total, Goal A: INSTRUCTION/OPERATIONS	\$ 104,698,059	\$ 106,840,611
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B. Goal: INFRASTRUCTURE SUPPORT

Provide infrastructure support.

B.1.1. Strategy: E&G SPACE SUPPORT	\$ 20,502,952	\$ 20,502,952
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Educational and general space support.

B.1.2. Strategy: TUITION REVENUE BOND RETIREMENT	\$ 4,982,463	\$ 4,887,129
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B.1.3. Strategy: SKILES ACT REVENUE BOND RETIREMENT	\$ 317,225	\$ 317,225
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Total, Goal B: INFRASTRUCTURE SUPPORT	\$ 25,802,640	\$ 25,707,306
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C. Goal: SPECIAL ITEM SUPPORT

Provide special item support.

C.1.1. Strategy: LIBRARY ARCHIVAL SUPPORT	\$ 500,000	\$ 500,000
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TEXAS TECH UNIVERSITY  
(Continued)

C.1.2. Strategy: MASTER'S OF SOCIAL WORK Master's of Social Work Program.	\$ 50,000	\$ 50,000
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C.2.1. Strategy: AGRICULTURAL RESEARCH Research to enhance agricultural production and add value to agricultural products in Texas.	\$ 2,283,883	\$ 2,283,883
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C.2.2. Strategy: ENERGY RESEARCH Research in energy production and environmental protection in Texas.	\$ 967,789	\$ 967,789
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C.2.3. Strategy: EMERGING TECHNOLOGIES RESEARCH Research in emerging technologies and economic development in Texas.	\$ 545,152	\$ 545,152
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C.3.1. Strategy: JUNCTION ANNEX OPERATION	\$ 362,592	\$ 362,592
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C.3.2. Strategy: SMALL BUSINESS DEVELOPMENT Small Business Development Center.	\$ 950,000	\$ 950,000
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C.3.3. Strategy: MUSEUMS & CENTERS Museums and historical, cultural, and educational centers.	\$ 2,287,634	\$ 2,087,634
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C.3.4. Strategy: INTERNATIONAL TRADE CENTER	\$ 600,000	\$ 600,000
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C.3.5. Strategy: CENTER FOR FINANCIAL RESPONSIBILITY	\$ 240,000	\$ 240,000
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C.3.6. Strategy: MITC - FREDERICKSBURG	\$ 500,000	\$ 500,000
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C.4.1. Strategy: INSTITUTIONAL ENHANCEMENT	\$ 5,771,798	\$ 5,771,798
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C.4.2. Strategy: TRB DEBT SERVICE ADJUSTMENT	\$ 1,000,000	\$ 0
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Total, Goal C: SPECIAL ITEM SUPPORT	\$ 16,058,848	\$ 14,858,848
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Grand Total, TEXAS TECH UNIVERSITY	\$ 146,559,547	\$ 147,406,765
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Method of Financing:		
General Revenue Fund	\$ 107,970,101	\$ 108,780,101

General Revenue Fund - Dedicated

Estimated Board Authorized Tuition Increases Account No. 704	3,803,378	3,803,378
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Estimated Other Educational and General Income Account No. 770	34,786,068	34,823,286
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Subtotal, General Revenue Fund - Dedicated	\$ 38,589,446	\$ 38,626,664
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Total, Method of Financing	\$ 146,559,547	\$ 147,406,765
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2. Appropriation of Special Mineral Fund. Any unexpended balances as of August 31, 2001 (estimated to be \$199,000), and income during the biennium beginning September 1, 2001, in the Texas Tech University Special Mineral Fund are hereby appropriated at Texas Tech University to support instruction and research programs of the University. Mineral Funds estimated to be \$20,000 in 2002 and \$20,000 in 2003.

3. Small Business Development Center. Included in the appropriation above to Texas Tech University is \$642,009 in fiscal year 2002 and \$642,010 in fiscal year 2003 for the Small Business Development Center, or its equivalent due to special and general provisions of this

UNIVERSITY OF NORTH TEXAS HEALTH SCIENCE CENTER AT FORT WORTH  
(Continued)

the Attorney General at a monetary rate not to exceed the monetary rate at which the Attorney General could obtain the service elsewhere to the extent permitted under federal law and regulations. The income derived from this testing is to be spent only on the Forensic Laboratory. In addition, the services of the Forensic Laboratory are available to other entities or interested parties approved by the University of North Texas Health Science Center at Fort Worth.

3. **Informational Rider-Tobacco Settlement Receipts.** This rider is informational only and does not make any appropriations. In addition to the amounts appropriated above, the University of North Texas Health Science Center at Fort Worth also receives appropriations in Article XII, Tobacco Settlement Receipts, estimated to be \$2,281,365 in each year of the 2002-03 biennium. Amounts appropriated in Article XII are to be used only for the purposes specified in VTCA, Education Code, § 63.002(c), (d), and (f) and § 63.102(c), (d), and (f).
4. **Parking Garage Debt Service.** Funds appropriated in Strategy C.2.1, Tuition Revenue Bond Retirement, may be used to retire bonds authorized by the Seventy-sixth Legislature to construct a campus parking garage. Parking fee revenue generated by this facility will be accounted for and reported to the Legislative Budget Board. This fee revenue will be counted in the appropriations process to offset the costs of servicing debt associated with this facility.

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER

	For the Years Ending	
	August 31, 2002	August 31, 2003
1. Educational and General State Support	\$ 92,457,673	\$ 91,978,016
<b>Grand Total, TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER</b>	<b>\$ 92,457,673</b>	<b>\$ 91,978,016</b>
<b>Method of Financing:</b>		
General Revenue Fund	\$ 87,365,123	\$ 86,987,769
GR Dedicated - Estimated Other Educational and General Income Account No. 770	5,092,550	4,990,247
<b>Total, Method of Financing</b>	<b>\$ 92,457,673</b>	<b>\$ 91,978,016</b>
<b>Number of Full-Time-Equivalent Positions (FTE)- Appropriated Funds</b>	<b>1,619.8</b>	<b>1,619.8</b>
<b>Number of Full-Time-Equivalent Positions (FTE)-Total</b>	<b>5,247.6</b>	<b>5,610.4</b>

1. **Informational Listing of Appropriated Funds.** The appropriations made above for Educational and General State Support are subject to the special and general provisions of this Act and include the following amounts for the purposes indicated.

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER  
(Continued)

**A. Goal: INSTRUCTION/OPERATIONS**

Provide instructional and operations support.

**Outcome (Results/Impact):**

Percent of Medical School Students Passing Part 1 or Part 2 of the National Licensing Exam on the First Attempt	95%	95%
Percent of Medical School Graduates Entering a Primary Care Residency	58%	58%
Percent of Medical School Graduates Practicing Primary Care in Texas	26%	26%
Percent of Allied Health Graduates Passing the Certification/Licensure Exam on the First Attempt	95%	95%
Percent of Allied Health Graduates Who Are Licensed or Certified in Texas	85%	85%
Percent of Bachelor of Science in Nursing Graduates Passing the National Licensing Exam on the First Attempt in Texas	86%	86%
Percent of Bachelor of Science in Nursing Graduates Who Are Licensed in Texas	97%	97%
Percent of Master of Science in Nursing Graduates Granted Advanced Practice Status in Texas	71%	71%
Percent of Pharmacy School Graduates Passing the National Licensing Exam on the First Attempt	100%	100%
Percent of Pharmacy School Graduates Who Are Licensed in Texas	95%	95%
Administrative (Institutional Enhancement) Cost as a Percent of Total Expenditures	5.49%	5.49%
<b>A.1.1. Strategy: MEDICAL EDUCATION</b>	<b>\$ 29,883,476</b>	<b>\$ 29,883,476</b>
<b>Explanatory:</b>		
Minority Admissions as a Percent of Total First-year Admissions (All Schools)	14%	14%
Minority Admissions as a Percent of Total MD Admissions	15%	15%
Minority MD or DO Residents as a Percent of Total MD or DO Residents	22%	22%
<b>A.1.2. Strategy: BIOMEDICAL SCIENCES TRAINING</b>	<b>\$ 1,611,769</b>	<b>\$ 1,611,769</b>
Graduate training in biomedical sciences.		
<b>A.1.3. Strategy: ALLIED HEALTH PROFESSIONS TRAINING</b>	<b>\$ 8,796,862</b>	<b>\$ 8,796,862</b>
<b>A.1.4. Strategy: NURSING EDUCATION</b>	<b>\$ 4,449,226</b>	<b>\$ 4,449,226</b>
<b>A.1.5. Strategy: PHARMACY EDUCATION</b>	<b>\$ 11,199,638</b>	<b>\$ 11,199,638</b>
<b>A.3.1. Strategy: STAFF GROUP INSURANCE PREMIUMS</b>	<b>\$ 637,226</b>	<b>\$ 716,369</b>
<b>A.4.1. Strategy: TEXAS PUBLIC EDUCATION GRANTS</b>	<b>\$ 681,000</b>	<b>\$ 681,000</b>
<b>A.4.2. Strategy: MEDICAL LOANS</b>	<b>\$ 63,652</b>	<b>\$ 63,652</b>
<b>Total, Goal A: INSTRUCTION/OPERATIONS</b>	<b>\$ 57,322,849</b>	<b>\$ 57,401,992</b>

**B. Goal: PROVIDE RESEARCH SUPPORT**

Outcome (Results/Impact):

Total External Research Expenditures	6,600,929	6,600,929
<b>B.1.1. Strategy: RESEARCH ENHANCEMENT</b>	<b>\$ 1,684,848</b>	<b>\$ 1,684,848</b>
<b>B.1.2. Strategy: INDIRECT COST RECOVERY</b>	<b>\$ 754,338</b>	<b>\$ 661,699</b>
<b>Total, Goal B: PROVIDE RESEARCH SUPPORT</b>	<b>\$ 2,439,186</b>	<b>\$ 2,346,547</b>

**C. Goal: INFRASTRUCTURE SUPPORT**

Provide infrastructure support.

<b>C.1.1. Strategy: E&amp;G SPACE SUPPORT</b>	<b>\$ 13,503,509</b>	<b>\$ 13,503,509</b>
Educational and general space support.		

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER  
(Continued)

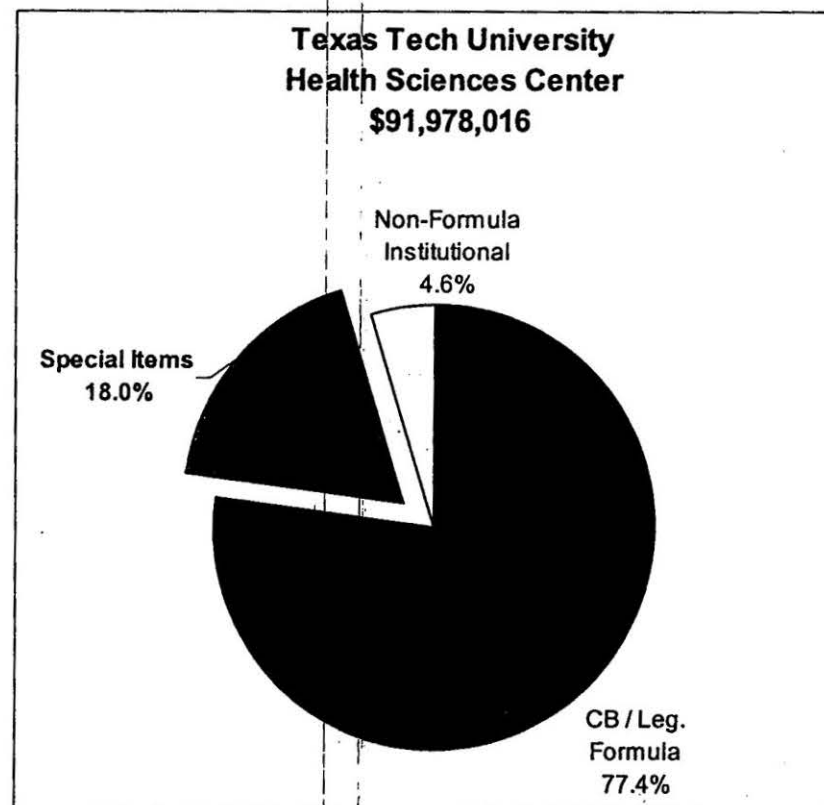
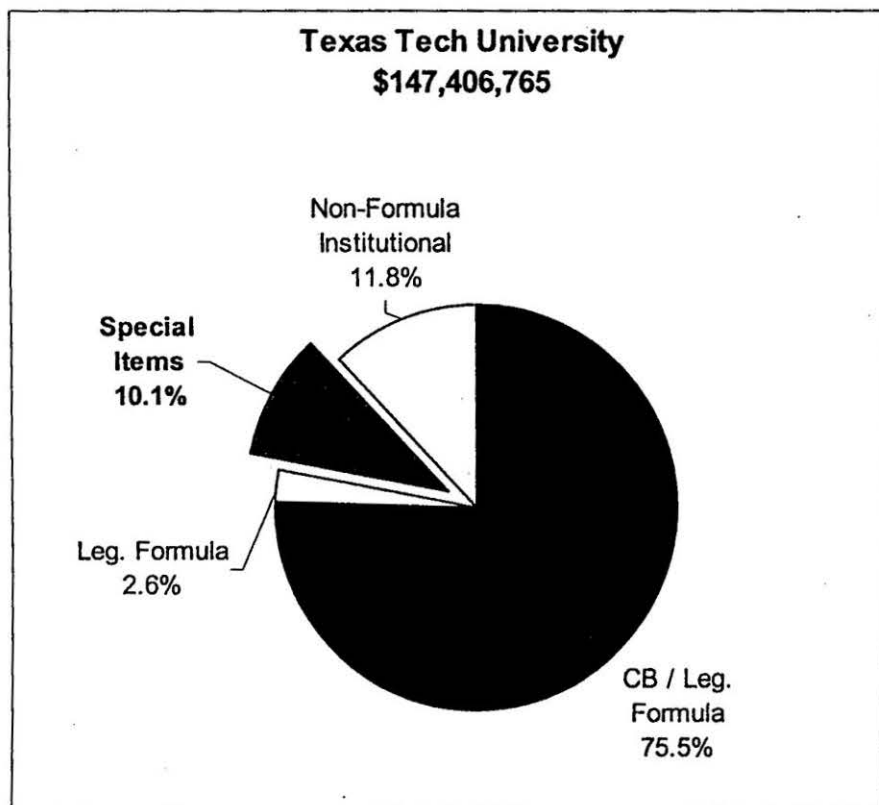
C.2.1. Strategy: TUITION REVENUE BOND RETIREMENT	\$ 2,490,502	\$ 2,135,044
Total, Goal C: INFRASTRUCTURE SUPPORT	\$ 15,994,011	\$ 15,638,553
D. Goal: PROVIDE SPECIAL ITEM SUPPORT		
D.1.1. Strategy: SOUTH TEXAS PROFESSIONAL EDUCATION South Texas Border Region health professional education.	\$ 995,576	\$ 884,957
D.1.2. Strategy: BORDER SUPPORT - ACADEMIC EXPANSION Border health care support - academic expansion.	\$ 4,034,895	\$ 4,035,023
D.1.3. Strategy: ACADEMIC SUPPORT-BORDER DEVELOPMENT Academic operations support - Border Region development.	\$ 408,099	\$ 408,398
D.1.4. Strategy: INTEGRATED HEALTH NETWORK Output (Volume): Annual Event Hours of Distance Education	\$ 1,375,143	\$ 1,374,172
D.1.5. Strategy: MEDICAL EDUCATION - ODESSA	45,831	45,832
D.1.6. Strategy: EL PASO - MEDICAL	\$ 1,559,487	\$ 1,560,444
D.2.1. Strategy: FAMILY/COMMUNITY MEDICINE RESIDENCY Family and Community Medicine Residency Training Programs.	\$ 1,500,000	\$ 1,500,000
D.2.2. Strategy: MIDLAND SURGICAL RESIDENCY TRAINING Midland Surgical Residency Training Program.	\$ 623,706	\$ 623,265
D.2.3. Strategy: MIDLAND CARDIOLOGY RESIDENCY Midland Cardiology Residency Training Program.	\$ 134,061	\$ 133,967
D.2.4. Strategy: BORDER HEALTH - RESIDENT SUPPORT Border health care support - resident support.	\$ 307,894	\$ 307,676
D.3.1. Strategy: DIABETES RESEARCH CENTER	\$ 402,189	\$ 402,487
D.4.1. Strategy: RURAL HEALTH CARE Rural health care support and education.	\$ 300,000	\$ 300,000
D.5.1. Strategy: INSTITUTIONAL ENHANCEMENT Multicampus (4) institutional enhancement.	\$ 1,060,577	\$ 1,060,535
Total, Goal D: PROVIDE SPECIAL ITEM SUPPORT	\$ 4,000,000	\$ 4,000,000
Grand Total, TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER	\$ 16,701,627	\$ 16,590,924
Method of Financing: General Revenue Fund	\$ 87,365,123	\$ 86,987,769
GR Dedicated - Estimated Other Educational and General Income Account No. 770	5,092,550	4,990,247
Total, Method of Financing	\$ 92,457,673	\$ 91,978,016



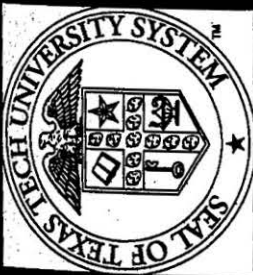


# TEXAS TECH UNIVERSITY SYSTEM

## STATE APPROPRIATIONS FY 2003



TTUS Chief Financial Officer



# *HUB Presentation*

## *Texas Tech University System Board Of Regents*

*May 9-10, 2002*

# State of Texas

## HUB Goals

<u>Procurement Category</u>	<u>Unadjusted HUB Goal</u>	<u>Adjusted (Underutilized) HUB Goal</u>	<u>Other (Overutilized) HUB Goal</u>
Heavy Construction:	11.9%	6.6% (BL, HI, AS, AI)	5.3% (WO)
Building Construction:	26.1%	25.1% (BL, HI, WO)	1.0% (AS, AI)
Special Trade:	57.2%	47.0% (BL, HI)	10.2% (AS, AI, WO)
Professional Services:	20.0%	18.1% (BL, HI, WO)	1.9% (AS, AI)
Other Services:	33.0%	33.0% (BL, HI, AS, AI, WO)	N/A
Commodity Purchasing:	12.6%	11.5% (BL, HI, WO)	1.1% (AS, AI)

HUB Groups are identified as follows:

AI = Native American

AS = Asian Pacific American;

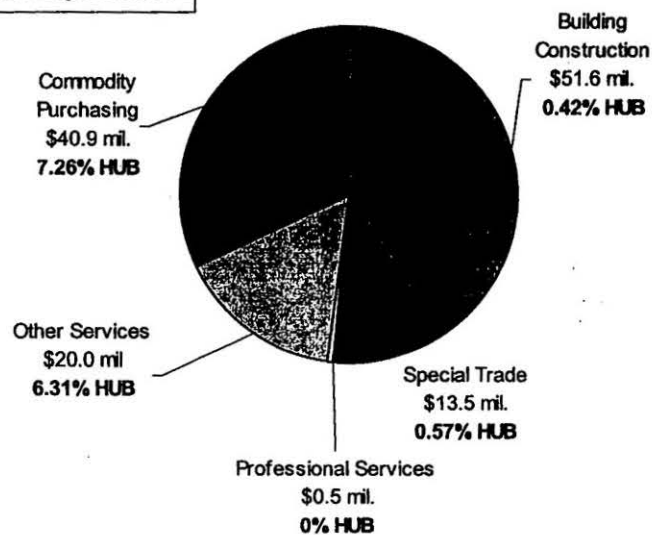
BL = Black American;

HI = Hispanic American;

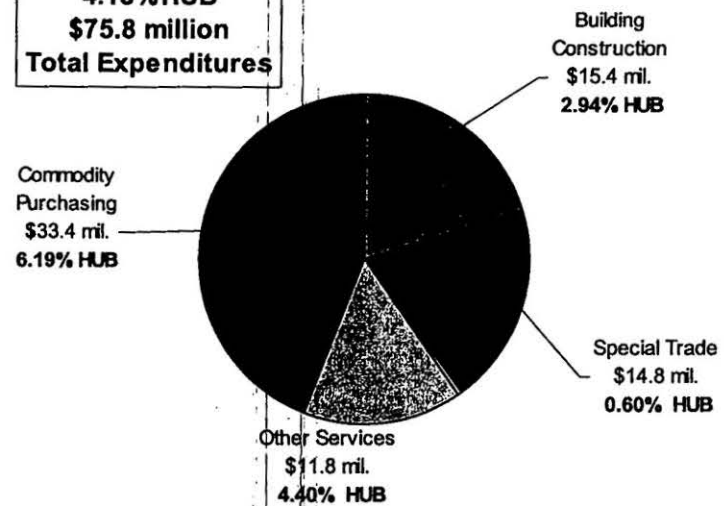
WO = American Woman (all women excluding AI, AS, BL, and HI women).

## Texas Tech University Total Expenditures with HUB Adjusted %

**FY 2001**  
**3.58% HUB**  
**\$126.6 million**  
**Total Expenditures**

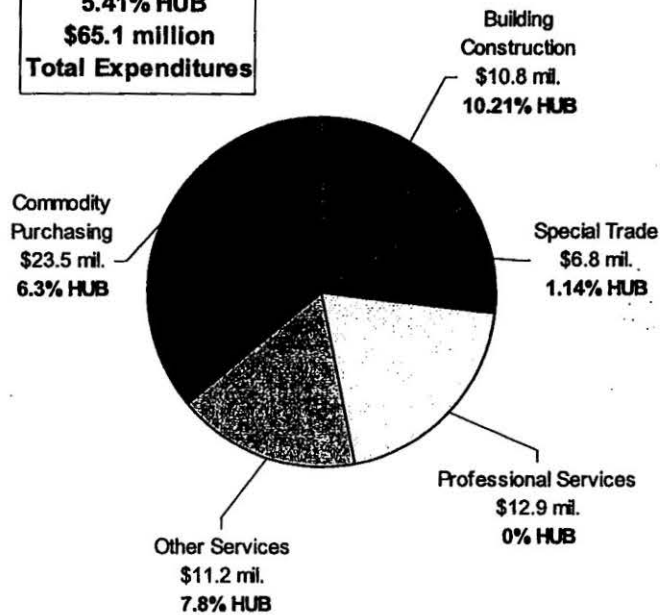


**6 mos. YTD FY 2002**  
**4.13% HUB**  
**\$75.8 million**  
**Total Expenditures**

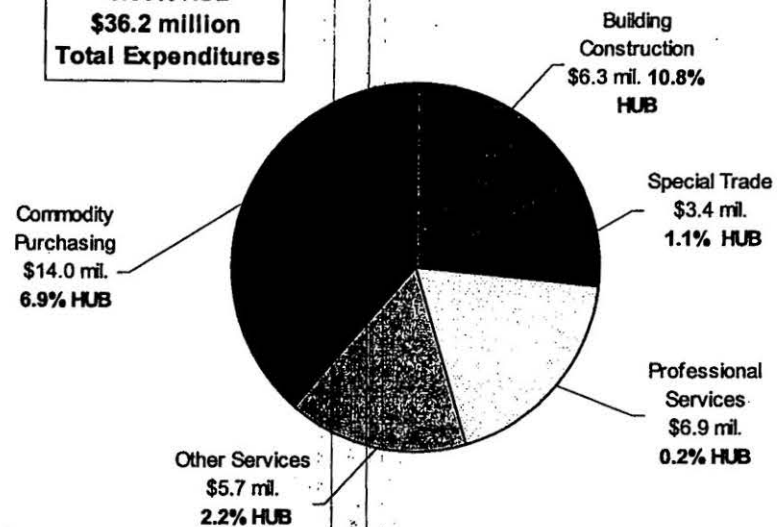


# **Texas Tech University Health Sciences Center Total Expenditures with HUB Adjusted %**

**FY 2001  
5.41% HUB  
\$65.1 million  
Total Expenditures**



**6 mos. YTD FY 2002  
5.03% HUB  
\$36.2 million  
Total Expenditures**



# *HUB Initiatives*

## *Texas Tech University*



## HUB OPERATIONS MISSION STATEMENT

*TEXAS TECH UNIVERSITY is committed to providing procurement and contracting opportunities for businesses owned by minorities and women.*

*It is our policy to create an environment that will enhance HUB participation in State procurements and contracts.*

*Consequently, Texas Tech University will make a good faith effort to increase the award of goods, services and construction contracts to HUBs in accordance with the Texas Procurement & Building Commission guidelines.*

## **MAJOR ACCOMPLISHMENTS / INITIATIVES**

*Developed a quarterly report for all the major administrative departments and colleges that will allow the VP's and Deans to track their progress in meeting the State-mandated goals.*

*Attend all FP&C proposal openings and work with TTU contractors to emphasize the importance of using second and third-tier HUBs on Texas Tech University construction projects.*

*Work with the TTU Purchasing Department to review all vendors that provide goods and services to the University to determine if any could be certified as HUBs.*

*Working with area minority Chambers of Commerce to enhance our outreach program to local HUB vendors.*

## **CHALLENGES**

*The General Services Commission certification process is cumbersome, lengthy and financially intrusive to potential HUBS.*

*HUBs are in such demand that the benefits to be achieved from attaining and retaining HUB certification are minimized.*

# *HUB Initiatives*

## *Texas Tech University*

### *Health Sciences Center*

**Texas Tech University  
Health Sciences Center  
Fiscal Year 2002**

**Major Accomplishments/Initiatives**

*Awarded an office supply contract to Summus Industries, a HUB certified company who partnered with Staples. This contract will deliver approximately \$1,275,000 in products to our agency during FY 2002.*

## Major Accomplishments/Initiatives

TTUHSC has made a major investment in HUB outreach efforts that included but is not limited to the following:

*Distributed over 150 HUB information/packages to underutilized businesses and assisted over 30 vendors in the HUB certification process.*

*Encouraged and assisted vendors.*

*Extensive communications with prime contractors and sub-contractors resulting in identification of 3rd tier HUB suppliers.*

*Actively participated in HUB forums throughout the state.*

*Actively involved in the functions of the Lubbock Hispanic and Lubbock African American Chambers of Commerce.*

*Provided HUB locator assistance to contractors, vendors and project managers.*



# *HUB Initiatives*

## *Facilities Planning & Construction*

## HUB (Sub)Contracting Initiatives

*HUB Subcontractor Commitment in Construction  
and Design Professional Contracts as an  
Evaluated Criteria*

*Liquidated Damages/Bonus for Under/Over  
Achieving Commitments*

*Require HSP from Large 1<sup>st</sup> Tier Subcontractors*

*Identify Suppliers at 3<sup>rd</sup> and 4<sup>th</sup> Tiers*

*Enhanced Outreach*

*Improved Reporting*

11/11/02

# HUB Presentation

## Goals





## Texas Tech University System Adjusted HUB % FY 2003 Goals

Texas Tech University 733	Statewide Adjusted HUB Goals	FY 2001 Adjusted HUB %	FY 2002 6 mo. YTD HUB %	FY 2003 Goal HUB %
Heavy Construction	6.6%	0.0%	0.0%	1.0%
Building Construction	25.1%	0.4%	2.9%	4.0%
Special Trade	47.0%	0.6%	0.6%	2.0%
Professional Services	18.1%	0.0%	0.0%	1.0%
Other Services	33.0%	6.3%	4.4%	6.5%
Commodity Purchasing	11.5%	7.3%	6.2%	8.0%
<b>Total Expenditures</b>		<b>3.6%</b>	<b>4.1%</b>	<b>5.5%</b>
Texas Tech University Health Sciences Center 739	Statewide Adjusted HUB Goals	FY 2001 Adjusted HUB %	FY 2002 6 mo. YTD HUB %	FY 2003 Goal HUB %
Heavy Construction	6.6%	0.0%	0.0%	0.0%
Building Construction	25.1%	10.2%	10.8%	11.0%
Special Trade	47.0%	1.1%	1.1%	1.5%
Professional Services	18.1%	0.0%	0.2%	0.4%
Other Services	33.0%	7.8%	2.2%	5.0%
Commodity Purchasing	11.5%	6.3%	6.9%	7.5%
<b>Total Expenditures</b>		<b>5.4%</b>	<b>5.0%</b>	<b>5.6%</b>

<b>Table 1</b>				
<b>RECOMMENDED TABLE OF FP&amp;C SURCHARGES FOR CONSTRUCTION PROJECTS</b>				
<b>(Revised April 8, 2002)</b>				
<b>NEW PROJECTS COST RANGE</b>		<b>DORMITORIES/ GARAGES/ WAREHOUSE</b>	<b>CLASSROOMS/ OFFICES/OTHER</b>	<b>CLINICAL/RESEARCH/ SPECIAL EDUCATION</b>
Over	\$100,000,000	2.45%	2.70%	2.95%
Over	\$50,000,000	2.70%	2.95%	3.20%
Over	\$25,000,000	2.95%	3.20%	3.45%
Over	\$15,000,000	3.20%	3.45%	3.70%
Over	\$10,000,000	3.45%	3.70%	3.95%
\$1 to \$10,000,000		3.70%	3.95%	4.20%
<b>RENOVATION AND RENEWAL (1.33 times new construction)</b>				
Over	\$100,000,000	3.03%	3.36%	3.69%
Over	\$50,000,000	3.36%	3.69%	4.03%
Over	\$25,000,000	3.69%	4.03%	4.36%
Over	\$15,000,000	4.03%	4.36%	4.69%
Over	\$10,000,000	4.36%	4.69%	5.02%
\$1 to \$10,000,000		4.69%	5.02%	5.36%

<b>Table 2</b>				
<b>CURRENT TABLE OF FP&amp;C SURCHARGES FOR CONSTRUCTION PROJECTS</b>				
<b>(Revised January 5, 2001)</b>				
<b>NEW PROJECTS COST RANGE</b>		<b>DORMITORIES/ GARAGES/ WAREHOUSE</b>	<b>CLASSROOMS/ OFFICES/OTHER</b>	<b>CLINICAL/RESEARCH/ SPECIAL EDUCATION</b>
Over	\$100,000,000	2.25%	2.50%	2.75%
Over	\$50,000,000	2.50%	2.75%	3.00%
Over	\$25,000,000	2.75%	3.00%	3.25%
Over	\$15,000,000	3.00%	3.25%	3.50%
Over	\$10,000,000	3.25%	3.50%	3.75%
\$1 to \$10,000,000		3.50%	3.75%	4.00%
<b>RENOVATION AND RENEWAL (1.33 times new construction)</b>				
Over	\$100,000,000	2.83%	3.16%	3.49%
Over	\$50,000,000	3.16%	3.49%	3.83%
Over	\$25,000,000	3.49%	3.83%	4.16%
Over	\$15,000,000	3.83%	4.16%	4.49%
Over	\$10,000,000	4.16%	4.49%	4.82%
\$1 to \$10,000,000		4.49%	4.82%	5.16%

**Appendix 1**  
**FIVE-YEAR CAPITAL PROJECTS PLAN**  
**Texas Tech University**

GENERAL PROJECT INFORMATION													FINANCIAL INFORMATION														
Priority	Bldg. No.	Project Description	Project Type					Square Footage			CIP Code	Project Budget					Funding Source (Millions)										Other
			New Construction	Additions	Major Repair & Renovation	Land Acquisitions	Infrastructure	Leased Space	Gross	Educational & General			NAASF	Acres	HEAF - Cash	HEAF - Bond	Other Revenue Bonds	Auxiliary Enterprise Funds	Other Local Funds	Gifts, Donations	Federal Grants	Unexpended Plant Funds	Legislative Appropriations	Private Development	Tuition Revenue Bonds	Unfunded	
1	9999	CONSTRUCT EXPERIMENTAL SCIENCES RESEARCH BUILDING	X								400501	\$ 51,997,000.00	\$ 13.35										\$ 23.65	\$ 15.00			
2	9999	CONSTRUCT ANIMAL & FOOD SCIENCES BUILDING	X					92000	5500		020201	\$ 17,000,000.00	\$ 17.00														
3	0040	JONES SBC STADIUM RENOVATION		X	X						720000	\$ 79,000,000.00				\$ 73.90								\$ 5.10			
4	29 / 30	HORN/KNAPP LIFE SAFETY FIRE SUPPRESSION UPGRADE			X			0	0		000000	\$ 3,800,000.00				\$ 3.80											
5	10	STUDENT UNION BUILDING RENOVATION		X	X			242609	0		731000	\$ 38,000,000.00				\$ 38.00											
6	0237	ART 3D RELOCATION			X			33905	20343		734000	\$ 2,500,000.00	\$ 2.50														
7	0246	COLLEGE OF BUSINESS ADMINISTRATION	X					204495	112849		060101	\$ 50,000,000.00	\$ 0.33											\$ 49.68			
8	9999	CONSTRUCT ENGINEERING BUILDING	X					162500	97500		140101	\$ 50,000,000.00												\$ 50.00			
9	0000	CONSTRUCT PARK AND RIDE FACILITY	X					0	0		999999	\$ 2,400,000.00						\$ 1.00						\$ 1.40			
10	9999	CONSTRUCT MARSHA SHARP CENTER FOR STUDENT ATHLETES	X					15000	0		720100	\$ 4,100,000.00				\$ 0.61		\$ 2.55	\$ 0.94								
11	0000	RELOCATE FREEWAY UTILITIES				X		0	0		000000	\$ 2,700,000.00						\$ 2.70									
12	0245	EXPANSION TO NATURAL SCIENCE RESEARCH LAB		X				53330	12266		040201	\$ 5,000,000.00						\$ 5.00									
13	0335	ART BUILDING RENOVATION			X			61392	39182		734000	\$ 5,000,000.00	\$ 2.50											\$ 2.50			
14	0000	HIGH RISE E&G BLDG. FIRE SUPPRESSION RENOVATION			X			904684	493537		000000	\$ 10,000,000.00												\$ 10.00			
15	0002	RENOVATE ADMINISTRATION BUILDING			X			113975	71561		811000	\$ 3,000,000.00												\$ 3.00			
16	0012	RENOVATION - OLD HOLDEN			X			78442	40729		450101	\$ 3,000,000.00												\$ 3.00			
17	9999	CONSTRUCT FOOTBALL TRAINING FACILITY	X					40000	0		720100	\$ 11,000,000.00				\$ 11.00											
18	9999	REAL PROPERTY PURCHASE IN LUBBOCK COUNTY				X		0	0		000000	\$ 10,000,000.00												\$ 10.00			
19	9999	CONSTRUCT RIVER AQUATIC RESEARCH & OUTREACH CTR - JUNCTION	X					21000	12800		000000	\$ 6,000,000.00						\$ 6.00									
20	9999	REAL PROPERTY PURCHASE FOR AGRICULTURE SCIENCES				X		0	0		000000	\$ 4,000,000.00												\$ 4.00			
21	0000	CONSTRUCT GOLF COURSE	X					15000	0		720100	\$ 11,900,000.00				\$ 3.00			\$ 8.20					\$ 0.70			
22	0000	CONSTRUCT GOLF COURSE CLUB HOUSE & TEAM FACILITY	X					25000	0		720100	\$ 6,400,000.00												\$ 6.40			
23	9999	CONSTRUCT COLLEGE OF FINE ARTS	X					230000	220000		999999	\$ 40,000,000.00												\$ 40.00			
24	9999	CONSTRUCT PERFORMING ARTS CENTER	X					230000	220000		999999	\$ 40,000,000.00												\$ 40.00			
25	9999	CONSTRUCT ANIMAL CARE & USE FACILITY - TIEHH	X					7292	4740		999999	\$ 3,500,000.00												\$ 3.50			
26	9999	CONSTRUCT ADVANCED RESEARCH FACILITY	X					35000	21000		260161	\$ 50,000,000.00												\$ 46.00	\$ 4.00		
27	9999	CONSTRUCT 15th STREET PARKING STRUCTURE	X					200000	0		000000	\$ 8,300,000.00												\$ 8.30			
28	9999	JUNCTION CAMPUS - PAVILION & DINING HALL	X					10100	8500		999999	\$ 1,000,000.00												\$ 1.00			
29	9999	FREDRICKSBURG CAMPUS	X								999999	\$ 5,000,000.00												\$ 5.00			
30	9999	MARBLE FALLS CAMPUS	X								999999	\$ 5,000,000.00												\$ 5.00			
31	9999	CONSTRUCT NEW HEALTH, EXERCISE & SPORTS SCIENCES	X					15000	9000		999999	\$ 4,000,000.00												\$ 4.00			
32	0000	CAMPUS, UPGRADE CHILLED WATER DISTRIBUTION SYSTEM				X		0	0		000000	\$ 8,000,000.00												\$ 8.00			
33	9999	CONSTRUCT CENTER FOR SOUTHEAST ASIAN STUDIES	X					133000	120000		999999	\$ 20,000,000.00												\$ 20.00			



**Appendix 1  
FIVE-YEAR CAPITAL PROJECTS PLAN  
Texas Tech University**

GENERAL PROJECT INFORMATION												FINANCIAL INFORMATION													
Priority	Bldg. No.	Project Description	Project Type					Square Footage			CIP Code	Total Project Cost	HEAF - Cash	HEAF - Bond	Other Revenue Bonds	Funding Source (Millions)									
			New Construction	Additions	Major Repair & Renovation	Land Acquisitions	Infrastructure	Leased Space	Gross	Educational & General NASF						Acres	Auxiliary Enterprise Funds	Other Local Funds	Gifts, Donations	Federal Grants	Unexpended Plant Funds	Legislative Appropriations	Private Development	Tuition Revenue Bonds	Unfunded
34	0007	COMPLETE RENOVATION - AG BUILDING COMPLEX (5 BLDGS)			X			140856	81731	020101	\$ 20,000,000.00													\$ 20.00	
35	9999	CONSTRUCT CHILD CARE CENTER	X					34000	30000	999999	\$ 2,000,000.00													\$ 2.00	
36	9999	CONSTRUCT STUDENT WELLNESS CENTER	X					20000	13000	000000	\$ 6,000,000.00														\$ 6.00
37	0245	CONSTRUCT ADDITION TO MUSEUM		X				11160	0	000000	\$ 2,000,000.00						\$ 2.00								
38	9999	CONSTRUCT RETIREMENT VILLAGE	X					150000	0	000000	\$ 30,000,000.00									\$ 30.00					
39	0206	RENOVATE INTERIOR - HVAC.CS & DEAN'S OFFICE			X			30665	30665	140101	\$ 5,000,000.00													\$ 5.00	
40	0231	THEATRE RENOVATION/ADDITION	X	X				8200	4920	180103	\$ 8,300,000.00													\$ 8.30	
41	0274	CONSTRUCT COURTROOM ADDITION		X				20000	14000	831000	\$ 25,000,000.00													\$ 25.00	
42	0035	COMPLETE RENOVATION - BLEDSOE HALL			X			87996	0	733000	\$ 6,000,000.00													\$ 6.00	
43	9999	CONSTRUCT RESIDENCE HALL COMPLEX - PHASE 2	X					58000	0	733000	\$ 20,000,000.00													\$ 20.00	
44	0190	DEMO WOMEN'S GYM / RENOVATE MEN'S GYM		X	X			30000	30000	131314	\$ 3,000,000.00													\$ 3.00	
45	0205	LIBRARY EXPANSION	X					50000	50000	000000	\$ 10,000,000.00													\$ 10.00	
46	0000	MASTER PLAN INFRASTRUCTURE IMPROVEMENTS					X	0	0	000000	\$ 18,000,000.00													\$ 18.00	
47	0272	CONSTRUCT ADDITION FOR NEW AUDITORIUM - ARCHITECTURE	X					20000	20000	000000	\$ 5,000,000.00													\$ 5.00	
48	0013	RENOVATION - JOURNALISM			X			11245	9836	090101	\$ 4,500,000.00													\$ 4.50	
49	9999	CONSTRUCT NEW IT DIVISION FACILITY	X					56574	45500	999999	\$ 13,000,000.00													\$ 13.00	
50	0028	RENOVATE DRANE HALL			X			75358	49932	821000	\$ 11,300,000.00													\$ 11.30	
51	0027	CONSTRUCT ADDITION FOR COMMUNICATION SERVICES		X				11700	9000	999999	\$ 1,300,000.00													\$ 1.30	
52	0000	INFRASTRUCTURE EXPANSION - WEST OF INDIANA					X	0	0	000000	\$ 4,100,000.00													\$ 4.10	
53	0322	RENOVATE FOR SCHOOL OF MASS COMMUNICATIONS			X			78586	47231	220101	\$ 22,000,000.00													\$ 22.00	
54	9999	CONSTRUCT FIELD DORM & DINING RM FOR LUBBOCK LAKE LANDMARK	X					10000	7000	999999	\$ 1,500,000.00													\$ 1.50	
55	0001	COLLEGE OF HUMAN SCIENCE RENOVATION			X			72000	48800	999999	\$ 6,700,000.00													\$ 6.70	
56	0271	PARTIAL INTERIOR RENOVATION - BIOLOGY			X			56219	56219	260101	\$ 8,900,000.00													\$ 8.90	
57	0008	RENOVATION PH. III & IV - SCIENCE			X			118544	68501	400601	\$ 5,200,000.00													\$ 5.20	
58	0319	CONSTRUCT ADDITION TO GREENHOUSE		X				1200	1200	020501	\$ 1,100,000.00													\$ 1.10	
										TOTALS	\$ 801,297,000.00	\$ 35.68	\$ -	\$ 130.11	\$ -	\$ 5.25	\$ 22.14	\$ 1.00	\$ -	\$ -	\$ 30.00	\$ 23.65	\$ 543.48	\$ 10.00	

**Appendix 2**  
**FIVE-YEAR CAPITAL PROJECTS PLAN**  
**Texas Tech University Health Sciences Center**

GENERAL PROJECT INFORMATION											FINANCIAL INFORMATION															
Priority	Bldg. No.	Project Description	Project Type				Square Footage			CIP Code	Total Project Cost	HEAF - Cash	HEAF - Bond	Other Revenue Bonds	Auxiliary Enterprise Funds	Funding Source (Millions)										
			New Construction	Additions	Major Repair & Renovation	Land Acquisitions	Infrastructure	Leased Space	Gross							Educational & General NASF	Acres	Other Local Funds	Gifts, Donations	Federal Grants	Unexpended Plant Funds	Legislative Appropriations	Private Development	Tuition Revenue Bonds	Unfunded	Other
1	1000	F. Marie Hall Synergistic Center			X			12638	5142		719200	\$ 2,100,000.00					\$ 1.50		\$ 0.80							
2	1002	LBB - Academic Classroom Building	X					61673	40141		000000	\$ 15,400,000.00	\$ 10.30					\$ 1.60					\$ 3.50			
3	0044	El Paso - Third Floor - Clinical Education		X				43280	35650		511201	\$ 8,900,000.00	\$ 3.74				\$ 3.66						\$ 1.50			
4	0044	El Paso - Research Facility I		X				88000	52800		000000	\$ 38,500,000.00											\$ 38.50			
5	IN	TX Tech Parkway		X							000000	\$ 9,237,000.00	\$ 1.80					\$ 7.39						\$ 0.05		
6	0000	LBB - Clinical Tower / Research Ctr	X					88770	57700		511201	\$ 33,747,550.00	\$ 2.83		\$ 4.03								\$ 26.88			
7	IN	LBB Master Plan Infrastructure					X			5	839100	\$ 5,000,000.00	\$ 5.00													
8	2003	Amarillo - Renovate Clinic for Library & Student Services			X			36038	23425		719200	\$ 5,660,000.00	\$ 2.90											\$ 2.76		
9	0000	El Paso - New Medical School	X					143000	85800		511201	\$ 85,000,000.00												\$ 85.00		
10	1000	LBB - Roof Replacement			X			122000	0		000000	\$ 2,000,000.00	\$ 2.00													
11	IN	Deferred Maintenance			X						000000	\$ 2,800,000.00	\$ 2.80													
12	IN	Odessa - Real Property Purchase				X				0.96	000000	\$ 195,000.00						\$ 0.20								
13	IN	El Paso - Real Property Purchase				X					000000	\$ 2,000,000.00												\$ 2.00		
14	IN	LBB - Real Property Purchase				X				1.4	000000	\$ 2,000,000.00												\$ 2.00		
15	2002	Amarillo - Renovate Regional Center for Research Center			X			10500	10500		511201	\$ 2,100,000.00												\$ 2.10		
16	1000	LBB - Renovation for Research			X			148000	148000		511201	\$ 27,000,000.00												\$ 27.00		
17	3001	El Paso - Clinic Backfill Renovation			X			36000	36000		511201	\$ 4,800,000.00													\$ 4.80	
18	1001	LBB - Preston Smith Library Basement			X			16000	10400		739900	\$ 3,200,000.00												\$ 3.20		
19	0000	LBB - Auditorium		X				26000	18200		739900	\$ 8,500,000.00												\$ 8.50		
20	0000	DFW - School of Pharmacy Building		X				20000	13000		512001	\$ 5,000,000.00												\$ 5.00		
21	0000	LBB - International Pain Center		X				20000	13000		511201	\$ 5,000,000.00												\$ 5.00		
22	0000	LBB - Outpatient Geriatric Assessment		X				10000	6500		000000	\$ 2,000,000.00												\$ 2.00		
TOTALS											\$ 270,139,550.00	\$ 31.37	\$ -	\$ 4.03	\$ -	\$ 3.66	\$ 1.50	\$ 7.39	\$ 2.40	\$ -	\$ -	\$ 70.38	\$ 144.61	\$ 4.80		

# TEXAS TECH<sup>TM</sup>

## Facilities Committee

### Report on Deferred Maintenance

TTUS



## Basic Premise

### Excellent Facilities

Support University Missions

Attract and Retain Excellent  
Students, Faculty, & Staff

Promote Good Behavior



## Texas Tech's Facilities Portfolio

	TTU	TTUHSC
<b>Buildings</b>	124	20
<b>Floor Area</b>	4,400,000 SF	1,600,000 SF
<b>CRV</b>	\$660,700,000	\$280,000,000
<b>Average Age</b>	33 Years	20 Years



## Facility Funding Requirements

- ◆ Acquire and/or Demolish Facilities
- ◆ Construct New Facilities
- ◆ Operate
- ◆ Maintain
- ◆ Repair
- ◆ Comply with New Regulations
- ◆ Adapt to New Technology
- ◆ Capital Asset Renewal



## Capital Asset Renewal

*Renovation or Replacement of an Existing Facility or Facility System*

- ♦ Component Replacement [Roof, Chiller, etc.]
- ♦ Renovation [Whole Building]
- ♦ Replacement New Construction



## Deferred Maintenance (DM)

*In reality  
Deferred Capital Renewal*

*Current Year Facilities Renewal Deferred  
to a Future Budget Cycle*

Returns Buildings to "As-Built" not to  
"As-Needed" Condition



## Facility Condition Index

Ratio of the Deferred Maintenance Backlog  
to the Current Replacement Value

APPA Condition Ranges

FCI > 10%	Poor
FCI = 5% - 10%	Fair
FCI < 5%	Good

APPA: The Association of Higher Ed Facilities Officers



## Facility Condition Index

$$FCI = \frac{DM}{CRV}$$

$$TTU = 3.3\%$$

$$TTUHSC = 4.2\%$$





## Deferred Maintenance Ratio

Ratio of Deferred Maintenance Backlog to  
Current Fund Expenditures

$$DMR = \frac{DM}{CFE}$$

$$TTU = 7.1\%$$

$$TTUHSC = 3.1\%$$



## National Comparisons

	<u>FCI</u>	<u>DMR</u>
Higher Education	7%	11.5%
Research Universities	7%	13%
TTU	3.3%	7.1%
TTUHSC	4.2%	3.1%





## Average Funding Levels

APPA Study  
All Responding Institutions  
1.5% - 4% of CRV Annually

Ohio State Study  
Modern Research Universities  
Average 4.3% of CRV



## Deferred Maintenance Completed

	FY 1997	FY 1998	FY 1999	FY 2000	FY 2001
TTU	\$1.7	\$2.7	\$2.7	\$3.1	\$1.7
TTUHSC	\$3.7	\$1.3	\$6.9	\$3.9	\$3.1





## Funding Levels

### FY 2001 DM Spending\*

	% CRV	Rank
TTU	0.26%	31/40
TTUHSC	1.50%	9/40

\*THECB Data



## Summary

### Projects Completed

- ♦ DM Backlog Below National Averages
- ♦ No Critical Deferred Maintenance
- ♦ Current Annual Funding Levels At or Below National Averages

### Projects Started

- ♦ TTUHSC Academic Classroom Building
- ♦ Admin Building Roof Replacement
- ♦ Experimental Sciences Building
- ♦ Horn Knapp Fire Suppression



# TEXAS TECH<sup>TM</sup>

## Facilities Committee

### Dirt and Dust Report

Texas Tech



## Accomplishments

### Projects Completed

- ♦ TTUHSC – Amarillo
- ♦ Garrison Center [Sears Methodist Project]
- ♦ Pfluger Fountain
- ♦ Golf Course Tree Planting
- ♦ FP&C Website

### Projects Started

- ♦ TTUHSC Academic Classroom Building
- ♦ Admin Building Roof Replacement
- ♦ Experimental Sciences Building
- ♦ Horn Knapp Fire Suppression



## Dirt and Dust Report May 2002

Under Construction	\$282,729,540
In Design	\$185,934,550
In Development	<u>\$152,500,000</u>
<i>Total</i>	<i>\$621,164,090</i>



## Dirt and Dust Report Projects Under Construction May 2002

Project	Cost	Completion Date
Jones Stadium Stage I	\$ 22,000,000	September 2001
Jones Stadium Stage IIA	\$ 51,900,000	August 2003
English-Philosophy & Education	\$ 46,199,000	July 2002
Student Union Bldg. Renov/Expansion	\$ 38,000,000	June 2003/August 2004
Experimental Sciences Building	\$ 36,997,000	May 2004
HSC Academic Classroom Bldg.	\$ 15,400,000	June 2003
Flint Avenue Parking Facility	\$ 11,500,000	August 2002
Golf Course	\$ 10,000,000	May 2003
HSC Synergistic Center	\$ 2,100,000	October 2002
Bonfire-Phase II & III	\$ 398,687	May 2002
Horn/Knapp Fire Suppression	\$ 3,600,000	August 2002
Admin Building Roof Repairs	\$ 850,000	May 2003
Amarillo Academic/Clinic Facility	\$ 23,319,252	April 2002
Tennis Softball Complex	\$ 4,059,784	September 2001
Pfluger Fountain	\$ 840,000	March 2002
Garrison Center [Sears Methodist Project]	\$ 13,000,000	April 2002
Dan Law / Fuller Track Improvements	\$ 2,213,000	March 2002
Marquee	<u>\$ 352,817</u>	September 2001
<b>TOTAL</b>	<b>\$282,729,540</b>	

## Dirt and Dust Report Projects in Design May 2002

Project	Cost	Completion Date
Marsha Sharp Center for Student Athletics	\$ 4,100,000	TBD
Jones Stadium Stage III	\$ 11,000,000	May 2003
Animal and Food Science Facility	\$ 17,000,000	July 2004
HSC El Paso Third Floor Addition	\$ 8,900,000	April 2004
HSC El Paso Hydronic Pipe Replacement	\$ 1,500,000	April 2004
Texas Tech Parkway	\$ 9,237,000	October 2003
Marsha Sharp Freeway [TxDOT Project]	TBD	2007+
HSC Lubbock Clinical Tower Research Ctr	\$ 33,747,550	TBD
HSC El Paso Research Facility I	\$ 38,500,000	TBD
Golf Clubhouse / Team Facility	\$ 2,500,000	TBD
Business Administration Bldg.	\$ 50,000,000	TBD
Student Wellness Center	\$ 8,500,000	TBD
Art Program Relocation	\$ 2,500,000	TBD
<b>TOTAL</b>	<b>\$185,934,550</b>	



## Dirt and Dust Report Projects in Development May 2002

Project	Cost	Completion Date
Residence Hall Fire Protection	TBD	TBD
Museum Addition	\$ 2,000,000	TBD
Museum Research Expansion	\$ 5,000,000	TBD
Rec. Center New Pool	\$ 2,500,000	TBD
Retirement Village	TBD	TBD
Health Exercise & Sports Science Bldg.	\$ 4,000,000	TBD
Advanced Research Center	\$ 50,000,000	TBD
College of Fine & Performing Arts	\$ 40,000,000	TBD
Performing Arts Center	\$ 40,000,000	TBD
Art Building Renovation	\$ 5,000,000	TBD
Child Care Center	\$ 2,000,000	TBD
Dairy Barn Relocation	\$ 2,000,000	TBD
<b>TOTAL</b>	<b>\$152,500,000</b>	



## Crosswalk of System and Component Strategic Goals

Component Strategic Goals	System Strategic Goals				
	<b>Growth</b> We grow to increase students' access to and success in higher education and to fulfill our mission to Texas and the nation.	<b>Diversity</b> We enhance the lives of and in turn are enriched by students, faculty, and staff who reflect the diversity of our state, nation, and world.	<b>People</b> We are open and responsive to the needs of the students we educate and the Texans we serve.	<b>Partnerships</b> We strengthen existing and establish new partnerships to fulfill our mission.	<b>Recognition</b> We advance our reputation through excellence, innovation, value, enterprise, and accountability.
Goal 1. Access and Diversity: Recruit, retain, and graduate a larger, more academically prepared, and more diverse student body.	√	√	√		
Goal 2. Academic Excellence: Attain national recognition as a top public educational research university.	√		√		√
Goal 3. Engagement: Provide programs and services that disseminate knowledge and skills and that enhance the quality of life.		√		√	
Goal 4. Technology: Benefit from the use of technology in the delivery of services.	√			√	
Goal 5. Partnerships: Build strategic partnerships and alliances with external entities.			√	√	
Goal 6. Human Resources and Infrastructure: Maintain a quality work force and work environment.		√			
Goal 7. Tradition and Pride: Develop a national image based on Tech traditions and pride in Tech achievements.					√
Goal 8. Financial Stability: Strengthen financial resources.	√			√	
Goal 9. Accountability: Enhance planning, performance, assessment, and public accountability.					√



## Crosswalk of System and Component Strategic Goals

Component Strategic Goals	System Strategic Goals				
	<b>Growth</b> We grow to increase students' access to and success in higher education and to fulfill our mission to Texas and the nation.	<b>Diversity</b> We enhance the lives of and in turn are enriched by students, faculty, and staff who reflect the diversity of our state, nation, and world.	<b>People</b> We are open and responsive to the needs of the students we educate and the Texans we serve.	<b>Partnerships</b> We strengthen existing and establish new partnerships to fulfill our mission.	<b>Recognition</b> We advance our reputation through excellence, innovation, value, enterprise, and accountability.
Goal 1: Develop professionals today to meet the health challenges of tomorrow	√	√	√		
Goal 2: Demonstrate excellence in serving targeted healthcare needs			√		√
Goal 3: Pursue new knowledge in the life sciences and apply research to improve health outcomes	√				√
Goal 4: Optimize organizational effectiveness and efficiencies				√	√

## TechStar Critical Success Factors

Strategic Focus	Critical Success Factor	Prior Year	Current Year	Change
GROWTH	Enrollment			
	TTU Total SCH			
	TTU Total SCH as Percentage State Total			
	TTUHSC Total FTE			
	<b>Budgeted/Expended Funds</b>			
	TTU All Funds Budget			
	TTU Expenditure per Student Advanced			
	TTUHSC All Funds Budget			
	<b>Fundraising</b>			
	Total Endowment			
	Total Funds Raised			
	Scholarship Funds Raised			
	<b>Research</b>			
	Sponsored Research Funds Expended per Tenured or Tenure Track Faculty FTE			
	External Awards Per Tenured or Tenure Track Faculty			
DIVERSITY	<b>Student</b>			
	TTU - Under-represented as Percentage Enrollment			
	TTUHSC - Under-represented as Percentage Enrollment			
	<b>Faculty</b>			
	TTU - Under-represented as Percentage Faculty FTE			
	TTUHSC - Under-represented as Percentage Faculty FTE			
	<b>Professional Staff</b>			
	TTU - Under-represented as Percentage Professional Staff FTE			
	TTUHSC - Under-represented as Percentage Professional Staff FTE			
	<b>Executive Staff</b>			
	TTU - Under-represented as Percentage Executive Staff FTE			
	TTUHSC - Under-represented as Percentage Executive Staff FTE			

## TechStar Critical Success Factors

Strategic Focus	Critical Success Factor	Prior Year	Current Year	Change
PEOPLE	Students			
	Students Advanced as Percentage Total Enrollment			
	Patients			
	Patient First Scorecard			
	Value of Care Provided			
PARTNERSHIPS	Educational			
	Formal Agreements with Educational Entities			
	Corporate			
	Sponsors of System Students, Faculty, or Staff			
RECOGNITION	Community			
	Academic Program or Patient Service Sites			
	Interest Indicators			
	Admission inquiries			
	Website visits			
	Media contacts, mentions, placements			
	Awards/Appointments			
	Students			
	Faculty/Staff			
	Organizational Units			
	Alumni			
	Leadership count			
	Activity Index			

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**TTUHSC: Authorize negotiations for a ground lease and master coordinating agreement with University Medical Center to build and operate an outpatient Geriatric Assessment Center**

**RECOMMENDATION**

The Office of the Chancellor concurs with the recommendation of the Office of the President that the Board of Regents authorize the Office of the Chancellor to negotiate with University Medical Center for the development of an agreement for a long-term ground lease pursuant to an amended master coordinating agreement to build and operate an outpatient Geriatric Assessment Center on the Texas Tech campus.

**STRATEGIC IMPACT STATEMENT**

The initiative that will proceed by virtue of this authorization advances the following strategic goals established by the Board:

Goal	Impact of Board Approval
Growth	The proposed Center will expand the clinical training opportunities of Health Science Center students in a critical practice area while advancing the Health Science Center's mission of providing regional health care.
People	The elderly residents of the Lubbock region and West Texas will benefit directly from a center that helps them to maintain health and independent functioning.
Partnerships	The TTUHSC-UMC partnership to serve the Lubbock and West Texas regions will be strengthened by the proposed Center as will the institutions' competitiveness in the regional healthcare market.
Recognition	The proposed Center will expand regional, state, and national recognition of TTUHSC as a center of excellence in healthy aging.

**BACKGROUND INFORMATION**

The Geriatric Assessment Center would provide outpatient, multidisciplinary services to assist at-risk aging individuals to maintain health and independent functioning. The Center would be one of the component centers of the proposed TTUHSC Institute for Healthy Aging that would also include the Geriatric Long Term Care and Teaching Center (Teaching Nursing Home) and the Rural Long Term Care Telemedicine Network. Future components of the Institute might include a Healthy Aging Conference Center and an Aging Research Center. The Geriatric Long Term Care and Teaching Center, a foundation component of the

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Institute for Healthy Aging, was approved on February 12, 1999 when the Board of Regents authorized the Office of the Chancellor to execute a ground lease and master coordinating agreement with Sears Methodist Retirement System. That Center will be located on 4<sup>th</sup> Street, directly across the street from the Health Sciences Center.

With Board of Regents approval, TTUHSC will enter into direct negotiations with University Medical Center (UMC) for a ground lease between Texas Tech University and UMC and an amended master coordinating agreement. Consideration will be given to the lease of approximately two acres of land across 4<sup>th</sup> Street adjacent to the tract to be occupied by the Geriatric Long Term Care and Teaching Center. Upon completion of the negotiations, the Office of the Chancellor will submit both to the Board of Regents for approval.

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**Texas Tech University Public Art Collection**  
**2001-2002**  
**ACQUISITIONS**

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**West Hall Visitor's Center**

Michael Hurd  
Juan Granados  
James Watkins

Sara Waters  
Ken Rabby  
Carol Fitzgerald Flueckiger

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**Amarillo Clinic**

Woody Gwyn  
Trudy Kraft  
Emilio Caballero  
Barry Dean Harvey  
Dutch Schultz

Ben Konis  
Gerald Saunders  
Alex Zwarenstein  
Edward Povey  
Melanie Fain

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**Commissions Under Contract**

Flint Avenue Parking Facility

Steve Teeters

English-Philosophy & Education Complex

Larry Kirkland

Marie F. Hall Synergistic Center

James Watkins

Student Union Building

Terry Allen

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**Design Proposals Pending**

Experimental Sciences Building

Jesus Bautista Moroles

Dormitory Enhancement Project

Rafe Ropeck

Jones SBC Stadium

Mike Mandel

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## ACQUISITION METHODS

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Artist Slide Registry: To develop a pool of applicants for public art projects, Texas Tech developed an Artist Slide Registry in June 2001. The Registry is posted on websites throughout the nation and is free and open to any professional visual artist regardless of the artist's residency. Applications are accepted throughout the year.

Slides, resumes, and other support materials held in the Registry are available for review by members of the Committee, architects, and other interested parties. Based on the artist's body of work, the Committee may invite an applicant to present a design proposal for a project.

Design Competition: When this approach is used, detailed information describing the project and how to apply are distributed and publicized through a Request for Qualifications. Artists submit slides and other support materials. Those who meet the requirements of the pre-defined project may be invited to submit a design proposal.

Direct Acquisition: Works of art are acquired directly by reviewing available work at galleries or studios.

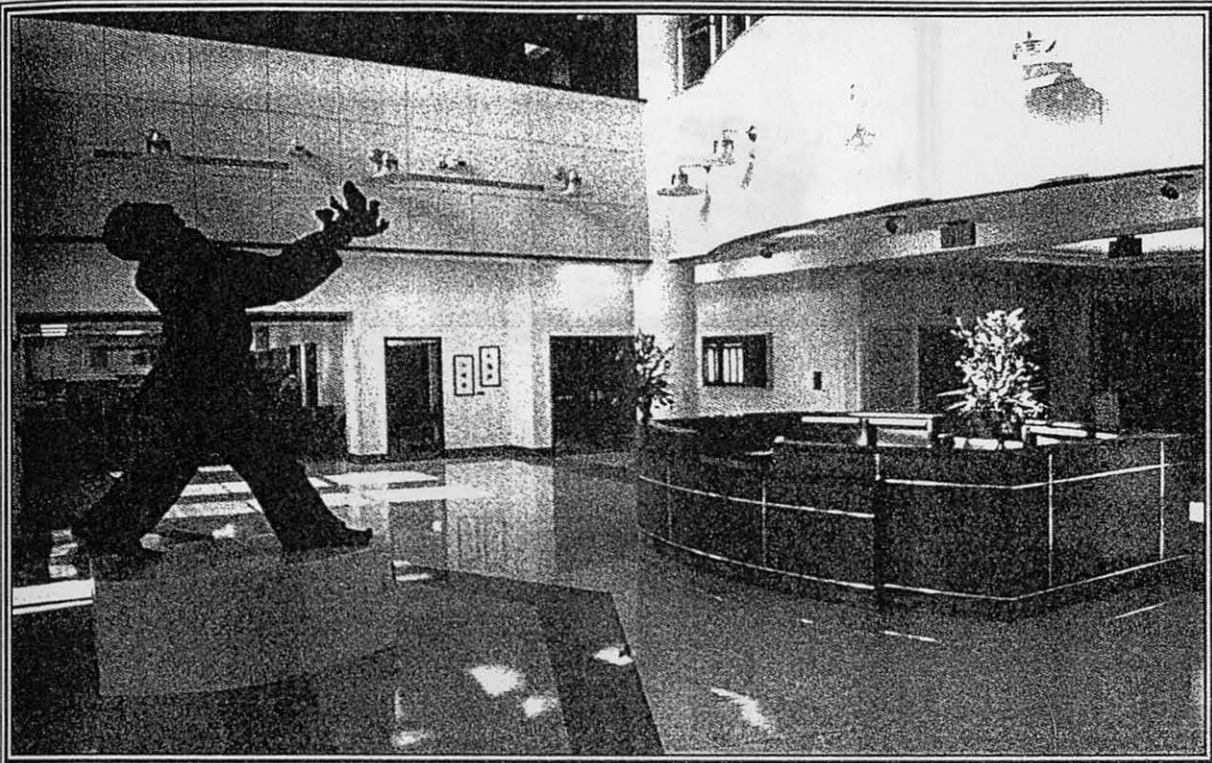




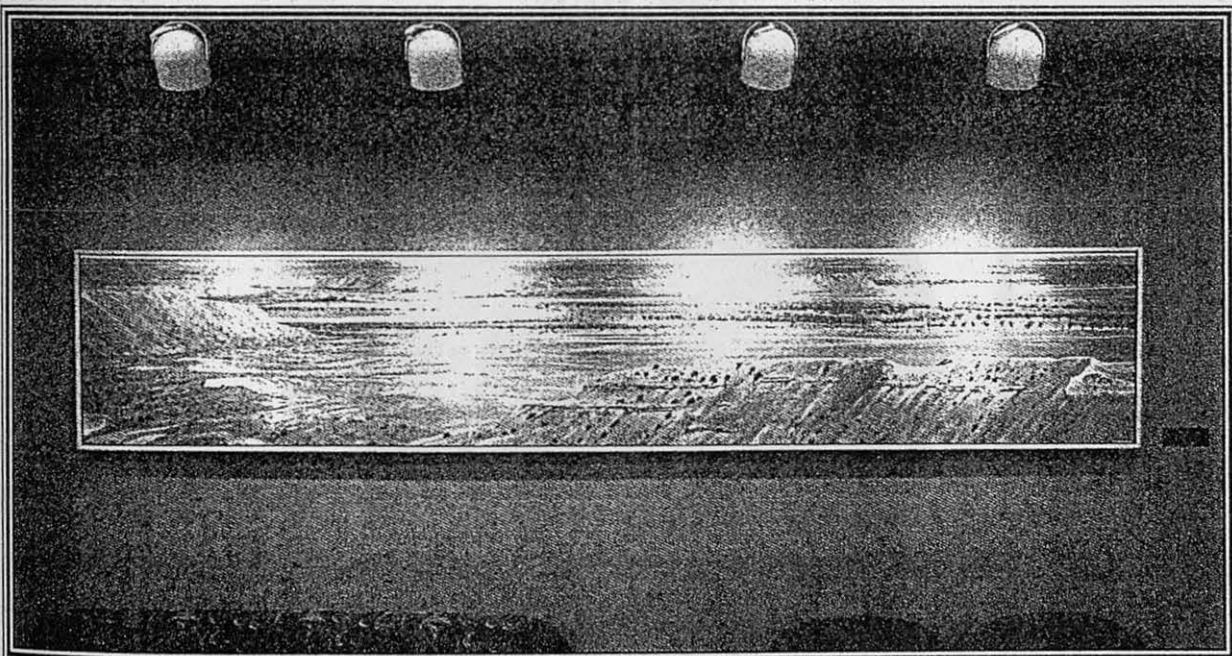
TEXAS TECH UNIVERSITY PUBLIC  
ART COLLECTION



*Prometheus*



*Edward Povey  
Man Giving Birds to Sky  
Amarillo Clinic*



*Woody Gwyn Landscape  
Saddle Horse Canyon  
Amarillo Campus*

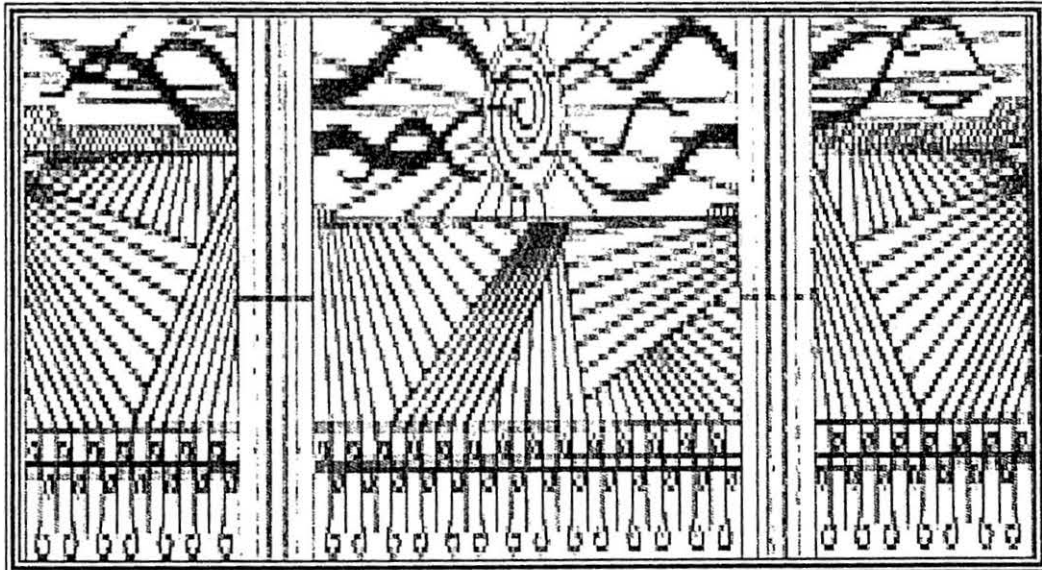
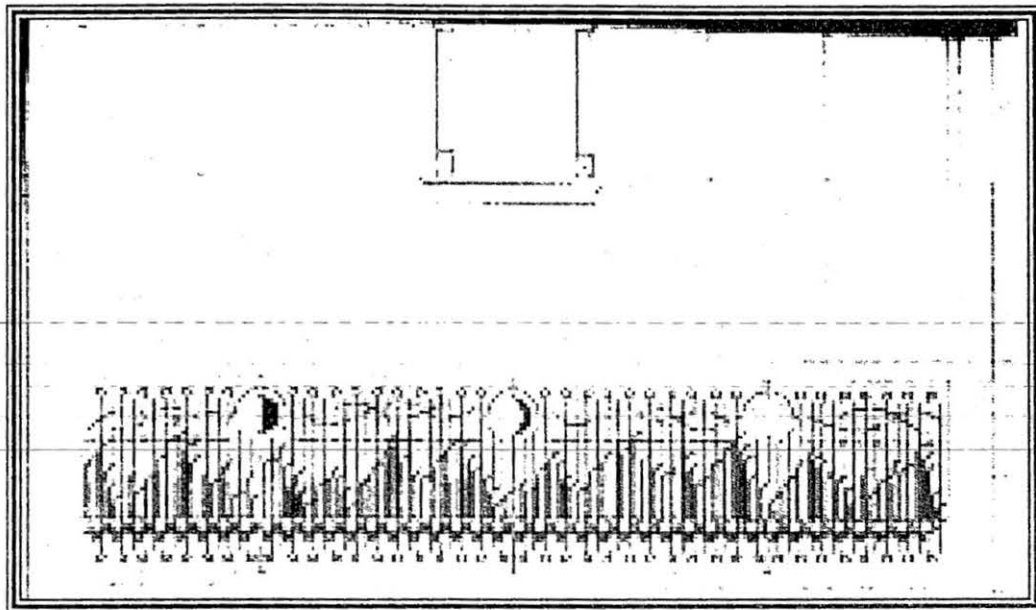




*Jim Rabby  
Untitled Landscape  
West Hall*



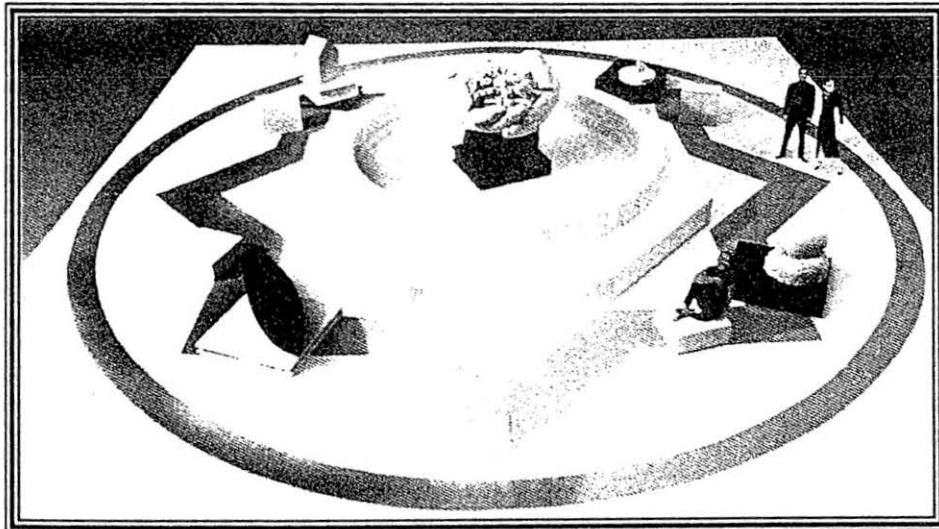
*James Watkins  
Echo  
West Hall*



*Steve Teeters*  
*Ornamental Iron Works*  
*Flint Avenue Parking Facility*

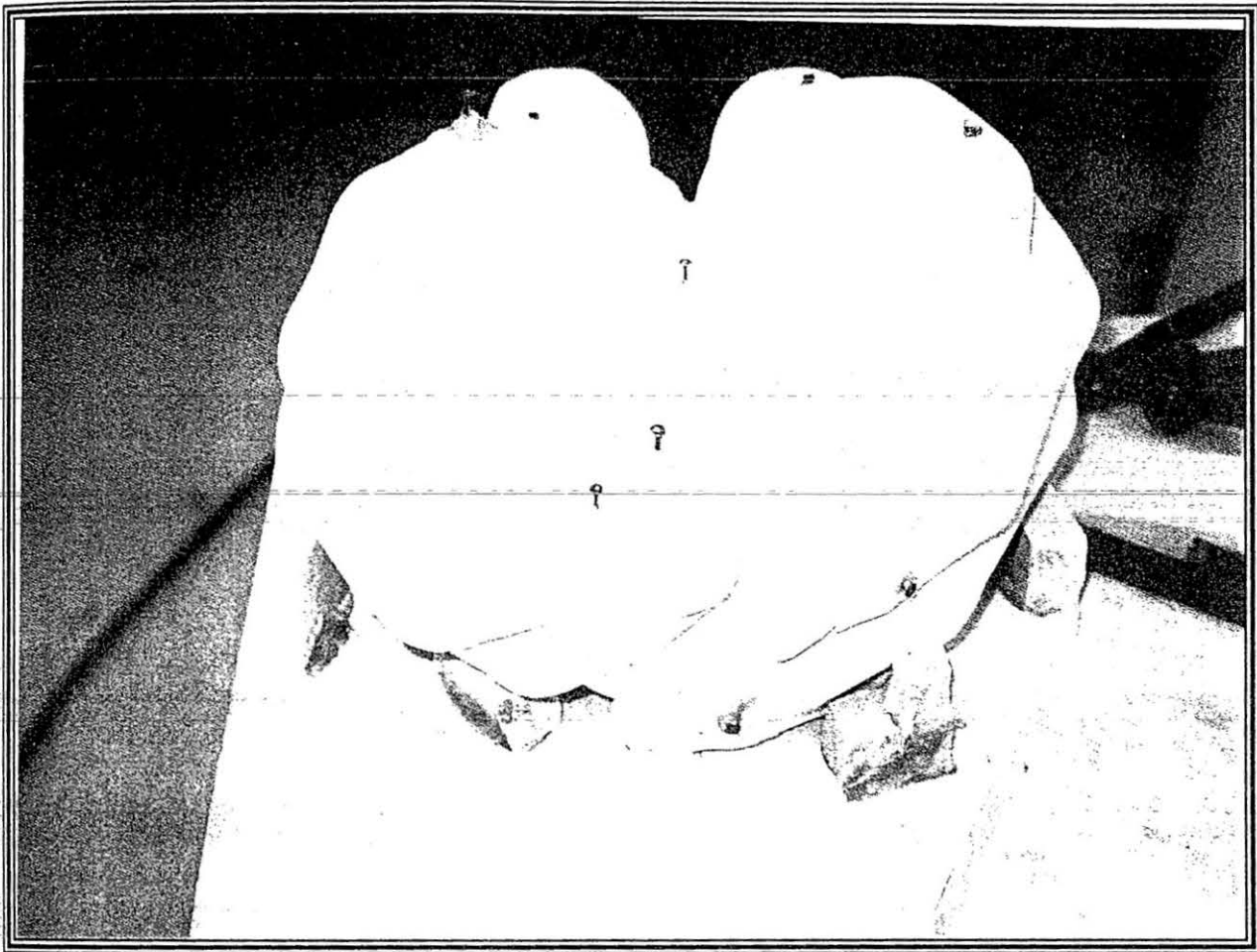


*Alex Zwarenstein*  
*The Outing*  
*Amarillo Campus*



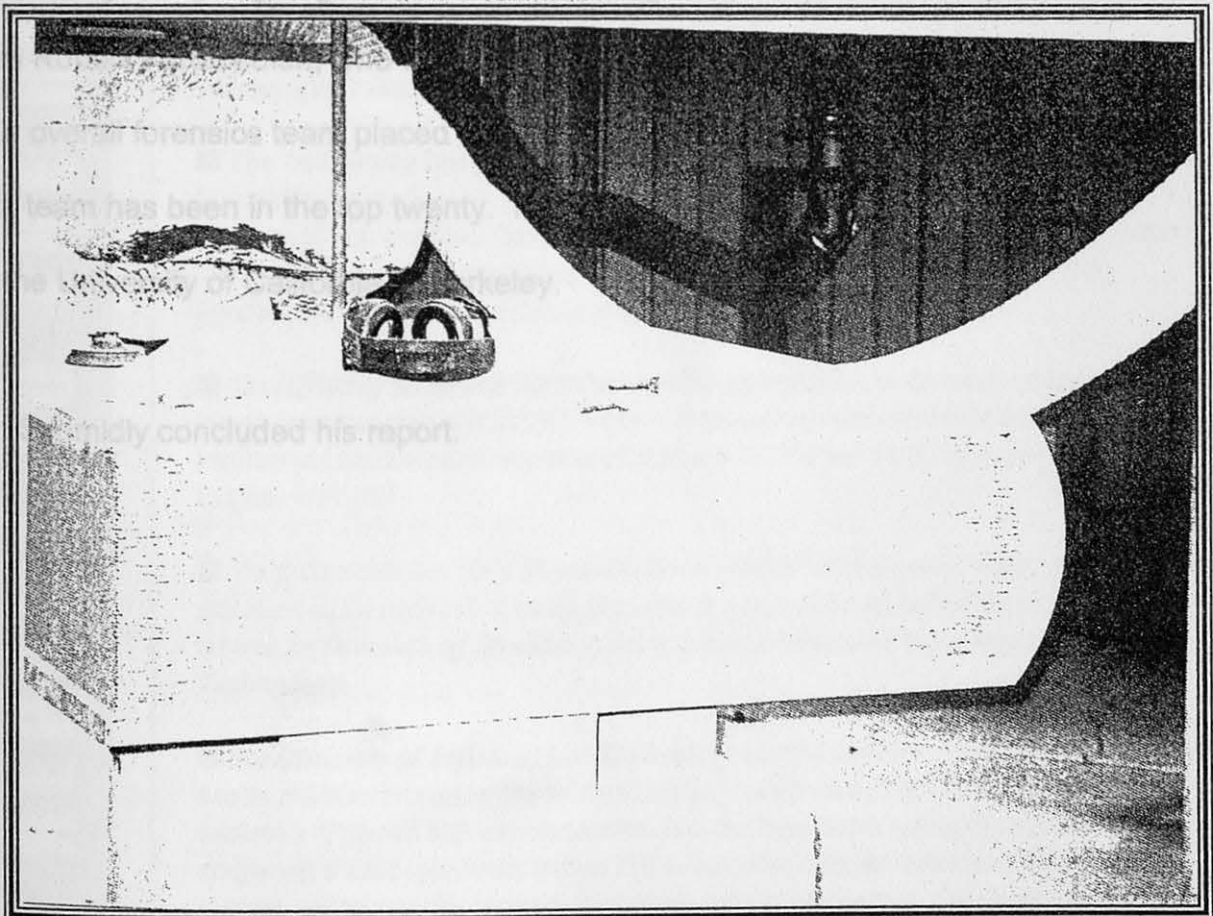
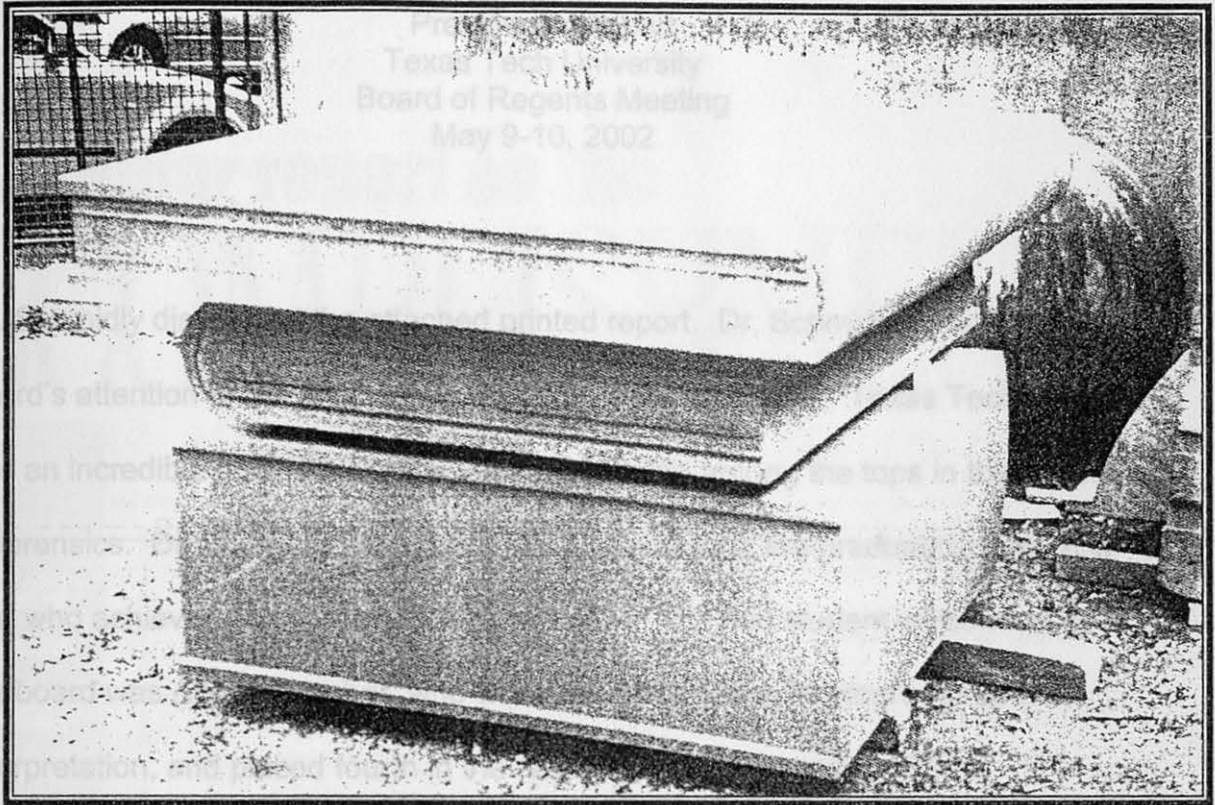
*Larry Kirkland*  
*Design Proposal*  
*English Philosophy – Education Courtyard*





*Larry Kirkland  
Design Proposal for  
English Philosophy – Education Courtyard  
Work in Progress (Detail)*

*The fountain and associated sculptural elements will be carved from two types of granite: Absolute or African Black Granite (Black) and Kashmiri Gold (Yellow with reddish, white and black).*

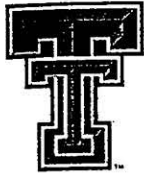




President's Report  
Texas Tech University  
Board of Regents Meeting  
May 9-10, 2002

Dr. Schmidly distributed the attached printed report. Dr. Schmidly directed the board's attention to the information about the forensics team. Texas Tech has had an incredible history for many years now being among the tops in the nation in forensics. Dr. Schmidly introduced two students who are graduating tomorrow and who achieved special recognition this year. The first student introduced to the board was Anne Fleischer, who won first in the nation in programmed oral interpretation, and placed fourth in the nation as overall speaker. She is graduating and going to graduate school at Long Beach State. The other student was Robert Vartabedian, who was fourth in the nation for impromptu speaking. Our overall forensics team placed nineteenth. This is the fifth year in a row that this team has been in the top twenty. Rob is graduating and entering law school at the University of California at Berkeley.

Dr. Schmidly concluded his report.



# A regular report on the ACCOMPLISHMENTS

*of Texas Tech University from the Office of the President*

May 9, 2002

Number Three

Volume One

## REPORT TO THE REGENTS

### INSTITUTIONAL ACCOMPLISHMENTS

■ **Texas Tech University** has been included in the 2002-2003 edition of *Peterson's Competitive Colleges: Top Colleges for Top Students*. This marks the second consecutive year the university has been recognized as one of the top 400 senior institutions in the United States and Canada.

■ The **Archaeological Collections of the Museum of Texas Tech University** have received the highest grant among Texas universities this year from the National Endowment for the Humanities. The \$615,000 grant will be used to purchase storage furniture and rehouse archaeological collections spanning 12,000 years of human habitation on the southern plains.

■ **The University Daily**, student newspaper, and the **La Ventana** yearbook each received a Silver Crown for excellence in journalism from the Columbia Scholastic Press Association in New York City recently. Only four Silver Crowns were given to newspapers nationally and only seven to yearbooks. The **La Ventana** was also named Best Yearbook in the State by the Texas Intercollegiate Press Association and also by the Southern Journalism Congress.

■ The **Office of Research Services** recently completed tallies for the month of April. Fiscal year-to-date awards total \$37,823,917, which is 40 percent more than the total at this time last year. Facilities and administrative costs awarded thus far in FY 2002 total \$5,122,138, up 35 percent from this time in FY 2001.

■ The student computer lab at **Sneed-Bledsoe** residence hall has opened. The lab gives residents and others on the north side of the campus access to a state-of-the-art facility. The lab is a joint effort between the **Division of Student Affairs** and the **Division of Information Technology**.

■ The **Division of Information Technology** provided a web site, a database for mentoring faculty, and other internet services for the NCSSSMST student research symposium, a national conference of talented high school science students that Texas Tech is hosting this summer. This symposium is a real opportunity to place TTU in front of very talented high school students and their teachers, and we were able to provide the students with a real connection to our campus prior to the symposium.

## FACULTY AND STAFF ACCOMPLISHMENTS

■ Both of Texas Tech University's two nominees for the National Endowment for the Humanities Summer Stipend Program were selected for awards this year. **Dr. Rob Rupert**, Assistant Professor in the Department of Philosophy, will work on a book-length study of the phenomenon of mental representation. **Dr. Julie Willett**, Assistant Professor in the Department of History, will work on a book-length study of the history of child care. Having both of our nominees selected for NEH Summer Stipends is a notable achievement.

■ **Dr. Kwame Alford**, Assistant Professor of History, has been awarded a Ford Foundation Postdoctoral Fellowship. Editor and curator of the *William Leo Hansberry Collection, Personal and Private Papers*, Dr. Alford will spend a year at New York University working with manuscripts in the Schomburg Center for Black Culture in order to complete a book about Hansberry.

■ **Dr. Laura Beard**, Associate Professor of CMLL, has been selected as one of 15 participants for the Newberry Summer Seminar on "American Indian Autobiography as Tribal and Personal History: Who Gets to Tell the Story?" Dr. Beard was also selected to be one of only 16 participants in a Fulbright-Hays Summer Seminar in South Africa on Indigenous Knowledge Systems, but declined the offer in order to attend the Newberry Seminar, which is more closely related to her next book project.

■ **Dr. Marilyn Phelan**, Robert Bean Professor of Law, will chair a session of the American Bar Association Section on International Law and Practice concerning Holocaust Era Looted Art in New York City this May.

■ **Dr. Aaron Meskin**, Assistant Professor of Philosophy, has been selected to participate in this year's NEH Summer Institute on aesthetics and cognitive science; the institute will be held June through August at the University of Maryland. Dr. Meskin also traveled during Spring Break to Hong Kong, where he gave lectures at Hong Kong University and Lingnan University on subjects related to aesthetics and the philosophy of art.

■ **Dr. Jill Connelly**, Assistant Professor of Classical and Modern Languages and Literature, has been selected for a year-long University of Chicago Postdoctoral Fellowship in the Humanities to begin in July.

■ **Dr. Jacalyn McComb**, Associate Professor of Health Exercise and Sport Science, has been selected as a Fellow of the American College of Sports Medicine.

■ **Dr. Alwyn Barr**, Professor of History, was a keynote speaker for African American History Month celebrations at the University of Texas-El Paso.

■ *The Plains Indians*, a 1998 book written by **Dr. Paul Carlson**, Professor of History, is being translated into French for republication in France by Editions du Seuil.

■ **Dr. Bruce Daniels**, Professor and Chair of History, was elected to membership in the Connecticut Academy of Arts and Sciences (Yale University), the third oldest learned society in the United States.

■ **Dr. Ruth Maki**, Professor and Chair of Psychology, was appointed Chair of the Psychology Graduate Record Examination Committee for 2002-2004.

■ At the invitation of the U.S. Navy, **Dr. David Snead**, Assistant

Professor of History, gave lectures in February to the Naval War Colleges in Newport, Rhode Island; in San Diego, California; and in Whidbey Island, Washington.

■ **Dr. Robert Baker**, Horn Professor of Biological Sciences, has been selected as President-Elect of the Texas Genetic Society.

■ Professor **Linda Nichols** in the accounting area of the Rawls College has been designated as a Fulbright-Distinguished Professor and will serve a semester in Austria.

■ **Dr. Julie Willett**, Assistant Professor of History and author of the 2000 book *Permanent Waves: The Making of the American Beauty Shop*, was one of two panelists in a March National Public Radio discussion on the social meaning of hair.

■ On April 9, 2002, **Dr. Peter Westfall**, a professor in the ISQS area, was chosen a Paul Whitfield Horn Professor—the highest honor the University can bestow on a faculty member. Westfall is recognized both nationally and internationally for his expertise as a statistician.

■ **Dr. Greg Mumma**, Associate Professor of Psychology, was appointed to the Editorial Board of the *Journal of Psychopathology and Behavioral Assessment*.

■ Professor **Gerald Dolter** from the School of Music will direct a professional production of "The Bartered Bride" with the Cedar Rapids Opera in June. Six Texas Tech students will join him on this project.

■ Professor **Peggy Willis-Aarnio** from the Department of Theatre and Dance has been invited to St. Petersburg, Russia, to

participate later this spring in a cultural exchange with the St. Petersburg Classic Ballet.

■ **Dr. Marjean Purinton**, Associate Professor of English, has been invited by her colleagues in Great Britain to serve a three-year term as Editor of the Romantic Drama section of *Year's Work in English Studies*.

■ **Dr. Julian Perez**, Associate Professor of CMLL, serves as President of The Southwest Council of Latin American Studies (SCOLAS), which held its 2002 meeting in Morelia, Mexico. The conference was inaugurated by the Governor of Michoacan, Lazaro Cardenas Batel, and was attended by over 300 scholars from several different countries.

■ **Dr. Vaia Papadimitriou**, Associate Professor of Physics, was featured in the March edition of *Fermi News*, the newsletter of the Fermi National Accelerator Laboratory, for her work done at the laboratory as a visiting researcher.

■ **Dr. Beth Ann Thacker**, Assistant Professor of Physics, will chair the Gordon Conference on "Physics Research and Education: Quantum Mechanics" this summer at Mt. Holyoke College in Massachusetts. **Dr. Stefan Estreicher**, Horn Professor of Physics, will chair the Gordon Conference on "Point and Line Defects in Semiconductors" this summer at Colby-Sawyer College in New Hampshire. The Gordon Research Conferences provide an international forum for the discussion of frontier research in science and technology.

■ **Dr. Brian Reilly**, Assistant Professor of Biological Sciences, has been asked to serve as a guest editor for *International Reviews of Immunology*.

■ **Language Shift Among the Navajos** by **Dr. Deborah House**, Assistant Professor of Anthropology in the Department of Sociology, Anthropology and Social Work, has just been published by the University of Arizona Press.

■ Associate Professor of English **Mike Schoenecke** has been elected secretary-treasurer of the National Popular Culture Association and the American Cultures Association.

■ **Dr. Carl Andersen**, associate professor and director of the Center for the Study of Addiction, received the 2001 CARE Award presented by Hazelden, a non-profit organization providing high quality,

affordable rehabilitation, education, prevention, and professional services and publications in chemical dependency and related disorders.

■ **Sue Couch**, Ph.D., family and consumer sciences education professor, was selected as the Distinguished Family and Consumer Sciences Researcher for 2002 of the Education and Technology Division of the American Association of Family and Consumer Sciences (AAFCS).

■ **Dr. Don Ethridge**, Chair of Agricultural and Applied Economics, received the Lifetime Achievement Award from the Southern Agricultural Economics Association.

■ **Dr. Michael Galyean**, Distinguished Thornton Chair of Animal and Food Science, was presented the Graduate of Distinction Award from the Department of Animal Science at Oklahoma State University.

■ Professor **Tina Fuentes** from the School of Art and **Dr. Lady Falls Brown**, Director of the University Writing Center, were honored in February with YWCA Women of Excellence Awards.

■ The first ever Texas Tech President's Book Awards went to **Dr. Walt McDonald** from English, **Dr. Julie Willett** from History, and **Dr. Richard Wigmans** from Physics.

■ **Brian D. Shannon**, associate dean for academic affairs in the School of Law, received the Mary Holdsworth Butt Award presented by the Texas Department of Mental Health & Mental Retardation for outstanding volunteer service on behalf of persons with mental illness or mental retardation. Professor Shannon also received the 2002 Outstanding Law Journal Award from the Texas Bar Foundation.

■ **Dr. Michael Gelfond**, College of Engineering, has been named a Fellow in the American Association for Artificial Intelligence.

## NEWS FROM THE ACADEMIC UNITS

The **Division of Student Affairs** and the **College of Human Sciences** have opened the Texas Tech University Community and Workforce Academy in the former Posey Elementary School in East Lubbock. The facility will provide both workforce skills training for the community and service learning opportunities for Texas Tech students.

In March, the **German Studies Program** and the **School of Music** collaborated with the German Heritage Commission of Fredericksburg to host the 2002 *Van der Stucken Music Festival* and to celebrate the opening of the Fredericksburg campus.

In March, the **Program in Comparative Literature**, the **French section of the Department of CMLL**, and the **Department of History** hosted the 35th Annual Comparative Literature Symposium on "Transnational

Cultures, Diasporas, and Immigrant Identities in France and the Francophone World." The Symposium was directed by **Dr. Hafid Gafaiti** of the Department of CMLL and **Dr. Patricia Lorcin** and **Dr. David Troyansky** of the Department of History. The symposium included participants from the United States, France, England, Canada, Ireland, Kuwait, and Morocco.

The **Department of Electrical and Computer Engineering** has received a \$317,000 grant from the Texas Higher Education Coordinating Board as part of the 2002 Texas Technology Workforce Development Grants Program.

In April, the **Department of Mathematics and Statistics** hosted the Texas Geometry and Typology Conference, an event supported in part by the National Science Foundation. Mathemati-

cians from around the United States and Europe attended the conference.

The **Marketing Department of the Rawls College of Business Administration** recently received the Excellence in Teaching Award from the University's Teaching Academy. This award recognizes a department where a "teaching culture" exists which reflects commitment to students, makes teaching a high departmental priority, and facilitates teaching excellence throughout the department.

In March 2002, the **Rawls College of Business** helped to host the University Synergy Program (USP), a global technological forum dedicated to the integration, cooperation and collaboration of businesses, communities, universities, foundations and venture capitalists. The conference focused on hi-tech opportunities and alternative pathways to the forefront of global

competition and sustainability. Ms. **Nancy Dodge**, Director of Special Projects with the college, spearheaded the program. At the conference, **Dr. James R. Burns**, professor of ISQS, was awarded \$5000 for the winning paper.

The **Department of Petroleum Engineering** has drilled the world's deepest oil well test facility on a college campus. At over 4,000 feet, the test well is ten times deeper than any other university test well. The well is located the Texas Tech campus on East Loop 289 in Lubbock.

The **College of Education's** successful *Tribute to Teachers* received notification that Wells Fargo Bank will be the primary corporate sponsor for the next five years. This year's event featured **Provost John Burns** as keynote speaker and honored 40 outstanding teachers whose contributions include public, private and community agency learning sites.



# STUDENT ACHIEVEMENTS

■ **Kevin Kerr**, a senior in mechanical engineering, and **Hesham Attaya**, a bio-chemistry major, have been awarded Goldwater Scholarships for the upcoming academic year. Both students are in the Honors College and are Howard Hughes Medical Institute Fellows.

■ **Matt Ladd** from the Department of English received a fellowship to attend the Bucknell Seminar for Younger Poets, one of the most coveted fellowships for undergraduate poets in the United States.

■ The **Forensics Team** from the Department of Communication Studies finished 16th in the nation at the March National Parliamentary Debate Association Tournament in Denver, Colorado, in a field of 282 competitors.

■ At the American Forensic Association National Individual Event Tournament in Peoria, Illinois, **Anne Fleisher** won 1st in the nation in Programmed Oral Interpretation and 4th in the nation as Overall Speaker. **Rob Wartabedian** won 4th in the nation for Impromptu Speaking. The entire Forensics Team placed 19th in the nation. This is the 5th year in a row the team has been in the top 20 nationally.

■ **Dana Magill** from the Department of History won the Best Student Essay award from the West Texas Historical Society for her paper on "William Harrison Blodsoe and the Founding of Texas Tech University."

■ **Jeremy Swain** from the Department of Mathematics and Statistics ranked 78th nationally out of more than 3000 contestants in the William Lowell Putnam Mathematical Competition, the most prestigious mathematics competition in the United States. Only two students from Texas placed in the top 100 this year. The TTU team of **Benjamin Bailey**, **Matthew Gamel**, and **Jeremy Swain**, placed 80th out of 322 teams.

■ Two students in the Russian section of CMLL, **Stephanie Curbo** and **Michael Johnson**, have received Boren national scholarships, administered by the National Security Education Program, to fund their study in Russia next year. A third CMLL student, **Anthony Latta**, is currently an NSEP fellow in Russia.

■ **Deidre Parish** from Biological Sciences received a student travel grant from the American Cytogenetics Conference held in April in Santa Fe; she presented a paper on "Distribution of LINEs and Other Repetitive Elements in the Karyotype of the Bat Carollia: Implications for X-Chromosome Inactivation."

■ **Rex McAiley** received a National Science Foundation travel grant through the Society for Conservation Biology to present a paper in Canterbury, England on "Examination of Gene Flow in Small Mammals."

■ **Morgan Mercer**, an undergraduate student in Art, received the Academic-Citizenship Award from the Texas Tech Parents Association.

■ College of Education major and Howard Hughes Medical Institute Fellow **Kerri Stuth** has been awarded the Muriel Green Pre-Service Teaching Award from the Council for Elementary Science International, an affiliate of the National Science Teachers Association. She is one of three students nationally to win the award.

■ **Texas Tech Masked Rider for 2001-2002 Katie Carruth** is featured on the cover of the spring 2002 issue of *America's Horse* magazine, the official publication of the American Quarter Horse Association. An article in the magazine describes the Masked Rider tradition at Texas Tech. New Masked Rider for the coming year is **Jessica Marie Melvin**, a physical therapy major from South Dakota.

■ The **College of Human Sciences Student Financial Planning Team** and five other collegiate teams competed in the third annual American Express Planning Invitational at American Express Financial Advisors headquarters in Minneapolis, April 11-14, 2002. The national finalists were selected based on their application responses and the comprehensive financial plans they created for a fictitious family. The team, all who are senior family financial planning majors, consisted of **Brandon Moss**, **Byron Sutton**, and **Adam Wood**. The team placed first in planning portion of the competition.

■ The following A&S students have been awarded Paul Whitfield Horn Fellowships for graduate study: **Jana Higginbotham** in Biological Sciences; **Emily Watson** in Music; and **Emily Young** in Psychology.

■ The **Texas Tech Collegiate Chapter of MENC** (Music Educators' National Conference) earned the chapter growth award for the third consecutive year. The award was presented at the College Student Division meeting at TMEA in February. Last year, the TTU chapter was named Outstanding Collegiate Chapter in the state, and four TTU students have held state office: **Kate Thrif** and **Kenton McDonald**, past presidents, **Austin Kelly**, past secretary, and **Michelle Hanegan**, vice-president for the coming year. Chapter sponsor **Dr. Susan Brumfield** was also named Outstanding Music Educator of the Year by the organization.

■ Students and faculty from the teacher education program in the **College of Education** participated in the Texas Student Education Association annual meeting in Austin in April. For the third successive year the Texas Tech chapter received a majority of the statewide awards. Among those are Outstanding Advisor, **Dr. Peggie Price**, Outstanding Local Chapter, and the Membership Award. The local TSEA chapter had three of its members elected to statewide offices: state president, **La Vonta Ruther**; state vice president, **Ashley Gwens**; and district I president, **Jana DuLaney**.

President's Report  
Texas Tech University Health Sciences Center  
and  
Chancellor's Report  
Texas Tech University System

Board of Regents Meeting  
May 9-10, 2002

Dr. Smith introduced Kate Baldocchi, who is the recipient of the Grover E. Murray

~~award. We have both professorships, as you know, that are granted similar to~~

the Horn Professorship at Texas Tech University. She is the third recipient of this recognition which is most noteworthy and she is the first student to be so recognized. She was selected by a group of her peers and faculty. The committee was composed of individuals from both universities. We are particularly honored that Kate was recognized in this manner. Both her exuberance for Texas Tech University and her creative skills, which include designing the star spangled double-T, did not hurt in her selection. She is a graduate student in our doctor of audiology program within the School of Allied Health at Texas Tech University Health Sciences Center. We would like to congratulate her for her accomplishments.

Dr. Smith commented that he was particularly proud of the Student First presentation yesterday. He noted that the real accomplishment of that group has already been achieved and that was the fact that a group of individuals from all of our schools got together and worked together around a plan and strategy.

Their work is something that could act as a roadmap for us in the future to continue to promote Texas Tech as the most student-oriented, supportive environment in the country. I think we can achieve that. He noted that the work they did was commendable and he and Dr. Schmidly are committed to working with particularly Dr. Shonrock and Richard Butler to see if we can not fulfill some of those dreams for the students and keep you apprised of those. Dr. Smith congratulated the students again for their effort—and particularly, the team that worked with them during the course of all this. This included Dr. Shonrock and his team and Richard Butler and his team.

Dr. Smith also thanked the board for its confidence in him. He and Donna feel that this is a great family that we have here at Texas Tech and the South Plains and across West Texas. Our mission is very important. It is vital to not only the economic development for the future of our businesses and the success of the entire West Texas region and the state and the nation. It is our graduates that are going to be the leaders in the future and he feels an immense burden but also immense pride to be part of this organization. He wanted to thank the board for its confidence. He and Donna will certainly do all they can and he is proud to be working with Dr. Schmidly and his team. Thank you.

Dr. Smith concluded his report.